

On Some Relationships Among the Association Schemes of  
Finite Orthogonal Groups Acting on Hyperplanes

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## Introduction

An *association scheme*  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$  of class  $d$  is a pair of a finite set  $X$  and a set of nontrivial relations  $\{R_i\}_{0 \leq i \leq d}$  on  $X$  satisfying the following four conditions:

- (i)  $R_0 = \{(x, x) \in X \times X \mid x \in X\}$ ,
- (ii)  $R_0 \cup R_1 \cup \cdots \cup R_d = X \times X$  and  $R_i \cap R_j = \emptyset$  if  $i \neq j$ ,
- (iii) for each  $i \in \{0, 1, \dots, d\}$ , there exists some  $i' \in \{0, 1, \dots, d\}$  such that  ${}^t R_i = R_{i'}$  holds, where  ${}^t R_i := \{(y, x) \in X \times X \mid (x, y) \in R_i\}$ ,
- (iv) for each (ordered) triple  $i, j, k \in \{0, 1, \dots, d\}$ , the cardinality of the set  $\{z \in X \mid (x, z) \in R_i, (z, y) \in R_j\}$ , which is denoted by  $p_{ij}^k$ , does not depend on the choice of  $x, y \in X$  under the condition  $(x, y) \in R_k$ .

The numbers  $p_{ij}^k$  in condition (iv) are called the *intersection numbers* of  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$ , and in particular we call the numbers  $k_i := p_{ii}^0 = |\{z \in X \mid (x, z) \in R_i\}|$  ( $0 \leq i \leq d$ ) the *valencies* of  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$ .

Let  $A_i$  be the adjacency matrix with respect to the relation  $R_i$ , that is,

$$(A_i)_{x,y} := \begin{cases} 1 & \text{if } (x, y) \in R_i \\ 0 & \text{if } (x, y) \notin R_i, \end{cases}$$

then, since  $A_i A_j = \sum_{k=0}^d p_{ij}^k A_k$  by condition (iii),  $A_0, A_1, \dots, A_d$  generates an algebra  $\mathfrak{A}$  over the complex field  $\mathbb{C}$  of dimension  $d+1$ . We call this algebra the *Bose-Mesner algebra* of the association scheme. We say that an association scheme  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$  is *commutative*, if the Bose-Mesner algebra is commutative, or equivalently, if  $p_{ij}^k = p_{ji}^k$  holds for all  $i, j, k \in \{0, 1, \dots, d\}$ . A *symmetric* association scheme is an association scheme  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$  which satisfies  ${}^t R_i = R_i$  for all  $i \in \{0, 1, \dots, d\}$ . Notice that a symmetric association scheme is a commutative association scheme.

Let  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$  be a commutative association scheme, then the Bose-Mesner algebra has a unique set of primitive idempotents  $E_0 = \frac{1}{|X|} J, E_1, \dots, E_d$ , where  $J$  is the matrix whose entries are all 1 (cf. Bannai-Ito [4, §2.3.]). Let

$$A_i = \sum_{j=0}^d p_i(j) E_j.$$

Then the  $(d+1)$  by  $(d+1)$  matrix  $P$  whose  $(j, i)$ -entry is  $p_i(j)$ , is called the *character table* or the *first eigenmatrix* of the association scheme. The character table  $P$  of an association scheme  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$  satisfies the *orthogonality relations* (cf. Bannai-Ito [4, p.62, Theorem 3.5.]):

- (i) (The First Orthogonality Relation)

$$\sum_{\alpha=0}^d \frac{1}{k_\alpha} p_\alpha(i) \overline{p_\alpha(j)} = \frac{|X|}{m_i} \delta_{ij},$$

- (ii) (The Second Orthogonality Relation)

$$\sum_{\alpha=0}^d m_\alpha p_i(\alpha) \overline{p_j(\alpha)} = |X| k_i \delta_{ij},$$

where  $m_i := \text{rank } E_i = \text{tr } E_i$  ( $0 \leq i \leq d$ ), and  $\delta_{ij}$  is the Kronecker delta. The numbers  $m_i$  are called the *multiplicities* of  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$ . In particular we shall use the following equality:

$$\sum_{\alpha=0}^d p_\alpha(i) = 0, \quad \text{if } 1 \leq i \leq d. \tag{1}$$

A *subassociation scheme* (or simply *subscheme*) of an association scheme  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$  is an association scheme  $\mathfrak{X}' = (X, \{S_j\}_{0 \leq j \leq d'})$  where each relation  $S_j$ ,  $j \in \{0, 1, \dots, d'\}$  is a union of some  $R_i$ 's. It is an interesting problem to find all the subschemes of an association scheme. Bannai [1, Lemma 1.] showed that any subscheme of a given commutative association scheme is obtained by partitioning its character table into appropriate blocks.

It is natural to regard association schemes as a combinatorial interpretation of finite transitive permutation groups. Let  $G$  be a finite group acting transitively on a finite set  $X$ . Then  $G$  acts naturally on  $X \times X$  in such a way that

$$(x, y)^g := (x^g, y^g) \text{ for } (x, y) \in X \times X, g \in G,$$

and we can easily verify that the orbits of  $G$  acting on  $X \times X$  (which are called the *orbitals*) satisfy the above four conditions (cf. Bannai-Ito [4, p.53, Example 2.1.]), that is, the action of  $G$  on  $X \times X$  defines an association scheme. We denote this association scheme by  $\mathfrak{X}(G, X)$ . It is well known that  $\mathfrak{X}(G, X)$  is commutative if and only if the permutation character  $1_H^G$  is *multiplicity-free* where  $H$  is the stabilizer of an element of  $X$ , namely each irreducible character of  $G$  occurs in the decomposition with multiplicity at most 1 (cf. Bannai-Ito [4, p.49, Theorem 1.4.]). If  $\mathfrak{X}(G, X)$  is commutative, then determining the character table of  $\mathfrak{X}(G, X)$  is equivalent to determining all the zonal spherical functions of  $G$  on  $X$  (cf. Bannai-Ito [4, §2.11.]).

In this paper, we study the association schemes defined by the action of the orthogonal groups  $GO_{2m+1}(q)$  over the finite fields of characteristic 2, on the set  $\Omega = \Omega_{2m+1}(q)$  of positive-type hyperplanes and on the set  $\Theta = \Theta_{2m+1}(q)$  of negative-type hyperplanes. These association schemes are isomorphic to the association schemes defined by the action of  $GO_{2m+1}(q)$  on the set of cosets by  $GO_{2m}^+(q)$  and on the set of cosets by  $GO_{2m}^-(q)$ , respectively.

This paper is organized as follows:

- Introduction.
- 1. Preliminary;
  - 1.1. Quadratic forms and orthogonal groups.
  - 1.2. Description of the relations.
- 2. Computation of parameters;
  - 2.1. The parameters of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$ .
  - 2.2. The parameters of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$ .
- 3. Character tables;
  - 3.1. The character tables of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$ .
  - 3.2. The character tables of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$ .
- 4. Subschemes;
  - 4.1. Subschemes of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$ .
  - 4.2. Subschemes of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$ .
- 5. Remarks.

In section 3, we calculate the character tables of these association schemes. In fact, we will show that the character tables of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  and  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  are *controlled* by the character tables of  $\mathfrak{X}(GO_3(q), \Omega_3(q))$  and  $\mathfrak{X}(GO_3(q), \Theta_3(q))$ , respectively, by the replacement  $q \rightarrow q^{m-1}$ . It is known that such phenomena occur in many cases (cf. Bannai-Hao-Song [2], Bannai-Hao-Song-Wei [3], Kwok [9], Bannai-Kawanaka-Song [5]). Our method of calculating character tables follows Bannai-Hao-Song [2, §6,7] in all essential points, where they determined the character tables of the association schemes obtained from the action of finite orthogonal groups on the sets of non-isotropic projective points. Actually, the association schemes treated in this paper correspond to the case of even  $q$ .

In section 4, we first show that  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  and  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  are subschemes of  $\mathfrak{X}(GO_3(q^m), \Omega_3(q^m))$  and  $\mathfrak{X}(GO_3(q^m), \Theta_3(q^m))$ , respectively. Then we write down all the relations of these subschemes from those of  $\mathfrak{X}(GO_3(q^m), \Omega_3(q^m))$  and  $\mathfrak{X}(GO_3(q^m), \Theta_3(q^m))$ . It is also shown that  $\mathfrak{X}(GO_{2n+1}(q), \Omega_{2n+1}(q))$  is a subscheme of  $\mathfrak{X}(GO_{2m+1}(q^{\frac{n}{m}}), \Omega_{2m+1}(q^{\frac{n}{m}}))$  whenever  $m$  divides  $n$ , and so forth.

Thus we can say that the two association schemes  $\mathfrak{X}(GO_3(q), \Omega_3(q))$  and  $\mathfrak{X}(GO_3(q), \Theta_3(q))$  control the other association schemes  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  and  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  at two levels—*algebraic level and combinatorial level*.

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## 1 Preliminary

### 1.1 Quadratic Forms and Orthogonal Groups

In this subsection, we review some basic facts on quadratic forms and orthogonal groups. For more information, we are referred to Munemasa [12], **ATLAS** [8].

Let  $\mathbb{V}$  be a finite dimensional vector space over the finite field  $\mathbb{F}_q$  of  $q$  elements. A *symmetric bilinear form* on  $\mathbb{V}$  over  $\mathbb{F}_q$  is a mapping  $f : \mathbb{V} \times \mathbb{V} \longrightarrow \mathbb{F}_q$  which satisfies the following conditions:

$$\begin{aligned} f(u_1 + u_2, v) &= f(u_1, v) + f(u_2, v), \\ f(\alpha u, v) &= \alpha f(u, v), \\ f(u, v) &= f(v, u) \end{aligned}$$

for all  $u, v, u_1, u_2 \in \mathbb{V}$  and all  $\alpha \in \mathbb{F}_q$ . We define the *orthogonal complement*  $U^\perp$  of a subset  $U$  of  $\mathbb{V}$  by

$$U^\perp := \{v \in \mathbb{V} \mid f(u, v) = 0 \text{ for all } u \in U\},$$

and the *radical* of  $f$  by

$$\text{Rad } f := \mathbb{V}^\perp = \{v \in \mathbb{V} \mid f(u, v) = 0 \text{ for all } u \in \mathbb{V}\}.$$

The symmetric bilinear form  $f$  is said to be *non-degenerate* if  $\text{Rad } f = 0$ . The following proposition is a basic fact about non-degenerate symmetric bilinear forms (cf. Munemasa [12, p.3, Proposition 1.1.]).

**Proposition 1.1.1.** *Let  $f : \mathbb{V} \times \mathbb{V} \longrightarrow \mathbb{F}_q$  be a symmetric bilinear form on a finite dimensional vector space  $\mathbb{V}$  over  $\mathbb{F}_q$ , and let  $U$  be a subspace of  $\mathbb{V}$ . Then we have*

$$\dim U^\perp = \dim \mathbb{V} - \dim U + \dim U \cap \text{Rad } f.$$

Moreover if  $f|_U$  is non-degenerate then

$$\mathbb{V} = U \perp U^\perp.$$

A *quadratic form* on  $\mathbb{V}$  over  $\mathbb{F}_q$  is a mapping  $Q : \mathbb{V} \longrightarrow \mathbb{F}_q$  which satisfies the following conditions:

$$\begin{aligned} Q(\alpha v) &= \alpha^2 Q(v), \\ Q(u + v) &= Q(u) + Q(v) + f(u, v) \end{aligned}$$

for all  $u, v \in \mathbb{V}$  and all  $\alpha \in \mathbb{F}_q$ , where  $f : \mathbb{V} \times \mathbb{V} \longrightarrow \mathbb{F}_q$  is a symmetric bilinear form on  $\mathbb{V}$  over  $\mathbb{F}_q$ . Notice that if  $q$  is even, then the bilinear form  $f$  is an *alternating* bilinear form, that is,  $f(v, v) = 0$  for all  $v \in \mathbb{V}$ . The quadratic form  $Q$  is said to be *non-degenerate* if  $Q^{-1}(0) \cap \text{Rad } f = \{0\}$ . If a vector  $v \in \mathbb{V}$  satisfies  $Q(v) = 0$ , then we call this vector *singular*, and a subspace  $U$  of  $\mathbb{V}$  which consists of singular vectors is also called *singular*. A *hyperbolic pair* is a pair of vectors  $\{u, v\}$  of  $\mathbb{V}$  satisfying  $Q(u) = Q(v) = 0$ , and  $f(u, v) = 1$ . For later use, we need the following proposition (cf. Munemasa [12, p.7, Proposition 1.8.]).

**Proposition 1.1.2.** *Let  $Q : \mathbb{V} \longrightarrow \mathbb{F}_q$  be a non-degenerate quadratic form on a finite dimensional vector space  $\mathbb{V}$  over  $\mathbb{F}_q$  and let  $u \in \mathbb{V}$  be a non-zero singular vector. Then there exists a vector  $v \in \mathbb{V}$  such that  $\{u, v\}$  is a hyperbolic pair.*

The orthogonal group  $O(\mathbb{V}, Q)$  is the group which consists of all automorphisms of  $Q$ . More precisely,

$$O(\mathbb{V}, Q) := \{\tau \in GL(\mathbb{V}) \mid Q(\tau(v)) = Q(v) \text{ for all } v \in \mathbb{V}\}.$$

Throughout this paper, we always assume that  $q$  is even. Let  $Q$  be a non-degenerate quadratic form on  $\mathbb{V}$ . Suppose  $\dim \mathbb{V} = 2m + 1$  is odd, then there exists a basis  $\{v_1, v_2, \dots, v_{2m+1}\}$  of  $\mathbb{V}$  such that

$$Q\left(\sum_{i=1}^{2m+1} \xi_i v_i\right) = \xi_1 \xi_{m+1} + \xi_2 \xi_{m+2} + \dots + \xi_m \xi_{2m} + \xi_{2m+1}^2,$$

which is equivalent to saying that  $\mathbb{V}$  is decomposed as

$$\mathbb{V} = \langle v_1, v_{m+1} \rangle \perp \dots \perp \langle v_m, v_{2m} \rangle \perp \langle v_{2m+1} \rangle,$$

where  $\{v_1, v_{m+1}\}, \dots, \{v_m, v_{2m}\}$  are hyperbolic pairs and  $Q(v_{2m+1}) = 1$ . We write  $GO_{2m+1}(q) = O(\mathbb{V}, Q)$ . Suppose  $\dim \mathbb{V} = 2m$  is even, then one of the following occurs:

(i) there exists a basis  $\{v_1, v_2, \dots, v_{2m}\}$  of  $\mathbb{V}$  such that

$$Q\left(\sum_{i=1}^{2m} \xi_i v_i\right) = \xi_1 \xi_{m+1} + \xi_2 \xi_{m+2} + \dots + \xi_m \xi_{2m},$$

(ii) there exists a basis  $\{v_1, v_2, \dots, v_{2m}\}$  of  $\mathbb{V}$  such that

$$Q\left(\sum_{i=1}^{2m} \xi_i v_i\right) = \xi_1 \xi_{m+1} + \xi_2 \xi_{m+2} + \dots + \xi_{m-1} \xi_{2m-1} + \xi_m^2 + \xi_m \xi_{2m} + \pi \xi_{2m}^2,$$

where  $t^2 + t + \pi$  is an irreducible polynomial over  $\mathbb{F}_q$ . In what follows, we call the former *positive-type* and the latter *negative-type*, and we write their orthogonal groups as  $GO_{2m}^+(q)$  and  $GO_{2m}^-(q)$ , respectively.

We end this subsection by proving the following enumerative lemma (cf. Bannai-Hao-Song [2, Lemma 1.1.]).

**Lemma 1.1.3.** *For  $\beta \in \mathbb{F}_q$  and a polynomial  $h(\xi_1, \xi_2, \dots, \xi_n) \in \mathbb{F}_q[\xi_1, \xi_2, \dots, \xi_n]$ , denote the number of solutions of the equation  $h(\xi_1, \xi_2, \dots, \xi_n) = \beta$  in  $\mathbb{F}_q^n$  by  $N[h(\xi_1, \xi_2, \dots, \xi_n) = \beta]$ .*

(i) *If we denote*

$$\Gamma_\beta(2m+1) := N[\xi_1 \xi_{m+1} + \xi_2 \xi_{m+2} + \dots + \xi_m \xi_{2m} + \xi_{2m+1}^2 = \beta],$$

*then*

$$\Gamma_\beta(2m+1) = q^{2m} \text{ for all } \beta \in \mathbb{F}_q.$$

(ii) *If we denote*

$$\Gamma_\beta^+(2m) := N[\xi_1 \xi_{m+1} + \xi_2 \xi_{m+2} + \dots + \xi_m \xi_{2m} = \beta],$$

*then*

$$\Gamma_\beta^+(2m) = \begin{cases} q^m + q^{m-1}(q^m - 1) & \text{for } \beta = 0 \\ q^{m-1}(q^m - 1) & \text{for } \beta \in \mathbb{F}_q^*. \end{cases}$$

(iii) *If we denote*

$$\Gamma_\beta^-(2m) := N[\xi_1 \xi_{m+1} + \xi_2 \xi_{m+2} + \dots + \xi_{m-1} \xi_{2m-1} + \xi_m^2 + \xi_m \xi_{2m} + \pi \xi_{2m}^2 = \beta],$$

*then*

$$\Gamma_\beta^-(2m) = \begin{cases} q^{m-1} + q^m(q^{m-1} - 1) & \text{for } \beta = 0 \\ q^{m-1}(q^m + 1) & \text{for } \beta \in \mathbb{F}_q^*, \end{cases}$$

*where  $t^2 + t + \pi$  is an irreducible polynomial over  $\mathbb{F}_q$ .*

*Proof.* (i) Since  $\mathbb{F}_q$  is assumed to be characteristic 2, any element in  $\mathbb{F}_q$  is a square. Thus we can choose  $\xi_1, \xi_2, \dots, \xi_{2m}$  arbitrarily. (ii) Suppose  $(\xi_{m+1}, \xi_{m+2}, \dots, \xi_{2m}) = (0, 0, \dots, 0)$ , then if  $\beta = 0$  we have  $q^m$  choices for  $\xi_1, \xi_2, \dots, \xi_m$ . Next suppose  $(\xi_{m+1}, \xi_{m+2}, \dots, \xi_{2m}) \neq (0, 0, \dots, 0)$ , say  $\xi_{2m} \neq 0$ . Then  $\xi_m$  is uniquely determined depending on  $\xi_1, \xi_2, \dots, \xi_{m-1}$ , hence we have  $q^{m-1}$  choices for  $\xi_1, \xi_2, \dots, \xi_m$ . (iii) First we consider the case  $m = 1$ . If  $\xi_2 = 0$ , then clearly  $\xi_1$  is uniquely determined. If  $\xi_2 \neq 0$ , then the number of solutions of the equation

$$\xi_1^2 + \xi_1 \xi_2 + \pi \xi_2^2 = \beta, \quad \xi_2 \neq 0$$

is equal to the number of solutions of the equation

$$\xi^2(\eta^2 + \eta + \pi) = \beta, \quad \xi \neq 0,$$

by putting  $\xi := \xi_2$  and  $\eta := \frac{\xi_1}{\xi_2}$ . Since  $t^2 + t + \pi$  is irreducible over  $\mathbb{F}_q$ , if  $\beta = 0$  then there is no solution, and if  $\beta \neq 0$  then there are exactly  $q$  solutions. Thus, we have

$$\Gamma_{\beta}^{-}(2) = \begin{cases} 1 & \text{for } \beta = 0 \\ q + 1 & \text{for } \beta \in \mathbb{F}_q^*. \end{cases}$$

Consequently, from (ii) we have

$$\begin{aligned} \Gamma_0^{-}(2m) &= \{q^{m-1} + q^{m-2}(q^{m-1} - 1)\} + (q-1)(q+1)q^{m-2}(q^{m-1} - 1) \\ &= q^{m-1} + q^m(q^{m-1} - 1), \end{aligned}$$

and for  $\beta \neq 0$  we have

$$\begin{aligned} \Gamma_{\beta}^{-}(2m) &= q^{m-2}(q^{m-1} - 1) + (q+1)\{q^{m-1} + q^{m-2}(q^{m-1} - 1)\} + (q-2)(q+1)q^{m-2}(q^{m-1} - 1) \\ &= (q+1)q^{m-1} + q^m(q^{m-1} - 1) \\ &= q^{m-1}(q^m + 1), \end{aligned}$$

which completes the proof of Lemma 1.1.3.  $\square$

## 1.2 Description of the Relations

Let  $\mathbb{V}$  be a  $(2m+1)$ -dimensional vector space over a finite field  $\mathbb{F}_q$  of characteristic 2, and let  $Q : \mathbb{V} \rightarrow \mathbb{F}_q$  be a non-degenerate quadratic form on  $\mathbb{V}$  over  $\mathbb{F}_q$  with associated alternating form  $f : \mathbb{V} \times \mathbb{V} \rightarrow \mathbb{F}_q$ . In this case  $\text{Rad } f$  is a 1-dimensional subspace of  $\mathbb{V}$ , and there exists a vector  $r \in \mathbb{V}$  such that  $Q(r) = 1$  and

$$\text{Rad } f = \langle r \rangle.$$

Let  $U \subset \mathbb{V}$  be a subspace of  $\mathbb{V}$ . If the restriction of  $Q$  to  $U$  is non-degenerate (resp. degenerate), then we call this subspace *non-degenerate* (resp. *degenerate*). Moreover suppose that  $\dim U$  is even, then if the restriction of  $Q$  to  $U$  is positive-type (resp. negative-type), then we call this subspace *positive-type* (resp. *negative-type*).

Denote the set of positive-type hyperplanes of  $\mathbb{V}$  and the set of negative-type hyperplanes of  $\mathbb{V}$  by  $\Omega = \Omega_{2m+1}(q)$  and  $\Theta = \Theta_{2m+1}(q)$ , respectively. The orthogonal group  $GO_{2m+1}(q)$  acts transitively on  $\Omega$  and  $\Theta$ , and the stabilizer of an element of  $\Omega$  (resp.  $\Theta$ ) in  $GO_{2m+1}(q)$  is isomorphic to  $GO_{2m}^+(q)$  (resp.  $GO_{2m}^-(q)$ ). Note that to see the transitivity we do not need the *Witt's extension theorem* (cf. Munemasa [12]), since for any  $U, U' \in \Omega$  (resp.  $\Theta$ ), any *isometry*  $\tau : U \rightarrow U'$  (that is,  $\tau$  is an injective linear map which has the property that  $Q(\tau(u)) = Q(u)$  for all  $u \in U$ ) is extended to an automorphism  $\tilde{\tau} : \mathbb{V} \rightarrow \mathbb{V}$  by  $\tilde{\tau}(r) := r$ .

The numbers of positive-type and negative-type hyperplanes are given as follows (cf. **ATLAS** [8, p.xii]):

$$\begin{aligned} |\Omega| &= \frac{|GO_{2m+1}(q)|}{|GO_{2m}^+(q)|} \\ &= \frac{q^{m^2}(q^{2m} - 1)(q^{2m-2} - 1) \dots (q^2 - 1)}{2q^{m(m-1)}(q^m - 1)(q^{2m-2} - 1) \dots (q^2 - 1)} \\ &= \frac{q^m(q^m + 1)}{2}, \end{aligned} \tag{2}$$

and

$$\begin{aligned}
|\Theta| &= \frac{|GO_{2m+1}(q)|}{|GO_{2m}^-(q)|} \\
&= \frac{q^{m^2}(q^{2m}-1)(q^{2m-2}-1)\dots(q^2-1)}{2q^{m(m-1)}(q^m+1)(q^{2m-2}-1)\dots(q^2-1)} \\
&= \frac{q^m(q^m-1)}{2}.
\end{aligned} \tag{3}$$

Now, we describe the relations of the association scheme  $\mathfrak{X}(GO_{2m+1}(q), \Omega)$ , defined by the action of  $GO_{2m+1}(q)$  on the set  $\Omega$ . Let  $U, V$  be two distinct elements in  $\Omega$ . Note that  $U \cap V$  is a  $(2m-1)$ -dimensional subspace in  $\mathbb{V}$ .

(i) Suppose  $U \cap V$  is a degenerate subspace in  $\mathbb{V}$ . Then there exists a singular vector  $w$  in  $U \cap V$  such that

$$U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V,$$

since  $0 \subsetneq \text{Rad } f|_{U \cap V} \subset (U \cap V)^\perp \cap U$  and  $\dim\{(U \cap V)^\perp \cap U\} = \dim U - \dim(U \cap V) = 1$  by Proposition 1.1.1. Let  $u$  be a vector in  $U$  such that  $\{u, w\}$  is a hyperbolic pair (Proposition 1.1.2). Then since  $U$  and  $V$  are both positive-type, there exists a positive-type hyperplane  $W$  of  $U \cap V$  and a vector  $v \in V$  such that  $\{v, w\}$  is a hyperbolic pair and

$$U = \langle u, w \rangle^\perp \perp W, \quad V = \langle v, w \rangle^\perp \perp W.$$

Suppose  $f(u, v) = 0$  holds. Then since  $f(u+v, w) = 1+1=0$  and  $f(u+v, v) = 0$ , we have  $u+v \in \mathbb{V}^\perp = \langle r \rangle$  so that  $v = u + \alpha r$  for some  $\alpha \in \mathbb{F}_q$ . This implies  $u = v$ , since  $0 = Q(v) = \alpha^2$ , which contradicts the assumption  $U \neq V$ . Therefore we may assume  $f(u, v) = 1$  without loss of generality, since  $Q(w) = 0$ .

Let  $U'$  and  $V'$  be other distinct elements in  $\Omega$  such that  $U' \cap V'$  is degenerate, and decompose  $U'$  and  $V'$  in the same manner:

$$U' = \langle u', w' \rangle^\perp \perp W', \quad V' = \langle v', w' \rangle^\perp \perp W',$$

where  $\{u', w'\}, \{v', w'\}$  are hyperbolic pairs,  $W'$  is a positive-type hyperplane of  $U' \cap V'$ , and  $f(u', v') = 1$ . Let  $\tau : W \rightarrow W'$  be an isometry, and define a linear mapping  $\tilde{\tau} : \mathbb{V} \rightarrow \mathbb{V}$  by  $\tilde{\tau}|_W := \tau$ ,  $\tilde{\tau}(u) := u'$ ,  $\tilde{\tau}(v) := v'$ , and  $\tilde{\tau}(w) := w'$ . Then  $\tilde{\tau}$  becomes an automorphism of  $Q$  and we have  $\tau(U) = U'$ ,  $\tau(V) = V'$ . Hence it follows that

$$R_1 := \{(U, V) \in \Omega \times \Omega \mid U \cap V : \text{degenerate}\} \tag{4}$$

forms a relation of  $\mathfrak{X}(GO_{2m+1}(q), \Omega)$  (that is, an orbital of the transitive action of  $GO_{2m+1}(q)$  on  $\Omega$ ).

Finally we determine the valency  $k_1$  of  $R_1$ . Let  $H$  be a degenerate hyperplane of  $U$ , then any non-degenerate hyperplane  $K$  of  $\mathbb{V}$  which includes  $H$  becomes automatically positive-type. In fact, since there exist a singular vector  $w$  in  $H$  and a positive-type hyperplane  $W$  of  $H$  such that

$$H = \langle w \rangle^\perp \perp W,$$

hence  $K = W \perp (W^\perp \cap K)$  cannot be negative-type. There are  $\frac{q^{2m+1}-q^{2m-1}}{q^{2m}-q^{2m-1}} = q+1$  hyperplanes of  $\mathbb{V}$  which include  $H$ . In these  $q+1$  hyperplanes,  $\langle r \rangle^\perp \perp H$  is the only degenerate hyperplane. Thus there are  $q-1$  elements  $V$  in  $\Omega$  such that  $(U, V) \in R_1$  and  $U \cap V = H$ . It follows from Proposition 1.1.1 that there is a one-to-one correspondence between degenerate hyperplanes of  $U$  and 1-dimensional singular subspaces of  $U$ . Therefore by Lemma 1.1.3(ii) we have

$$k_1 = \frac{q^m + q^{m-1}(q^m - 1) - 1}{q - 1}(q - 1) = (q^{m-1} + 1)(q^m - 1). \tag{5}$$

(ii) Suppose  $U \cap V$  is a non-degenerate subspace in  $\mathbb{V}$ . Then there exists a vector  $w$  in  $U \cap V$  such that  $Q(w) = 1$  and

$$U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V.$$

First of all, we show that for any non-degenerate hyperplane  $W$  of  $U \cap V$  there exist two vectors  $u \in U$ ,  $v \in V$  such that  $Q(u) = Q(v)$ ,  $f(u, w) = f(v, w) = 1$  and

$$U = \langle u, w \rangle^\perp W, \quad V = \langle v, w \rangle^\perp W.$$

If  $W$  is positive-type (resp. negative-type), then  $W^\perp \cap U$  is also positive-type (resp. negative-type). Let  $u \in W^\perp \cap U$  and  $v \in W^\perp \cap V$  be two vectors such that  $f(u, w) = 1$  and  $f(v, w) = 1$ , then the polynomials  $t^2 + t + Q(u)$  and  $t^2 + t + Q(v)$  are reducible (resp. irreducible) over  $\mathbb{F}_q$ . The assertion follows immediately from the fact that the set  $\{\alpha^2 + \alpha \mid \alpha \in \mathbb{F}_q\}$  is an additive subgroup of  $\mathbb{F}_q$  of index 2 (cf. Munemasa [12, p.12, Lemma 2.9.]). In fact, let  $\alpha$  be an element in  $\mathbb{F}_q$  such that  $Q(u) = \alpha^2 + \alpha + Q(v)$  then  $u \in U$  and  $v' := \alpha w + v \in V$  are desired vectors, since  $Q(v') = \alpha^2 + \alpha + Q(v) = Q(u)$  and  $f(v', w) = f(v, w) = 1$ .

Define

$$\Delta := \frac{f(u, v)}{f(u, v) + 1}. \quad (6)$$

Then we have the following:

**Proposition 1.2.1.**  $\Delta$  is well-defined and  $\Delta \in \mathbb{F}_q \setminus \{0, 1\}$ . Moreover, the pair  $\{\Delta, \Delta^{-1}\}$  does not depend on the choice of  $W, u, v$ .

*Proof.* Since  $f(u + v, w) = 1 + 1 = 0$ , the vector  $u + v$  is contained in  $(U \cap V)^\perp$ . By Proposition 1.1.1 we have  $\dim(U \cap V)^\perp = 2$ , from which it follows that

$$u + v = \alpha w + \beta r$$

for some  $\alpha, \beta \in \mathbb{F}_q$ .

Suppose  $f(u, v) = 0$ , that is  $\alpha = 0$ . Then we have  $\beta = 0$ , since  $Q(u) = Q(v) = Q(u + \beta r) = Q(u) + \beta^2$ . This implies  $u = v$ , which is a contradiction. Next, suppose  $f(u, v) = 1$ , that is  $\alpha = 1$ . Then we also have  $\beta = 0$ , since  $Q(u) = Q(v) = Q(u + w + \beta r) = Q(u) + 1 + 1 + \beta^2 = Q(u) + \beta^2$ . In this case this implies  $u + w = v$ , which is also a contradiction.

In order to show that the pair  $\{\Delta, \Delta^{-1}\}$  does not depend on  $W, u$  and  $v$ , let

$$U = \langle u', w \rangle^\perp W', \quad V = \langle v', w \rangle^\perp W'$$

be another decomposition such that  $Q(u') = Q(v')$  and  $f(u', w) = f(v', w) = 1$ . Then since  $f(u', w) = 1$ , we have  $u' = u + \gamma w + z$  for some  $\gamma \in \mathbb{F}_q$  and  $z \in W$ . Let  $v'' := v + \gamma w + z$  be a vector in  $V$ , then clearly  $Q(v'') = Q(u')$  and  $f(v'', w) = 1$ . Furthermore we have  $u' + v'' = u + v = \alpha w + \beta r$ , that is,

$$V = \langle v'', w \rangle^\perp W',$$

which implies that  $v''$  must be  $v'$  or  $v' + w$ , since if we express  $v''$  as a linear combination of  $v'$  and  $w$ , say  $v'' = \gamma_1 v' + \gamma_2 w$ , then  $\gamma_1 = f(v'', w) = 1$  and  $Q(v') = Q(v'') = Q(v') + \gamma_2^2 + \gamma_2$  so that  $\gamma_2 = 0$  or  $\gamma_2 = 1$ . If  $v'' = v'$ , then we have

$$\frac{f(u', v')}{f(u', v') + 1} = \frac{f(u', v'')}{f(u', v'') + 1} = \frac{f(u, v)}{f(u, v) + 1}.$$

Similarly if  $v'' = v' + w$ , then we have

$$\frac{f(u', v')}{f(u', v') + 1} = \frac{f(u', v'' + w)}{f(u', v'' + w) + 1} = \frac{f(u', v'') + 1}{f(u', v')} = \frac{f(u, v) + 1}{f(u, v)}.$$

This completes the proof of Proposition 1.2.1.  $\square$



We denote

$$\Delta(U, V) := \{\Delta, \Delta^{-1}\}. \quad (7)$$

It should be noticed that in the definition of  $\Delta(U, V)$  it does not matter whether  $W$  is positive-type or negative-type.

Let  $U'$  and  $V'$  be other distinct two elements in  $\Omega$  such that  $\Delta(U', V') = \Delta(U, V)$ , and let

$$U' = \langle u', w' \rangle \perp W', \quad V' = \langle v', w' \rangle \perp W'$$

be a decomposition, where  $Q(w') = 1$ ,  $W'$  has the same type as  $W$ ,  $Q(u') = Q(v') = Q(u)$  and  $f(u', w') = f(v', w') = 1$ . Without loss of generality we may assume  $f(u, v) = f(u', v')$ . Let  $\tau : W \rightarrow W'$  be an isometry, and define a linear mapping  $\tilde{\tau} : \mathbb{V} \rightarrow \mathbb{V}$  by  $\tilde{\tau}|_W := \tau$ ,  $\tilde{\tau}(u) := u'$ ,  $\tilde{\tau}(v) := v'$ , and  $\tilde{\tau}(w) := w'$ . Then  $\tilde{\tau}$  becomes an automorphism of  $Q$  and we have  $\tau(U) = U'$ ,  $\tau(V) = V'$ . Thus, the remaining relations of  $\mathfrak{X}(GO_{2m+1}(q), \Omega)$  are described as follows:

$$R_i := \{(U, V) \in \Omega \times \Omega \mid U \cap V : \text{non-degenerate}, \Delta(U, V) = \{\nu^{i-1}, \nu^{-(i-1)}\}\} \quad (2 \leq i \leq \frac{q}{2}), \quad (8)$$

where  $\nu \in \mathbb{F}_q^*$  is a primitive element of  $\mathbb{F}_q$ .

Finally, we determine the valencies  $k_i$  of  $R_i$  ( $2 \leq i \leq \frac{q}{2}$ ). We define

$$\lambda_i := \frac{\nu^{i-1}}{1 + \nu^{i-1}} \in \mathbb{F}_q \setminus \{0, 1\}, \quad \text{for } 2 \leq i \leq \frac{q}{2}, \quad (9)$$

and

$$\mu_i := \sqrt{\lambda_i^2 + \lambda_i} \neq 0, \quad \text{for } 2 \leq i \leq \frac{q}{2}, \quad (10)$$

Notice that

$$\frac{\nu^{-(i-1)}}{1 + \nu^{-(i-1)}} = \frac{1}{1 + \nu^{i-1}} = \lambda_i + 1,$$

from which it follows that

$$\lambda_i + \lambda_j \neq 0, 1, \quad \text{if } i \neq j, \quad (11)$$

or equivalently

$$\mu_i \neq \mu_j \quad \text{if } i \neq j. \quad (12)$$

Let  $H$  be a non-degenerate hyperplane of  $U$ , then there exists a vector  $w$  in  $H$  such that  $Q(w) = 1$  and

$$H = \langle w \rangle^\perp \cap U.$$

Fix a vector  $u$  in  $U$  such that  $f(u, w) = 1$ . Then it follows that the only element  $V$  of  $\Omega$  which satisfies  $U \cap V = H$  and  $\Delta(U, V) = \{\nu^{i-1}, \nu^{-(i-1)}\}$  is given by

$$V := \langle v \rangle \oplus H, \quad \text{where } v := u + \lambda_i w + \mu_i r \in \mathbb{V}. \quad (13)$$

To show this, let  $V$  be such an element in  $\Omega$  and let

$$U = \langle u, w \rangle \perp W, \quad V = \langle v, w \rangle \perp W$$

be a decomposition, where  $Q(v) = Q(u)$  and  $f(v, w) = 1$ . As is in the proof of Proposition 1.2.1,  $u + v = \alpha w + \beta r$  for some  $\alpha, \beta \in \mathbb{F}_q$ , where  $\alpha \neq 0, 1$ , and we may assume

$$\frac{f(u, v)}{f(u, v) + 1} = \nu^{i-1}$$

without loss of generality. Then we have

$$\nu^{i-1} = \frac{\alpha}{\alpha + 1},$$

from which it follows  $\alpha = \lambda_i$ . Also we have  $Q(u) = Q(v) = Q(u) + \lambda_i^2 + \lambda_i + \beta^2$  so that  $\beta = \mu_i$ , as desired.

It follows from Proposition 1.1.1 that there is a one-to-one correspondence between non-degenerate hyperplanes of  $U$  and 1-dimensional non-singular subspaces of  $U$ . Therefore by Lemma 1.1.3(ii) we obtain

$$k_i = q^{m-1}(q^m - 1) \quad (14)$$

for  $2 \leq i \leq \frac{q}{2}$ . To summarize:

The association scheme  $\mathfrak{X}(GO_{2m+1}, \Omega) = (\Omega, \{R_i\}_{0 \leq i \leq \frac{q}{2}})$  is a symmetric association scheme of class  $\frac{q}{2}$  whose relations are defined by

$$\begin{aligned} R_1 &:= \{(U, V) \in \Omega \times \Omega \mid U \cap V : \text{degenerate}\}, \\ R_i &:= \{(U, V) \in \Omega \times \Omega \mid U \cap V : \text{non-degenerate}, \Delta(U, V) = \{\nu^{i-1}, \nu^{-(i-1)}\}\} \quad (2 \leq i \leq \frac{q}{2}). \end{aligned}$$

The valencies of  $\mathfrak{X}(GO_{2m+1}, \Omega)$  are given as

$$\begin{aligned} k_1 &= (q^{m-1} + 1)(q^m - 1), \\ k_i &= q^{m-1}(q^m - 1) \quad (2 \leq i \leq \frac{q}{2}). \end{aligned}$$

Secondly, we describe the relations of the association scheme  $\mathfrak{X}(GO_{2m+1}(q), \Theta)$  in the same way as  $\mathfrak{X}(GO_{2m+1}, \Omega)$ . Let  $U, V$  be two distinct elements in  $\Theta$ .

(i) Suppose  $U \cap V$  is a degenerate subspace in  $\mathbb{V}$ . Notice that this occurs only if  $m \geq 2$ , since any 2-dimensional negative-type subspace of  $\mathbb{V}$  has no non-zero singular vector. There exists a singular vector  $w$  in  $U \cap V$  such that

$$U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V,$$

Let  $u$  be a vector in  $U$  such that  $\{u, w\}$  is a hyperbolic pair (Proposition 1.1.2). Then since  $U$  and  $V$  are both negative-type, there exists a negative-type hyperplane  $W$  of  $U \cap V$  and a vector  $v \in V$  such that  $\{v, w\}$  is a hyperbolic pair and

$$U = \langle u, w \rangle^\perp W, \quad V = \langle v, w \rangle^\perp W.$$

Suppose  $f(u, v) = 0$  holds. Then since  $f(u + v, w) = 1 + 1 = 0$  and  $f(u + v, v) = 0$ , we have  $u + v \in \mathbb{V}^\perp = \langle r \rangle$  so that  $v = u + \alpha r$  for some  $\alpha \in \mathbb{F}_q$ . This implies  $u = v$ , since  $0 = Q(v) = \alpha^2$ , which contradicts the assumption  $U \neq V$ . Therefore we may assume  $f(u, v) = 1$  without loss of generality, since  $Q(w) = 0$ .

Let  $U'$  and  $V'$  be other distinct elements in  $\Theta$  such that  $U' \cap V'$  is degenerate, and decompose  $U'$  and  $V'$  in the same manner:

$$U' = \langle u', w' \rangle^\perp W', \quad V' = \langle v', w' \rangle^\perp W',$$

where  $\{u', w'\}, \{v', w'\}$  are hyperbolic pairs,  $W'$  is a negative-type hyperplane of  $U' \cap V'$ , and  $f(u', v') = 1$ . Let  $\tau : W \rightarrow W'$  be an isometry, and define a linear mapping  $\tilde{\tau} : \mathbb{V} \rightarrow \mathbb{V}$  by  $\tilde{\tau}|_W := \tau$ ,  $\tilde{\tau}(u) := u'$ ,  $\tilde{\tau}(v) := v'$ , and  $\tilde{\tau}(w) := w'$ . Then  $\tilde{\tau}$  becomes an automorphism of  $Q$  and we have  $\tau(U) = U'$ ,  $\tau(V) = V'$ . Hence it follows that

$$S_1 := \{(U, V) \in \Theta \times \Theta \mid U \cap V : \text{degenerate}\} \quad (15)$$

forms a relation of  $\mathfrak{X}(GO_{2m+1}(q), \Theta)$ .

Finally we determine the valency  $h_1$  of  $S_1$ . Let  $H$  be a degenerate hyperplane of  $U$ , then any non-degenerate hyperplane  $K$  of  $\mathbb{V}$  which includes  $H$  becomes automatically negative-type. In fact, since there exist a singular vector  $w$  in  $H$  and a negative-type hyperplane  $W$  of  $H$  such that

$$H = \langle w \rangle^\perp W,$$

hence  $K = W \perp (W^\perp \cap K)$  cannot be positive-type. There are  $\frac{q^{2m+1} - q^{2m-1}}{q^{2m} - q^{2m-1}} = q + 1$  hyperplanes of  $\mathbb{V}$  which include  $H$ . In these  $q + 1$  hyperplanes,  $\langle r \rangle^\perp H$  is the only degenerate hyperplane. Thus there

are  $q-1$  elements  $V$  in  $\Theta$  such that  $(U, V) \in S_1$  and  $U \cap V = H$ . It follows from Proposition 1.1.1 that there is a one-to-one correspondence between degenerate hyperplanes of  $U$  and 1-dimensional singular subspaces of  $U$ . Therefore by Lemma 1.1.3(iii) we have

$$h_1 = \frac{q^{m-1} + q^m(q^{m-1} - 1) - 1}{q-1}(q-1) = (q^{m-1} - 1)(q^m + 1). \quad (16)$$

(ii) Suppose  $U \cap V$  is a non-degenerate subspace in  $\mathbb{V}$ . Then there exists a vector  $w$  in  $U \cap V$  such that  $Q(w) = 1$  and

$$U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V.$$

First of all, we show that for any non-degenerate hyperplane  $W$  of  $U \cap V$  there exist two vectors  $u \in U, v \in V$  such that  $Q(u) = Q(v), f(u, w) = f(v, w) = 1$  and

$$U = \langle u, w \rangle^\perp W, \quad V = \langle v, w \rangle^\perp W.$$

If  $W$  is positive-type (resp. negative-type), then  $W^\perp \cap U$  is negative-type (resp. positive-type). Let  $u \in W^\perp \cap U$  and  $v \in W^\perp \cap V$  be two vectors such that  $f(u, w) = 1$  and  $f(v, w) = 1$ , then the polynomials  $t^2 + t + Q(u)$  and  $t^2 + t + Q(v)$  are irreducible (resp. reducible) over  $\mathbb{F}_q$ . The assertion follows immediately from the fact that the set  $\{\alpha^2 + \alpha \mid \alpha \in \mathbb{F}_q\}$  is an additive subgroup of  $\mathbb{F}_q$  of index 2 (cf. Munemasa [12, p.12, Lemma 2.9.]). In fact, let  $\alpha$  be an element in  $\mathbb{F}_q$  such that  $Q(u) = \alpha^2 + \alpha + Q(v)$  then  $u \in U$  and  $v' := \alpha w + v \in V$  are desired vectors, since  $Q(v') = \alpha^2 + \alpha + Q(v) = Q(u)$  and  $f(v', w) = f(v, w) = 1$ .

Define

$$\Pi := \frac{f(u, v)}{f(u, v) + 1}. \quad (17)$$

The proof of the following proposition is exactly the same as that of Proposition 1.2.1.

**Proposition 1.2.2.**  $\Pi$  is well-defined and  $\Pi \in \mathbb{F}_q \setminus \{0, 1\}$ . Moreover, the pair  $\{\Pi, \Pi^{-1}\}$  does not depend on the choice of  $W, u, v$ .

*Proof.* Since  $f(u + v, w) = 1 + 1 = 0$ , the vector  $u + v$  is contained in  $(U \cap V)^\perp$ . By Proposition 1.1.1 we have  $\dim(U \cap V)^\perp = 2$ , from which it follows that  $u + v = \alpha w + \beta r$  for some  $\alpha, \beta \in \mathbb{F}_q$ .

Suppose  $f(u, v) = 0$ , that is  $\alpha = 0$ . Then we have  $\beta = 0$ , since  $Q(u) = Q(v) = Q(u + \beta r) = Q(u) + \beta^2$ . This implies  $u = v$ , which is a contradiction. Next, suppose  $f(u, v) = 1$ , that is  $\alpha = 1$ . Then we also have  $\beta = 0$ , since  $Q(u) = Q(v) = Q(u + w + \beta r) = Q(u) + 1 + 1 + \beta^2 = Q(u) + \beta^2$ . In this case this implies  $u + w = v$ , which is also a contradiction.

In order to show that the pair  $\{\Pi, \Pi^{-1}\}$  does not depend on  $W, u$  and  $v$ , let

$$U = \langle u', w \rangle^\perp W', \quad V = \langle v', w \rangle^\perp W'$$

be another decomposition such that  $Q(u') = Q(v')$  and  $f(u', w) = f(v', w) = 1$ . Then since  $f(u', w) = 1$ , we have  $u' = u + \gamma w + z$  for some  $\gamma \in \mathbb{F}_q$  and  $z \in W$ . Let  $v'' := v + \gamma w + z$  be a vector in  $V$ , then clearly  $Q(v'') = Q(u')$  and  $f(v'', w) = 1$ . Furthermore we have  $u' + v'' = u + v = \alpha w + \beta r$ , that is,

$$V = \langle v'', w \rangle^\perp W',$$

which implies that  $v''$  must be  $v'$  or  $v' + w$ , since if we express  $v''$  as a linear combination of  $v'$  and  $w$ , say  $v'' = \gamma_1 v' + \gamma_2 w$ , then  $\gamma_1 = f(v'', w) = 1$  and  $Q(v') = Q(v'') = Q(v') + \gamma_2^2 + \gamma_2$  so that  $\gamma_2 = 0$  or  $\gamma_2 = 1$ . If  $v'' = v'$ , then we have

$$\frac{f(u', v')}{f(u', v') + 1} = \frac{f(u', v'')}{f(u', v'') + 1} = \frac{f(u, v)}{f(u, v) + 1}.$$

Similarly if  $v'' = v' + w$ , then we have

$$\frac{f(u', v')}{f(u', v') + 1} = \frac{f(u', v'' + w)}{f(u', v'' + w) + 1} = \frac{f(u', v'') + 1}{f(u', v')} = \frac{f(u, v) + 1}{f(u, v)}.$$

This completes the proof of Proposition 1.2.2.  $\square$

We denote

$$\Pi(U, V) := \{\Pi, \Pi^{-1}\}. \quad (18)$$

It should be noticed that in the definition of  $\Pi(U, V)$  it does not matter whether  $W$  is positive-type or negative-type.

Let  $U'$  and  $V'$  be other distinct two elements in  $\Theta$  such that  $\Pi(U', V') = \Pi(U, V)$ , and let

$$U' = \langle u', w' \rangle \perp W', \quad V' = \langle v', w' \rangle \perp W'$$

be a decomposition, where  $Q(w') = 1$ ,  $W'$  has the same type as  $W$ ,  $Q(u') = Q(v') = Q(u)$  and  $f(u', w') = f(v', w') = 1$ . Without loss of generality we may assume  $f(u, v) = f(u', v')$ . Let  $\tau : W \rightarrow W'$  be an isometry, and define a linear mapping  $\tilde{\tau} : \mathbb{V} \rightarrow \mathbb{V}$  by  $\tilde{\tau}|_W := \tau$ ,  $\tilde{\tau}(u) := u'$ ,  $\tilde{\tau}(v) := v'$ , and  $\tilde{\tau}(w) := w'$ . Then  $\tilde{\tau}$  becomes an automorphism of  $Q$  and we have  $\tau(U) = U'$ ,  $\tau(V) = V'$ . Thus, the remaining relations of  $\mathfrak{X}(GO_{2m+1}(q), \Theta)$  are described as follows:

$$S_i := \{(U, V) \in \Theta \times \Theta \mid U \cap V : \text{non-degenerate}, \Pi(U, V) = \{\nu^{i-1}, \nu^{-(i-1)}\}\} \quad (2 \leq i \leq \frac{q}{2}). \quad (19)$$

Finally, we determine the valencies  $h_i$  of  $S_i$  ( $2 \leq i \leq \frac{q}{2}$ ). Let  $H$  be a non-degenerate hyperplane of  $U$ , then there exists a vector  $w$  in  $H$  such that  $Q(w) = 1$  and

$$H = \langle w \rangle^\perp \cap U.$$

Fix a vector  $u$  in  $U$  such that  $f(u, w) = 1$ . Then it follows that the only element  $V$  of  $\Theta$  which satisfies  $U \cap V = H$  and  $\Pi(U, V) = \{\nu^{i-1}, \nu^{-(i-1)}\}$  is given by

$$V := \langle v \rangle \oplus H, \quad \text{where } v := u + \lambda_i w + \mu_i r \in \mathbb{V}. \quad (20)$$

To show this, let  $V$  be such an element in  $\Theta$  and let

$$U = \langle u, w \rangle \perp W, \quad V = \langle v, w \rangle \perp W$$

be a decomposition, where  $Q(v) = Q(u)$  and  $f(v, w) = 1$ . As is in the proof of Proposition 1.2.2,  $u + v = \alpha w + \beta r$  for some  $\alpha, \beta \in \mathbb{F}_q$ , where  $\alpha \neq 0, 1$ , and we may assume

$$\frac{f(u, v)}{f(u, v) + 1} = \nu^{i-1}$$

without loss of generality. Then we have

$$\nu^{i-1} = \frac{\alpha}{\alpha + 1},$$

from which it follows  $\alpha = \lambda_i$ . Also we have  $Q(u) = Q(v) = Q(u) + \lambda_i^2 + \lambda_i + \beta^2$  so that  $\beta = \mu_i$ , as desired.

It follows from Proposition 1.1.1 that there is a one-to-one correspondence between non-degenerate hyperplanes of  $U$  and 1-dimensional non-singular subspaces of  $U$ . Therefore by Lemma 1.1.3(iii) we obtain

$$h_i = q^{m-1}(q^m + 1) \quad (21)$$

for  $2 \leq i \leq \frac{q}{2}$ . To summarize:

*The association scheme  $\mathfrak{X}(GO_{2m+1}, \Theta) = (\Theta, \{S_i\}_{0 \leq i \leq \frac{q}{2}})$  is a symmetric association scheme of class  $\frac{q}{2}$  if  $m \geq 2$ , whose relations are defined by*

$$S_1 := \{(U, V) \in \Theta \times \Theta \mid U \cap V : \text{degenerate}\},$$

$$S_i := \{(U, V) \in \Theta \times \Theta \mid U \cap V : \text{non-degenerate}, \Pi(U, V) = \{\nu^{i-1}, \nu^{-(i-1)}\}\} \quad (2 \leq i \leq \frac{q}{2}).$$

*If  $m = 1$  then we have  $S_1 = \emptyset$  so that  $\mathfrak{X}(GO_3(q), \Theta)$  is a symmetric association scheme of class  $\frac{q}{2} - 1$ . The valencies of  $\mathfrak{X}(GO_{2m+1}, \Theta)$  are given as*

$$h_1 = (q^{m-1} - 1)(q^m + 1),$$

$$h_i = q^{m-1}(q^m + 1) \quad (2 \leq i \leq \frac{q}{2}).$$

## 2 Computation of Parameters

### 2.1 The Parameters of $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$

In this subsection, we compute the intersection numbers  $\{p_{ij}^k\}$  of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$ .

(i) Suppose first  $2 \leq i, j, k \leq \frac{q}{2}$ . Let  $U$  and  $V$  be elements in  $\Omega$  such that  $(U, V) \in R_k$ , and let  $w$  denote the vector in  $H := U \cap V$  such that  $Q(w) = 1$  and

$$H = U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V.$$

First of all, we count the number of elements  $K$  in  $\Omega$  which satisfy  $(U, K) \in R_i$ ,  $(V, K) \in R_j$ , and  $U \cap K = V \cap K = H$ . Let  $v$  be a vector in  $V$  with  $f(v, w) = 1$  and define

$$u := v + \lambda_k w + \mu_k r.$$

Then it follows from (13) that

$$U = H \oplus \langle u \rangle,$$

and the only element  $K$  in  $\Omega$  such that  $(V, K) \in R_j$  and  $V \cap K = H$  is given by

$$K := H \oplus \langle z \rangle, \quad \text{where } z := v + \lambda_j w + \mu_j r.$$

Since

$$u + z = (\lambda_j + \lambda_k)w + (\mu_j + \mu_k)r,$$

if  $(U, K) \in R_i$ , then we have

$$\frac{\lambda_j + \lambda_k}{\lambda_j + \lambda_k + 1} = \nu^{\pm(i-1)},$$

that is,

$$\lambda_j + \lambda_k = \lambda_i, \quad \text{or} \quad \lambda_j + \lambda_k = \lambda_i + 1,$$

which is equivalent to

$$\mu_i^2 + \mu_j^2 + \mu_k^2 = 0.$$

Thus the number  $n_1$  of elements  $K$  in  $\Omega$  which satisfy  $(U, K) \in R_i$ ,  $(V, K) \in R_j$ , and  $U \cap K = V \cap K = H$  is

$$n_1 = \begin{cases} 1 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0, \\ 0 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0. \end{cases} \quad (22)$$

Next, fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 1$ , and define two subspaces of  $V$  as

$$\begin{aligned} H' &:= \langle w' \rangle^\perp \cap V, \\ W &:= H \cap H' = \langle w, w' \rangle^\perp \cap V. \end{aligned}$$

We need to determine whether there exists an element  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_j$  and  $V \cap K = H'$ . Notice that  $\text{Rad } f|_{\langle w, w' \rangle} = 0$  holds if and only if  $f(w, w') \neq 0$ , that is,  $W$  is non-degenerate if and only if  $f(w, w') \neq 0$ . Assume  $f(w, w') \neq 0$ , then  $W$  is positive-type if and only if the polynomial  $t^2 + f(w, w')t + 1 \in \mathbb{F}_q[t]$  is reducible over  $\mathbb{F}_q$ , since a 2-dimensional negative-type subspace has no non-zero singular vector.

Let  $v$  be a vector in  $H$  such that  $f(v, w') = 1$ , and let  $v'$  be a vector in  $H'$  such that  $f(v', w) = 1$ . We define

$$\begin{aligned} u &:= v' + \lambda_k w + \mu_k r, \\ z &:= v + \lambda_j w' + \mu_j r, \end{aligned}$$

so that  $U = H \oplus \langle u \rangle$ , and  $K := H' \oplus \langle z \rangle$  is the unique element in  $\Omega$  which satisfies  $(V, K) \in R_j$  and  $V \cap K = H'$ .

Since  $\mathbb{V} = W \oplus \langle v, v' \rangle \oplus \langle r \rangle$ , any vector  $x$  in  $U \cap K$  is uniquely written as

$$x = \alpha v + \beta v' + \gamma r,$$

for some  $\alpha, \beta, \gamma \in \mathbb{F}_q$  and  $y \in W$ . Then it follows from  $U = H \oplus \langle u \rangle$  and  $K = H' \oplus \langle z \rangle$  that

$$\gamma = \alpha\mu_j = \beta\mu_k. \quad (23)$$

Notice that there exist two vectors  $y$  and  $y'$  in  $W$  such that

$$w = f(w, w')v + y, \quad w' = f(w, w')v' + y'. \quad (24)$$

Let  $w''$  be a vector in  $U \cap K$  such that

$$U \cap K = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K.$$

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate subspace of  $V$ . Since  $\dim W^\perp = 3$  by Proposition 1.1.1, there exist some elements  $\xi, \eta, \delta \in \mathbb{F}_q$  such that

$$w'' = \xi w + \eta w' + \delta r.$$

Then by (24) we have

$$w'' = \xi f(w, w')v + \eta f(w, w')v' + \xi y + \eta y' + \delta r,$$

so that from (23) we obtain

$$w'' = \mu_k \epsilon w + \mu_j \epsilon w' + \mu_j \mu_k f(w, w') \epsilon r, \quad (25)$$

for some  $\epsilon \in \mathbb{F}_q$ . Since  $w'' \neq 0$ , we have  $\epsilon \neq 0$ .

Now suppose  $(U, K) \in R_i$ , then  $Q(w'')$  must not be 0. Hence the inner product  $f(w, w')$  must satisfy

$$\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_j^2 + \mu_k^2 \neq 0,$$

or equivalently

$$f(w, w') \neq \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k), \quad \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k + 1).$$

We may assume  $Q(w'') = 1$  so that

$$\epsilon^2 = \frac{1}{\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_j^2 + \mu_k^2}. \quad (26)$$

If  $(U, K) \in R_i$  then repeating the same argument as before we have

$$w = \mu_i \epsilon' w'' + \mu_j \epsilon' w' + \mu_i \mu_j f(w'', w') \epsilon' r, \quad (27)$$

for some  $\epsilon' \in \mathbb{F}_q$ . Then since it follows from (25) that

$$w = \frac{1}{\mu_k \epsilon} w'' + \frac{\mu_j}{\mu_k} w' + \mu_j f(w, w') r,$$

we have

$$\epsilon = \frac{1}{\mu_i}.$$

Therefore by (26) the inner product  $f(w, w')$  must satisfy the following condition:

$$\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_i^2 + \mu_j^2 + \mu_k^2 = 0,$$

which is equivalent to

$$f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_i + \lambda_j + \lambda_k), \quad \text{or } f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_i + \lambda_j + \lambda_k + 1). \quad (28)$$

Conversely, if  $f(w, w')$  satisfies (28) then from (11) we deduce that  $(U, K) \in R_i$ .

We can now count the number of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_j$ , and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ . For brevity we let

$$\begin{aligned}\kappa_{ijk} &:= \frac{1}{\mu_j \mu_k} (\lambda_i + \lambda_j + \lambda_k) \\ \kappa'_{ijk} &:= \frac{1}{\mu_j \mu_k} (\lambda_i + \lambda_j + \lambda_k + 1)\end{aligned}$$

for  $i, j, k \in \{2, 3, \dots, \frac{q}{2}\}$ , and define

$$\phi(\alpha) := \begin{cases} 1 & \text{if the polynomial } t^2 + \alpha t + 1 \in \mathbb{F}_q[t] \text{ is reducible over } \mathbb{F}_q, \\ 0 & \text{otherwise,} \end{cases}$$

for  $\alpha \in \mathbb{F}_q$ . (For  $\alpha \in \mathbb{F}_q^*$  the function  $\phi(\alpha)$  is also defined by  $\phi(\alpha) = \text{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\alpha^{-1})$ . See Lemma 4.2.4 below.) We need the following lemma:

**Lemma 2.1.1.** *Let  $W_0$  be a 2-dimensional positive-type (resp. negative-type) subspace of  $\mathbb{V}$ , and let  $w$  be a vector in  $W_0$  with  $Q(w) = 1$ . Then for any  $\alpha \in \mathbb{F}_q^*$  such that  $\phi(\alpha) = 1$  (resp.  $\phi(\alpha) = 0$ ) there exist two vectors  $w'_1, w'_2$  in  $W_0$  such that  $Q(w'_i) = 1$  and  $f(w, w'_i) = \alpha$  ( $i = 1, 2$ ).*

*Proof.* Let  $y$  be a vector in  $W_0 \setminus \langle w \rangle$  with  $Q(y) = 1$ , then we have  $f(w, y) \neq 0$  and  $\phi(f(w, y)) = 1$  (resp.  $\phi(f(w, y)) = 0$ ). If a vector  $y'$  in  $W_0$  satisfies  $Q(y') = 1$  and  $f(w, y') = f(w, y)$ , then  $y'$  must be  $y$  or  $y + f(w, y)w$ . The number of elements  $\alpha \in \mathbb{F}_q^*$  such that  $\phi(\alpha) = 1$  (resp.  $\phi(\alpha) = 0$ ) is obviously equal to  $\frac{q}{2} - 1$  (resp.  $\frac{q}{2}$ ), and by Proposition 1.1.3 the number of vectors  $y$  in  $W_0$  other than  $w$  with  $Q(y) = 1$  is given by  $q - 2$  (resp.  $q$ ), which proves the lemma.  $\square$

Suppose for instance  $\kappa_{ijk} \neq 0$  and  $\phi(\kappa_{ijk}) = 1$  (resp.  $\phi(\kappa_{ijk}) = 0$ ). If  $w' \in V$  satisfies  $Q(w') = 1$  and  $f(w, w') = \kappa_{ijk}$ , then as mentioned before,  $W := \langle w, w' \rangle^\perp \cap V$  is a positive-type (resp. negative-type) hyperplane of  $H$ . On the other hand, let  $W$  be a positive-type (resp. negative-type) hyperplane of  $H$ , then by Lemma 2.1.1 the number of vectors  $w'$  in  $W^\perp \cap V$  which satisfy  $Q(w') = 1$  and  $f(w, w') = \kappa_{ijk}$  is exactly 2. Thus from (2) (resp. (3)), the number of vectors  $w'$  in  $V$  such that  $Q(w') = 1$  and  $f(w, w') = \kappa_{ijk}$  is given by

$$q^{m-1}(q^{m-1} + 1) \quad (\text{resp. } q^{m-1}(q^{m-1} - 1)).$$

Since  $\kappa_{ijk}, \kappa'_{ijk} \neq 0$  unless  $\mu_i^2 + \mu_j^2 + \mu_k^2 = 0$ , the number  $n_2$  of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_j$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is obtained as follows:

$$n_2 = \begin{cases} q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 1, \\ q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 0, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0, \\ 2q^{2m-2} & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}. \end{cases} \quad (29)$$

(b) Suppose  $f(w, w') = 0$ , that is,  $W$  is a degenerate subspace of  $V$ . Notice that this occurs only if  $m \geq 2$ . In this case  $\langle w, w' \rangle$  is a subspace of  $W$ . Since  $\dim W^\perp \cap K = 2$  by Proposition 1.1.1 we have  $W^\perp \cap K = \langle w, w' \rangle$ . Therefore there exist two elements  $\xi$  and  $\eta$  in  $\mathbb{F}_q$  such that

$$w'' = \xi w + \eta w'.$$

Let  $x = \alpha v + \beta v' + y + \gamma r$  be a vector in  $U \cap K$ , then it follows from (23) that

$$0 = \mu_k f(x, w'') = \beta \mu_k \xi + \alpha \mu_k \eta = \alpha(\mu_j \xi + \mu_k \eta),$$

so that

$$w'' = \mu_k \epsilon w + \mu_j \epsilon w', \quad (30)$$

for some  $\epsilon \in \mathbb{F}_q$ .

Now suppose  $(U, K) \in R_i$ , then  $Q(w'')$  must not be 0, that is,  $\mu_j^2 + \mu_k^2 \neq 0$ . We may assume  $Q(w'') = 1$  so that

$$\epsilon^2 = \frac{1}{\mu_j^2 + \mu_k^2}.$$

If  $(U, K) \in R_i$  then repeating the same argument as before we have

$$w = \mu_i \epsilon' w'' + \mu_j \epsilon' w', \quad (31)$$

for some  $\epsilon' \in \mathbb{F}_q$ . Then since it follows from (30) that

$$w = \frac{1}{\mu_k \epsilon} w'' + \frac{\mu_j}{\mu_k} w',$$

we have

$$\epsilon = \frac{1}{\mu_i},$$

so that

$$\mu_i^2 + \mu_j^2 + \mu_k^2 = 0. \quad (32)$$

Conversely, if (32) is satisfied, then from (12) we deduce  $(U, K) \in R_i$ . By Lemma 1.1.3(i) there are  $q^{2m-2} - 1$  vectors  $w'$  in  $H$  other than  $w$  such that  $Q(w') = 1$ , hence the number  $n_3$  of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_j$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ , is given by

$$n_3 = \begin{cases} q^{2m-2} - 1 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0, \\ 0 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0. \end{cases} \quad (33)$$

From (22), (29) and (33), we obtain

$$p_{ij}^k = n_1 + n_2 + n_3 = \begin{cases} q^{m-1}(2q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 1, \\ q^{m-1}(2q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 0, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0, \\ 2q^{2m-2} & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}, \end{cases} \quad (34)$$

for  $i, j, k \in \{2, 3, \dots, \frac{q}{2}\}$ .

(ii) Suppose  $i = 1$  and  $2 \leq j, k \leq \frac{q}{2}$ . Let  $U$  and  $V$  be elements in  $\Omega$  such that  $(U, V) \in R_k$ . We use the same notation as in (i). Notice that if an element  $K$  in  $\Omega$  satisfies  $(U, K) \in R_1$  and  $(V, K) \in R_j$ , then  $U \cap V \cap K = H \cap K$  has dimension  $2m - 2$ , since  $U \cap K$  is degenerate by definition while  $H$  is non-degenerate.

In the same way as (i), fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 1$ , and define two subspaces of  $V$  as

$$H' := \langle w' \rangle^\perp \cap V, \\ W := H \cap H' = \langle w, w' \rangle^\perp \cap V.$$

Let  $K$  be the unique element in  $\Omega$  which satisfies  $(V, K) \in R_j$  and  $V \cap K = H'$ , and let  $w''$  be a vector in  $U \cap K$  such that  $U \cap K = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K$ . Then  $(U, K) \in R_1$  if and only if  $Q(w'') = 0$ .

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate subspace of  $V$ , then it follows from (25) that  $(U, K) \in R_1$  if and only if

$$\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_j^2 + \mu_k^2 = 0,$$



which is equivalent to

$$f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k), \text{ or } f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k + 1). \quad (35)$$

It follows that

$$\phi \left( \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k) \right) = \phi \left( \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k + 1) \right) = 1. \quad (36)$$

In fact,

$$\begin{aligned} \frac{1}{\mu_j^2 \mu_k^2} (\lambda_j^2 + \lambda_k^2) &= \frac{1}{(\lambda_j^2 + \lambda_j)(\lambda_k^2 + \lambda_k)} (\lambda_j^2 + \lambda_k^2) \\ &= \frac{1}{(\lambda_j^2 + \lambda_j)(\lambda_k^2 + \lambda_k)} (\lambda_j^2 (\lambda_k^2 + 1) + \lambda_k^2 (\lambda_j^2 + 1)) \\ &= \left( \frac{\lambda_j}{\lambda_j + 1} \right) \left( \frac{\lambda_k + 1}{\lambda_k} \right) + \left( \frac{\lambda_k}{\lambda_k + 1} \right) \left( \frac{\lambda_j + 1}{\lambda_j} \right) \\ &= \nu^{j-k} + \nu^{-(j-k)}. \end{aligned}$$

Likewise

$$\begin{aligned} \frac{1}{\mu_j^2 \mu_k^2} (\lambda_j^2 + \lambda_k^2 + 1) &= \frac{1}{(\lambda_j^2 + \lambda_j)(\lambda_k^2 + \lambda_k)} (\lambda_j^2 + \lambda_k^2 + 1) \\ &= \frac{1}{(\lambda_j^2 + \lambda_j)(\lambda_k^2 + \lambda_k)} (\lambda_j^2 \lambda_k^2 + (\lambda_j^2 + 1)(\lambda_k^2 + 1)) \\ &= \left( \frac{\lambda_j}{\lambda_j + 1} \right) \left( \frac{\lambda_k}{\lambda_k + 1} \right) + \left( \frac{\lambda_j + 1}{\lambda_j} \right) \left( \frac{\lambda_k + 1}{\lambda_k} \right) \\ &= \nu^{j+k} + \nu^{-(j+k)}. \end{aligned}$$

It follows from (2) that the number of positive-type hyperplanes of  $H$  is given by

$$\frac{q^{m-1}(q^{m-1} + 1)}{2}.$$

Hence by Lemma 2.1.1 the number  $n'_2$  of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_1$ ,  $(V, K) \in R_j$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is obtained as

$$n'_2 = \begin{cases} q^{m-1}(q^{m-1} + 1) & \text{if } j = k, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } j \neq k, \end{cases} \quad (37)$$

since  $n'_2$  is equal to the number of vectors  $w'$  in  $V \setminus H$  with  $Q(w') = 1$  which satisfies (35).

(b) Suppose  $f(w, w') = 0$ , that is,  $W$  is a degenerate subspace of  $V$ , which occurs only if  $m \geq 2$ . Then it follows from (30) that  $(U, K) \in R_1$  if and only if

$$\mu_j^2 + \mu_k^2 = 0,$$

that is,  $j = k$ . By Lemma 1.1.3(i), the number of vectors  $w'$  in  $H$  other than  $w$  with  $Q(w') = 1$  is equal to  $q^{2m-2} - 1$ , from which it follows that the number  $n'_3$  of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_1$ ,  $(V, K) \in R_j$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ , is given by

$$n'_3 = \begin{cases} q^{2m-2} - 1 & \text{if } j = k, \\ 0 & \text{if } j \neq k. \end{cases} \quad (38)$$

From (37) and (38), we obtain

$$p_{1j}^k = n'_2 + n'_3 = \begin{cases} (2q^{m-1} - 1)(q^{m-1} + 1) & \text{if } j = k, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } j \neq k. \end{cases} \quad (39)$$

(iii) Suppose  $2 \leq i \leq \frac{q}{2}$  and  $j = k = 1$ . Let  $U$  and  $V$  be elements in  $\Omega$  such that  $(U, V) \in R_1$ , and let  $w$  denote a vector in  $H := U \cap V$  such that  $Q(w) = 0$  and

$$H = U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V.$$

Notice that in this case  $w$  is not uniquely determined, and also notice that if an element  $K$  in  $\Omega$  satisfies  $(U, K) \in R_i$  and  $(V, K) \in R_1$ , then  $U \cap V \cap K = H \cap K$  has dimension  $2m - 2$ , since  $U \cap K$  is non-degenerate by definition while  $H$  is degenerate. Fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 0$ , and define two subspaces of  $V$  as

$$\begin{aligned} H' &:= \langle w' \rangle^\perp \cap V, \\ W &:= H \cap H' = \langle w, w' \rangle^\perp \cap V. \end{aligned}$$

We determine whether there exists an element  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_1$  and  $V \cap K = H'$ .

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate hyperplane of  $H$ . Since  $Q(w) = Q(w') = 0$ , we may assume  $f(w, w') = 1$  without loss of generality. Define

$$v_\alpha := w + w' + \alpha r,$$

for  $\alpha \in \mathbb{F}_q^*$ , then  $K_\alpha := H' \oplus \langle v_\alpha \rangle$  ( $\alpha \in \mathbb{F}_q^*$ ) are distinct elements in  $\Omega$  with  $K_\alpha \cap V = H'$ . In fact, since these hyperplanes of  $\mathbb{V}$  do not contain the vector  $r$ , they are non-degenerate. Moreover since  $W$  is positive-type and  $H' = W \perp \langle w' \rangle$ , we conclude that  $K_\alpha = W \perp (W^\perp \cap K_\alpha)$  is positive-type for all  $\alpha \in \mathbb{F}_q^*$ , and also it follows that they are distinct elements in  $\Omega$  since we have  $v_\alpha + v_\beta = (\alpha + \beta)r$  for  $\alpha, \beta \in \mathbb{F}_q^*$ . The number of hyperplanes  $K$  of  $\mathbb{V}$  which include  $H'$  is given by  $\frac{q^{2m+1} - q^{2m-1}}{q^{2m} - q^{2m-1}} = q + 1$ . In these  $q + 1$  hyperplanes of  $\mathbb{V}$ ,  $H' \perp \langle r \rangle$  is the only degenerate hyperplane, that is, there are  $q - 1$  elements  $K$  in  $\Omega$  such that  $K \cap V = H'$  and hence each  $K$  is written as  $K = K_\alpha$  for some  $\alpha \in \mathbb{F}_q^*$ . By the same reason, there exists an element  $\alpha_0$  in  $\mathbb{F}_q^*$  such that

$$U = H \oplus \langle v_{\alpha_0} \rangle.$$

Fix an element  $\alpha$  in  $\mathbb{F}_q^*$ , then since  $\mathbb{V} = W \oplus \langle w, w' \rangle \oplus \langle r \rangle$ , any vector  $x$  in  $U \cap K_\alpha$  is uniquely written as

$$x = \xi w + \eta w' + y + \delta r,$$

for some  $\xi, \eta, \delta \in \mathbb{F}_q$  and  $y \in W$ . Then it follows from  $U = H \oplus \langle v_{\alpha_0} \rangle$  and  $K_\alpha = H' \oplus \langle v_\alpha \rangle$  that

$$\delta = \xi \alpha = \eta \alpha_0. \quad (40)$$

Let  $w''$  be a vector in  $U \cap K_\alpha$  such that

$$U \cap K_\alpha = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K_\alpha.$$

Since  $W^\perp = \langle w, w', r \rangle$ , it follows from (40) that

$$w'' = \alpha_0 \epsilon w + \alpha \epsilon w' + \alpha_0 \alpha \epsilon r, \quad (41)$$

for an element  $\epsilon \in \mathbb{F}_q$ . Since  $w'' \neq 0$ , we have  $\epsilon \neq 0$ .

Now suppose  $(U, K_\alpha) \in R_i$ , then  $Q(w'')$  must not be 0, so that

$$\alpha_0^2 \alpha^2 + \alpha_0 \alpha \neq 0,$$

which is equivalent to

$$\alpha \neq \alpha_0^{-1}.$$

We may assume  $Q(w'') = 1$  so that

$$\epsilon^2 = \frac{1}{\alpha_0^2 \alpha^2 + \alpha_0 \alpha}.$$

Then we have

$$\frac{1}{\alpha\epsilon}w + \frac{1}{\alpha_0\epsilon}w' = \frac{1}{\alpha_0\alpha\epsilon^2}w'' + \frac{1}{\epsilon}r = (\alpha_0\alpha + 1)w'' + \frac{1}{\epsilon}r. \quad (42)$$

From  $f(w, w'') = \alpha\epsilon$ ,  $f(w', w'') = \alpha_0\epsilon$  and  $Q(w) = Q(w') = 0$  it follows that

$$\frac{\alpha_0\alpha + 1}{\alpha_0\alpha} = \nu^{i-1} \quad \text{or} \quad \frac{\alpha_0\alpha + 1}{\alpha_0\alpha} = \nu^{-(i-1)},$$

or equivalently

$$\alpha_0\alpha = \lambda_i \quad \text{or} \quad \alpha_0\alpha = \lambda_i + 1. \quad (43)$$

Conversely if (43) is satisfied, then from (11) we deduce that  $(U, K) \in R_i$ . Therefore for each 1-dimensional singular subspace  $\langle w' \rangle$  in  $V$  such that  $f(w, w') \neq 0$ , there are exactly 2 elements  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_1$  and  $V \cap K = H' := \langle w' \rangle^\perp \cap V$ . The number of vectors  $v$  in  $V$  such that  $f(v, w) \neq 0$  is  $q^{2m} - q^{2m-1}$ , and hence the number of 2-dimensional positive-type subspaces of  $V$  which include  $\langle w \rangle$  is by Proposition 1.1.2 equal to

$$\frac{q^{2m} - q^{2m-1}}{q^2 - q} = q^{2m-2}, \quad (44)$$

which is also equal to the number of 1-dimensional singular subspace  $\langle w' \rangle$  in  $V$  such that  $f(w, w') \neq 0$  since any 2-dimensional positive-type subspace of  $\mathbb{V}$  contains two 1-dimensional singular subspaces. Thus the number  $m_1$  of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_1$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is given by

$$m_1 = 2q^{2m-2}. \quad (45)$$

(b) Suppose  $f(w, w') = 0$ , which never happens if  $m = 1$ , then  $\langle w, w' \rangle = W^\perp \cap V$  is a singular subspace of  $W$ . Hence if an element  $K$  in  $\Omega$  satisfies  $U \cap V \cap K = W$ , then  $U \cap K$  cannot be non-degenerate, since  $(U \cap K)^\perp \cap K \subset W^\perp \cap K = \langle w, w' \rangle$ . This implies that there is no element  $K$  in  $\Omega$  such that  $(U, K) \in R_i$ ,  $(V, K) \in R_1$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ .

Thus by (45)

$$p_{i1}^1 = m_1 = 2q^{2m-2}. \quad (46)$$

(iv) Finally suppose  $i = j = k = 1$ . Let  $U$  and  $V$  be elements in  $\Omega$  such that  $(U, V) \in R_1$ . We use the same notation as in (iii). In the same way as (iii), fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 1$ , and define two subspaces of  $V$  as

$$\begin{aligned} H' &:= \langle w' \rangle^\perp \cap V, \\ W &:= H \cap H' = \langle w, w' \rangle^\perp \cap V. \end{aligned}$$

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate hyperplane of  $H$ . We may assume  $f(w, w') = 1$  without loss of generality, since  $Q(w) = Q(w') = 0$ . Define

$$v_\alpha := w + w' + \alpha r,$$

for  $\alpha \in \mathbb{F}_q^*$ , then as mentioned before,  $U = H \oplus \langle v_{\alpha_0} \rangle$  for some  $\alpha_0 \in \mathbb{F}_q^*$ . Also  $K_\alpha := H' \oplus \langle v_\alpha \rangle$  ( $\alpha \in \mathbb{F}_q^*$ ) are distinct elements in  $\Omega$  with  $K_\alpha \cap V = H'$ , and each element  $K$  in  $\Omega$  such that  $K \cap V = H'$  is written as  $K = K_\alpha$  for some  $\alpha \in \mathbb{F}_q^*$ .

Fix an element  $\alpha$  in  $\mathbb{F}_q^*$  and let  $w''$  be a vector in  $U \cap K_\alpha$  such that  $U \cap K_\alpha = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K_\alpha$ . Then  $(U, K) \in R_1$  if and only if  $Q(w'') = 0$ , which is by (41) equivalent to

$$\alpha_0^2 \alpha^2 + \alpha_0 \alpha = 0,$$

that is,  $\alpha = \alpha_0^{-1}$ . Therefore for each 1-dimensional singular subspace  $\langle w' \rangle$  in  $V$  such that  $f(w, w') \neq 0$ , there is exactly one element  $K$  in  $\Omega$  such that  $(U, K) \in R_1$ ,  $(V, K) \in R_1$  and  $V \cap K = H' := \langle w' \rangle^\perp \cap V$ . Hence it follows from (44) that the number  $m'_1$  of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_1$ ,  $(V, K) \in R_1$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is given by

$$m'_1 = q^{2m-2}. \quad (47)$$

(b) Suppose  $f(w, w') = 0$ , that is,  $W$  is a degenerate hyperplane of  $H$ . This happens only if  $m \geq 2$ . In this case any element  $K$  in  $\Omega$  such that  $U \cap V \cap K = W$  satisfies  $(U, K) \in R_1$ , since  $(U \cap K)^\perp \cap K \subset W^\perp \cap K = \langle w, w' \rangle$  and  $\langle w, w' \rangle$  is a singular subspace.

The number of singular vectors in  $H$  is given by

$$q^m + q^{m-1}(q^{m-1} - 1). \quad (48)$$

To show this, let  $W'$  be a non-degenerate hyperplane of  $H$  so that we have  $H = W' \perp \langle w \rangle$ . Since  $W'$  is positive-type and  $Q(w) = 0$ , it follows from Lemma 1.1.3(ii) that the number of singular vectors in  $H$  is equal to

$$q\{q^{m-1} + q^{m-2}(q^{m-1} - 1)\} = q^m + q^{m-1}(q^{m-1} - 1),$$

as desired. Thus by (48) the number  $m'_2$  of elements  $K$  in  $\Omega$  such that  $(U, K) \in R_1$ ,  $(V, K) \in R_1$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ , is given by

$$m'_2 = \frac{q^m + q^{m-1}(q^{m-1} - 1) - q(q-1)}{q-1} = q^m + q^{m-1}(q^{m-1} - 1) - q. \quad (49)$$

(c) We have to count the number  $m'_3$  of the elements  $K$  in  $\Omega$  such that  $(U, K) \in R_1$ ,  $(V, K) \in R_1$  and  $U \cap K = H$ . Since there are exactly  $\frac{q^{2m+1} - q^{2m-1}}{q^{2m} - q^{2m-1}} - 1 = q$  elements in  $\Omega$  which include  $H$ ,  $m'_3$  is given by

$$m'_3 = q - 2. \quad (50)$$

From (47), (49) and (50) we obtain

$$p_{11}^1 = m'_1 + m'_2 + m'_3 = q^{m-1}(2q^{m-1} + q - 1) - 2. \quad (51)$$

The rest of parameters are directly computed by the following equality (cf. Bannai-Ito [4, p.55, Proposition 2.2.]):

**Proposition 2.1.2.** *Let  $\{p_{ij}^k\}$  denotes the intersection numbers of a symmetric association scheme  $\mathfrak{X} = (X, \{R_i\}_{0 \leq i \leq d})$ . Then for all  $i, j, k \in \{0, 1, \dots, \frac{q}{2}\}$  we have  $k_k p_{ij}^k = k_j p_{ki}^j = k_i p_{jk}^i$ .*

Hence from (46)

$$p_{11}^k = \frac{k_1}{k_k} p_{k1}^1 = 2q^{m-1}(q^{m-1} + 1) \quad \text{for } 2 \leq k \leq \frac{q}{2}. \quad (52)$$

Also from (39)

$$p_{ij}^1 = \frac{k_j}{k_1} p_{1i}^j = \begin{cases} q^{m-1}(2q^{m-1} - 1) & \text{if } 2 \leq i = j \leq \frac{q}{2}, \\ 2q^{2m-2} & \text{if } 2 \leq i, j \leq \frac{q}{2} \text{ and } i \neq j. \end{cases} \quad (53)$$

To summarize:

**Lemma 2.1.3.** *The intersection numbers  $p_{ij}^k$  of  $\mathfrak{X}(GO_{2m+1}(q), \Omega)$  are given as follows.*

$$p_{ij}^1 = p_{ji}^1 = \begin{cases} q^{m-1}(2q^{m-1} + q - 1) - 2 & \text{if } i = j = 1, \\ q^{m-1}(2q^{m-1} - 1) & \text{if } 2 \leq i = j \leq \frac{q}{2}, \\ 2q^{2m-2} & \text{if } 1 \leq i < j \leq \frac{q}{2}, \end{cases}$$

$$p_{1j}^k = p_{j1}^k = \begin{cases} (2q^{m-1} - 1)(q^{m-1} + 1) & \text{if } 2 \leq j = k \leq \frac{q}{2}, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } 1 \leq j \leq \frac{q}{2}, 2 \leq k \leq \frac{q}{2}, j \neq k. \end{cases}$$

For other  $2 \leq i, j, k \leq \frac{q}{2}$ ,

$$p_{ij}^k = \begin{cases} q^{m-1}(2q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 1, \\ q^{m-1}(2q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 0, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0, \\ 2q^{2m-2} & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}. \end{cases}$$

## 2.2 The Parameters of $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$

In this subsection, we compute the intersection numbers  $\{s_{ij}^k\}$  of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$ .

(i) Suppose first  $2 \leq i, j, k \leq \frac{q}{2}$ . Let  $U$  and  $V$  be elements in  $\Theta$  such that  $(U, V) \in S_k$ , and let  $w$  denote the vector in  $H := U \cap V$  such that  $Q(w) = 1$  and

$$H = U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V.$$

First of all, we count the number of elements  $K$  in  $\Theta$  which satisfy  $(U, K) \in S_i$ ,  $(V, K) \in S_j$ , and  $U \cap K = V \cap K = H$ . Let  $v$  be a vector in  $V$  with  $f(v, w) = 1$  and define

$$u := v + \lambda_k w + \mu_k r.$$

Then it follows from (20) that

$$U = H \oplus \langle u \rangle,$$

and the only element  $K$  in  $\Theta$  such that  $(V, K) \in S_j$  and  $V \cap K = H$  is given by

$$K := H \oplus \langle z \rangle, \quad \text{where } z := v + \lambda_j w + \mu_j r.$$

Since

$$u + z = (\lambda_j + \lambda_k)w + (\mu_j + \mu_k)r,$$

if  $(U, K) \in S_i$ , then we have

$$\frac{\lambda_j + \lambda_k}{\lambda_j + \lambda_k + 1} = \nu^{\pm(i-1)},$$

that is,

$$\lambda_j + \lambda_k = \lambda_i, \quad \text{or} \quad \lambda_j + \lambda_k = \lambda_i + 1,$$

which is equivalent to

$$\mu_i^2 + \mu_j^2 + \mu_k^2 = 0.$$

Thus the number  $n_1$  of elements  $K$  in  $\Theta$  which satisfy  $(U, K) \in S_i$ ,  $(V, K) \in S_j$ , and  $U \cap K = V \cap K = H$  is

$$n_1 = \begin{cases} 1 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0, \\ 0 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0. \end{cases} \quad (54)$$

Next, fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 1$ , and define two subspaces of  $V$  as

$$H' := \langle w' \rangle^\perp \cap V,$$

$$W := H \cap H' = \langle w, w' \rangle^\perp \cap V.$$

We need to determine whether there exists an element  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_j$  and  $V \cap K = H'$ . Notice that  $\text{Rad } f|_{\langle w, w' \rangle} = 0$  holds if and only if  $f(w, w') \neq 0$ , that is,  $W$  is non-degenerate if and only if  $f(w, w') \neq 0$ . Assume  $f(w, w') \neq 0$ , then  $W$  is negative-type if and only if the polynomial  $t^2 + f(w, w')t + 1 \in \mathbb{F}_q[t]$  is reducible over  $\mathbb{F}_q$ , since a 2-dimensional negative-type subspace has no non-zero singular vector.

Let  $v$  be a vector in  $H$  such that  $f(v, w') = 1$ , and let  $v'$  be a vector in  $H'$  such that  $f(v', w) = 1$ . We define

$$\begin{aligned} u &:= v' + \lambda_k w + \mu_k r, \\ z &:= v + \lambda_j w' + \mu_j r, \end{aligned}$$

so that  $U = H \oplus \langle u \rangle$ , and  $K := H' \oplus \langle z \rangle$  is the unique element in  $\Theta$  which satisfies  $(V, K) \in S_j$  and  $V \cap K = H'$ .

Since  $\mathbb{V} = W \oplus \langle v, v' \rangle \oplus \langle r \rangle$ , any vector  $x$  in  $U \cap K$  is uniquely written as

$$x = \alpha v + \beta v' + y + \gamma r,$$

for some  $\alpha, \beta, \gamma \in \mathbb{F}_q$  and  $y \in W$ . Then it follows from  $U = H \oplus \langle u \rangle$  and  $K = H' \oplus \langle z \rangle$  that

$$\gamma = \alpha \mu_j = \beta \mu_k. \quad (55)$$

Notice that there exist two vectors  $y$  and  $y'$  in  $W$  such that

$$w = f(w, w')v + y, \quad w' = f(w, w')v' + y'. \quad (56)$$

Let  $w''$  be a vector in  $U \cap K$  such that

$$U \cap K = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K.$$

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate subspace of  $V$ . Since by Proposition 1.1.1 we have  $\dim W^\perp = 3$ , there exist some elements  $\xi, \eta, \delta \in \mathbb{F}_q$  such that

$$w'' = \xi w + \eta w' + \delta r.$$

Then by (56) we have

$$w'' = \xi f(w, w')v + \eta f(w, w')v' + \xi y + \eta y' + \delta r,$$

so that from (55) we obtain

$$w'' = \mu_k \epsilon w + \mu_j \epsilon w' + \mu_j \mu_k f(w, w') \epsilon r, \quad (57)$$

for some  $\epsilon \in \mathbb{F}_q$ . Since  $w'' \neq 0$ , we have  $\epsilon \neq 0$ .

Now suppose  $(U, K) \in S_i$ , then  $Q(w'')$  must not be 0. Hence the inner product  $f(w, w')$  must satisfy

$$\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_j^2 + \mu_k^2 \neq 0,$$

or equivalently

$$f(w, w') \neq \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k), \quad \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k + 1).$$

We may assume  $Q(w'') = 1$  so that

$$\epsilon^2 = \frac{1}{\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_j^2 + \mu_k^2}. \quad (58)$$

If  $(U, K) \in S_i$  then repeating the same argument as before we have

$$w = \mu_i \epsilon' w'' + \mu_j \epsilon' w' + \mu_i \mu_j f(w'', w') \epsilon' r, \quad (59)$$

for some  $\epsilon' \in \mathbb{F}_q$ . Then since it follows from (57) that

$$w = \frac{1}{\mu_k \epsilon'} w'' + \frac{\mu_j}{\mu_k} w' + \mu_j f(w, w') r,$$

we have

$$\epsilon = \frac{1}{\mu_i}.$$

Therefore by (58) the inner product  $f(w, w')$  must satisfy the following condition:

$$\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_i^2 + \mu_j^2 + \mu_k^2 = 0,$$

which is equivalent to

$$f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_i + \lambda_j + \lambda_k), \text{ or } f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_i + \lambda_j + \lambda_k + 1). \quad (60)$$

Conversely, if  $f(w, w')$  satisfies (60) then from (11) we deduce that  $(U, K) \in S_i$ .

We can now count the number of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_j$ , and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ . We use the same notation as the previous subsection.

Suppose for instance  $\kappa_{ijk} \neq 0$  and  $\phi(\kappa_{ijk}) = 1$  (resp.  $\phi(\kappa_{ijk}) = 0$ ). If  $w' \in V$  satisfies  $Q(w') = 1$  and  $f(w, w') = \kappa_{ijk}$ , then as mentioned before,  $W := \langle w, w' \rangle^\perp \cap V$  is a negative-type (resp. positive-type) hyperplane of  $H$ . On the other hand, let  $W$  be a negative-type (resp. positive-type) hyperplane of  $H$ , then by Lemma 2.1.1 the number of vectors  $w'$  in  $W^\perp \cap V$  which satisfy  $Q(w') = 1$  and  $f(w, w') = \kappa_{ijk}$  is exactly 2. Thus from (3) (resp. (2)), the number of vectors  $w'$  in  $V$  such that  $Q(w') = 1$  and  $f(w, w') = \kappa_{ijk}$  is given by

$$q^{m-1}(q^{m-1} - 1) \quad (\text{resp. } q^{m-1}(q^{m-1} + 1)).$$

Since  $\kappa_{ijk}, \kappa'_{ijk} \neq 0$  unless  $\mu_i^2 + \mu_j^2 + \mu_k^2 = 0$ , the number  $n_2$  of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_j$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is obtained as follows:

$$n_2 = \begin{cases} q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 1, \\ q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 0, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0, \\ 2q^{2m-2} & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}. \end{cases} \quad (61)$$

(b) Suppose  $f(w, w') = 0$ , that is,  $W$  is a degenerate subspace of  $V$ . Notice that this occurs only if  $m \geq 2$ . In this case  $\langle w, w' \rangle$  is a subspace of  $W$ . Since  $\dim W^\perp \cap K = 2$  by Proposition 1.1.1 we have  $W^\perp \cap K = \langle w, w' \rangle$ . Therefore there exist two elements  $\xi$  and  $\eta$  in  $\mathbb{F}_q$  such that

$$w'' = \xi w + \eta w'.$$

Let  $x = \alpha v + \beta v' + y + \gamma r$  be a vector in  $U \cap K$ , then it follows from (55) that

$$0 = \mu_k f(x, w'') = \beta \mu_k \xi + \alpha \mu_k \eta = \alpha (\mu_j \xi + \mu_k \eta),$$

so that

$$w'' = \mu_k \epsilon w + \mu_j \epsilon w', \quad (62)$$

for some  $\epsilon \in \mathbb{F}_q$ .

Now suppose  $(U, K) \in S_i$ , then  $Q(w'')$  must not be 0, that is,  $\mu_j^2 + \mu_k^2 \neq 0$ . We may assume  $Q(w'') = 1$  so that

$$\epsilon^2 = \frac{1}{\mu_j^2 + \mu_k^2}.$$

If  $(U, K) \in S_i$  then repeating the same argument as before we have

$$w = \mu_i \epsilon' w'' + \mu_j \epsilon' w', \quad (63)$$

for some  $\epsilon' \in \mathbb{F}_q$ . Then since it follows from (62) that

$$w = \frac{1}{\mu_k \epsilon} w'' + \frac{\mu_j}{\mu_k} w',$$

we have

$$\epsilon = \frac{1}{\mu_i},$$

so that

$$\mu_i^2 + \mu_j^2 + \mu_k^2 = 0. \quad (64)$$

Conversely, if (64) is satisfied, then from (12) we deduce  $(U, K) \in S_i$ . By Lemma 1.1.3(i) there are  $q^{2m-2} - 1$  vectors  $w'$  in  $H$  other than  $w$  such that  $Q(w') = 1$ , hence the number  $n_3$  of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_j$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ , is given by

$$n_3 = \begin{cases} q^{2m-2} - 1 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0, \\ 0 & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0. \end{cases} \quad (65)$$

From (54), (61) and (65), we obtain

$$s_{ij}^k = n_1 + n_2 + n_3 = \begin{cases} q^{m-1}(2q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 1, \\ q^{m-1}(2q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 0, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0, \\ 2q^{2m-2} & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}, \end{cases} \quad (66)$$

for  $i, j, k \in \{2, 3, \dots, \frac{q}{2}\}$ .

Notice that we have computed all the intersection numbers of  $\mathfrak{X}(GO_3(q), \Theta_3(q))$ , since if  $m = 1$  then  $S_1 = \emptyset$ . Thus in what follows, we always assume  $m \geq 2$ .

(ii) Suppose  $i = 1$  and  $2 \leq j, k \leq \frac{q}{2}$ . Let  $U$  and  $V$  be elements in  $\Theta$  such that  $(U, V) \in S_k$ . We use the same notation as in (i). Notice that if an element  $K$  in  $\Theta$  satisfies  $(U, K) \in S_1$  and  $(V, K) \in S_j$ , then  $U \cap V \cap K = H \cap K$  has dimension  $2m - 2$ , since  $U \cap K$  is degenerate by definition while  $H$  is non-degenerate.

In the same way as (i), fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 1$ , and define two subspaces of  $V$  as

$$H' := \langle w' \rangle^\perp \cap V, \\ W := H \cap H' = \langle w, w' \rangle^\perp \cap V.$$

Let  $K$  be the unique element in  $\Theta$  which satisfies  $(V, K) \in S_j$  and  $V \cap K = H'$ , and let  $w''$  be a vector in  $U \cap K$  such that  $U \cap K = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K$ . Then  $(U, K) \in S_1$  if and only if  $Q(w'') = 0$ .

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate subspace of  $V$ , then it follows from (57) that  $(U, K) \in S_1$  if and only if

$$\mu_j^2 \mu_k^2 f(w, w')^2 + \mu_j \mu_k f(w, w') + \mu_j^2 + \mu_k^2 = 0,$$

which is equivalent to

$$f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k), \text{ or } f(w, w') = \frac{1}{\mu_j \mu_k} (\lambda_j + \lambda_k + 1), \quad (67)$$



where as shown in the previous subsection (cf. (36)) we have

$$\phi\left(\frac{1}{\mu_j\mu_k}(\lambda_j + \lambda_k)\right) = \phi\left(\frac{1}{\mu_j\mu_k}(\lambda_j + \lambda_k + 1)\right) = 1.$$

It follows from (3) that the number of negative-type hyperplanes of  $H$  is given by

$$\frac{q^{m-1}(q^{m-1} - 1)}{2}.$$

Hence by Lemma 2.1.1 the number  $n'_2$  of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_1$ ,  $(V, K) \in S_j$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is obtained as

$$n'_2 = \begin{cases} q^{m-1}(q^{m-1} - 1) & \text{if } j = k, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } j \neq k, \end{cases} \quad (68)$$

since  $n'_2$  is equal to the number of vectors  $w'$  in  $V \setminus H$  with  $Q(w') = 1$  which satisfies (67).

(b) Suppose  $f(w, w') = 0$ , that is,  $W$  is a degenerate subspace of  $V$ . Then it follows from (62) that  $(U, K) \in S_1$  if and only if

$$\mu_j^2 + \mu_k^2 = 0,$$

that is,  $j = k$ . By Lemma 1.1.3(i), the number of vectors  $w'$  in  $H$  other than  $w$  with  $Q(w') = 1$  is equal to  $q^{2m-2} - 1$ , from which it follows that the number  $n'_3$  of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_1$ ,  $(V, K) \in S_j$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ , is given by

$$n'_3 = \begin{cases} q^{2m-2} - 1 & \text{if } j = k, \\ 0 & \text{if } j \neq k. \end{cases} \quad (69)$$

From (68) and (69), we obtain

$$s_{1j}^k = n'_2 + n'_3 = \begin{cases} (2q^{m-1} + 1)(q^{m-1} - 1) & \text{if } j = k, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } j \neq k. \end{cases} \quad (70)$$

(iii) Suppose  $2 \leq i \leq \frac{q}{2}$  and  $j = k = 1$ . Let  $U$  and  $V$  be elements in  $\Theta$  such that  $(U, V) \in S_1$ , and let  $w$  denote a vector in  $H := U \cap V$  such that  $Q(w) = 0$  and

$$U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V.$$

Notice that in this case  $w$  is not uniquely determined, and also notice that if an element  $K$  in  $\Theta$  satisfies  $(U, K) \in S_i$  and  $(V, K) \in S_1$ , then  $U \cap V \cap K = H \cap K$  has dimension  $2m - 2$ , since  $U \cap K$  is non-degenerate by definition while  $H$  is degenerate. Fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 0$ , and define two subspaces of  $V$  as

$$\begin{aligned} H' &:= \langle w' \rangle^\perp \cap V, \\ W &:= H \cap H' = \langle w, w' \rangle^\perp \cap V. \end{aligned}$$

We determine whether there exists an element  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_1$  and  $V \cap K = H'$ .

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate hyperplane of  $H$ . Since  $Q(w) = Q(w') = 0$ , we may assume  $f(w, w') = 1$  without loss of generality. Define

$$v_\alpha := w + w' + \alpha r,$$

for  $\alpha \in \mathbb{F}_q^*$ , then  $K_\alpha := H' \oplus \langle v_\alpha \rangle$  ( $\alpha \in \mathbb{F}_q^*$ ) are distinct elements in  $\Theta$  with  $K_\alpha \cap V = H'$ . In fact, since these hyperplanes of  $\mathbb{V}$  do not contain the vector  $r$ , they are non-degenerate. Moreover since  $W$  is negative-type and  $H' = W \perp \langle w' \rangle$ , we conclude that  $K_\alpha = W \perp (W^\perp \cap K_\alpha)$  is negative-type for

all  $\alpha \in \mathbb{F}_q^*$ , and also it follows that they are distinct elements in  $\Theta$  since we have  $v_\alpha + v_\beta = (\alpha + \beta)r$  for  $\alpha, \beta \in \mathbb{F}_q^*$ . The number of hyperplanes  $K$  of  $\mathbb{V}$  which include  $H'$  is given by  $\frac{q^{2m+1} - q^{2m-1}}{q^{2m} - q^{2m-1}} = q + 1$ . In these  $q + 1$  hyperplanes of  $\mathbb{V}$ ,  $H' \perp \langle r \rangle$  is the only degenerate hyperplane, that is, there are  $q - 1$  elements  $K$  in  $\Theta$  such that  $K \cap V = H'$  and hence each  $K$  is written as  $K = K_\alpha$  for some  $\alpha \in \mathbb{F}_q^*$ . By the same reason, there exists an element  $\alpha_0$  in  $\mathbb{F}_q^*$  such that

$$U = H \oplus \langle v_{\alpha_0} \rangle.$$

Fix an element  $\alpha$  in  $\mathbb{F}_q^*$ , then since  $\mathbb{V} = W \oplus \langle w, w' \rangle \oplus \langle r \rangle$ , any vector  $x$  in  $U \cap K_\alpha$  is uniquely written as

$$x = \xi w + \eta w' + y + \delta r,$$

for some  $\xi, \eta, \delta \in \mathbb{F}_q$  and  $y \in W$ . Then it follows from  $U = H \oplus \langle v_{\alpha_0} \rangle$  and  $K_\alpha = H' \oplus \langle v_\alpha \rangle$  that

$$\delta = \xi \alpha = \eta \alpha_0. \quad (71)$$

Let  $w''$  be a vector in  $U \cap K_\alpha$  such that

$$U \cap K_\alpha = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K_\alpha.$$

Since  $W^\perp = \langle w, w', r \rangle$ , it follows from (71) that

$$w'' = \alpha_0 \epsilon w + \alpha \epsilon w' + \alpha_0 \alpha \epsilon r, \quad (72)$$

for an element  $\epsilon \in \mathbb{F}_q$ . Since  $w'' \neq 0$ , we have  $\epsilon \neq 0$ .

Now suppose  $(U, K_\alpha) \in S_i$ , then  $Q(w'')$  must not be 0, so that

$$\alpha_0^2 \alpha^2 + \alpha_0 \alpha \neq 0,$$

which is equivalent to

$$\alpha \neq \alpha_0^{-1}.$$

We may assume  $Q(w'') = 1$  so that

$$\epsilon^2 = \frac{1}{\alpha_0^2 \alpha^2 + \alpha_0 \alpha}.$$

Then we have

$$\frac{1}{\alpha \epsilon} w + \frac{1}{\alpha_0 \epsilon} w' = \frac{1}{\alpha_0 \alpha \epsilon^2} w'' + \frac{1}{\epsilon} r = (\alpha_0 \alpha + 1) w'' + \frac{1}{\epsilon} r. \quad (73)$$

From  $f(w, w'') = \alpha \epsilon$ ,  $f(w', w'') = \alpha_0 \epsilon$  and  $Q(w) = Q(w') = 0$  it follows that

$$\frac{\alpha_0 \alpha + 1}{\alpha_0 \alpha} = \nu^{i-1} \quad \text{or} \quad \frac{\alpha_0 \alpha + 1}{\alpha_0 \alpha} = \nu^{-(i-1)},$$

or equivalently

$$\alpha_0 \alpha = \lambda_i \quad \text{or} \quad \alpha_0 \alpha = \lambda_i + 1. \quad (74)$$

Conversely if (74) is satisfied, then from (11) we deduce that  $(U, K) \in S_i$ . Therefore for each 1-dimensional singular subspace  $\langle w' \rangle$  in  $V$  such that  $f(w, w') \neq 0$ , there are exactly 2 elements  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_1$  and  $V \cap K = H' := \langle w' \rangle^\perp \cap V$ . The number of vectors  $v$  in  $V$  such that  $f(v, w) \neq 0$  is  $q^{2m} - q^{2m-1}$ , and hence the number of 2-dimensional positive-type subspaces of  $V$  which include  $\langle w \rangle$  is by Proposition 1.1.2 equal to

$$\frac{q^{2m} - q^{2m-1}}{q^2 - q} = q^{2m-2}, \quad (75)$$

which is also equal to the number of 1-dimensional singular subspace  $\langle w' \rangle$  in  $V$  such that  $f(w, w') \neq 0$  since any 2-dimensional positive-type subspace of  $\mathbb{V}$  contains two 1-dimensional singular subspaces. Thus the number  $m_1$  of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_1$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is given by

$$m_1 = 2q^{2m-2}. \quad (76)$$

(b) Suppose  $f(w, w') = 0$ , then  $\langle w, w' \rangle = W^\perp \cap V$  is a singular subspace of  $W$ . Hence if an element  $K$  in  $\Theta$  satisfies  $U \cap V \cap K = W$ , then  $U \cap K$  cannot be non-degenerate, since  $(U \cap K)^\perp \cap K \subset W^\perp \cap K = \langle w, w' \rangle$ . This implies that there is no element  $K$  in  $\Theta$  such that  $(U, K) \in S_i$ ,  $(V, K) \in S_1$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ .

Thus by (76)

$$s_{i1}^1 = m_1 = 2q^{2m-2}. \quad (77)$$

(iv) Finally suppose  $i = j = k = 1$ . Let  $U$  and  $V$  be elements in  $\Theta$  such that  $(U, V) \in S_1$ . We use the same notation as in (iii). In the same way as (iii), fix a vector  $w'$  in  $V \setminus \langle w \rangle$  with  $Q(w') = 1$ , and define two subspaces of  $V$  as

$$\begin{aligned} H' &:= \langle w' \rangle^\perp \cap V, \\ W &:= H \cap H' = \langle w, w' \rangle^\perp \cap V. \end{aligned}$$

(a) Suppose  $f(w, w') \neq 0$ , that is,  $W$  is a non-degenerate hyperplane of  $H$ . We may assume  $f(w, w') = 1$  without loss of generality, since  $Q(w) = Q(w') = 0$ . Define

$$v_\alpha := w + w' + \alpha r,$$

for  $\alpha \in \mathbb{F}_q^*$ , then as mentioned before,  $U = H \oplus \langle v_{\alpha_0} \rangle$  for some  $\alpha_0 \in \mathbb{F}_q^*$ . Also  $K_\alpha := H' \oplus \langle v_\alpha \rangle$  ( $\alpha \in \mathbb{F}_q^*$ ) are distinct elements in  $\Theta$  with  $K_\alpha \cap V = H'$ , and each element  $K$  in  $\Theta$  such that  $K \cap V = H'$  is written as  $K = K_\alpha$  for some  $\alpha \in \mathbb{F}_q^*$ .

Fix an element  $\alpha$  in  $\mathbb{F}_q^*$  and let  $w''$  be a vector in  $U \cap K_\alpha$  such that  $U \cap K_\alpha = \langle w'' \rangle^\perp \cap U = \langle w'' \rangle^\perp \cap K_\alpha$ . Then  $(U, K) \in S_1$  if and only if  $Q(w'') = 0$ , which is by (72) equivalent to

$$\alpha_0^2 \alpha^2 + \alpha_0 \alpha = 0,$$

that is,  $\alpha = \alpha_0^{-1}$ . Therefore for each 1-dimensional singular subspace  $\langle w' \rangle$  in  $V$  such that  $f(w, w') \neq 0$ , there is exactly one element  $K$  in  $\Theta$  such that  $(U, K) \in S_1$ ,  $(V, K) \in S_1$  and  $V \cap K = H' := \langle w' \rangle^\perp \cap V$ . Hence it follows from (75) that the number  $m'_1$  of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_1$ ,  $(V, K) \in S_1$  and  $W = U \cap V \cap K = H \cap K$  is a non-degenerate hyperplane of  $H$ , is given by

$$m'_1 = q^{2m-2}. \quad (78)$$

(b) Suppose  $f(w, w') = 0$ , that is,  $W$  is a degenerate hyperplane of  $H$ . In this case any element  $K$  in  $\Theta$  such that  $U \cap V \cap K = W$  satisfies  $(U, K) \in S_1$ , since  $(U \cap K)^\perp \cap K \subset W^\perp \cap K = \langle w, w' \rangle$  and  $\langle w, w' \rangle$  is a singular subspace.

The number of singular vectors in  $H$  is given by

$$q^{m-1} + q^m(q^{m-2} - 1). \quad (79)$$

To show this, let  $W'$  be a non-degenerate hyperplane of  $H$  so that we have  $H = W' \perp \langle w \rangle$ . Since  $W'$  is negative-type and  $Q(w) = 0$ , it follows from Lemma 1.1.3(iii) that the number of singular vectors in  $H$  is equal to

$$q\{q^{m-2} + q^{m-1}(q^{m-2} - 1)\} = q^{m-1} + q^m(q^{m-2} - 1),$$

as desired. Thus by (79) the number  $m'_2$  of elements  $K$  in  $\Theta$  such that  $(U, K) \in S_1$ ,  $(V, K) \in S_1$  and  $W = U \cap V \cap K = H \cap K$  is a degenerate hyperplane of  $H$ , is given by

$$m'_2 = \frac{q^{m-1} + q^m(q^{m-2} - 1) - q}{q-1}(q-1) = q^{m-1} + q^m(q^{m-2} - 1) - q. \quad (80)$$

(c) We have to count the number  $m'_3$  of the elements  $K$  in  $\Theta$  such that  $(U, K) \in S_1$ ,  $(V, K) \in S_1$  and  $U \cap K = H$ . Since there are exactly  $\frac{q^{2m+1} - q^{2m-1}}{q^{2m} - q^{2m-1}} - 1 = q$  elements in  $\Theta$  which include  $H$ ,  $m'_3$  is given by

$$m'_3 = q - 2. \quad (81)$$

From (78), (80) and (81) we obtain

$$s_{11}^1 = m'_1 + m'_2 + m'_3 = q^{m-1}(2q^{m-1} - q + 1) - 2. \quad (82)$$

The rest of parameters are directly computed from Proposition 2.1.2:

From (77)

$$s_{11}^k = \frac{h_1}{h_k} s_{k1}^1 = 2q^{m-1}(q^{m-1} - 1) \quad \text{for } 2 \leq k \leq \frac{q}{2}. \quad (83)$$

Also from (70)

$$s_{ij}^1 = \frac{h_j}{h_1} s_{1i}^j = \begin{cases} q^{m-1}(2q^{m-1} + 1) & \text{if } 2 \leq i = j \leq \frac{q}{2}, \\ 2q^{2m-2} & \text{if } 2 \leq i, j \leq \frac{q}{2} \text{ and } i \neq j. \end{cases} \quad (84)$$

To summarize:

**Lemma 2.2.1.** *The intersection numbers  $s_{ij}^k$  of  $\mathfrak{X}(GO_{2m+1}(q), \Theta)$  are given as follows.*

$$s_{ij}^1 = s_{ji}^1 = \begin{cases} q^{m-1}(2q^{m-1} - q + 1) - 2 & \text{if } i = j = 1, \\ q^{m-1}(2q^{m-1} + 1) & \text{if } 2 \leq i = j \leq \frac{q}{2}, \\ 2q^{2m-2} & \text{if } 1 \leq i < j \leq \frac{q}{2}, \end{cases}$$

$$s_{1j}^k = s_{j1}^k = \begin{cases} (2q^{m-1} + 1)(q^{m-1} - 1) & \text{if } 2 \leq j = k \leq \frac{q}{2}, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } 1 \leq j \leq \frac{q}{2}, 2 \leq k \leq \frac{q}{2}, j \neq k. \end{cases}$$

For other  $2 \leq i, j, k \leq \frac{q}{2}$ ,

$$s_{ij}^k = \begin{cases} q^{m-1}(2q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 1, \\ q^{m-1}(2q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 = 0 \text{ and } \phi\left(\frac{1}{\mu_j \mu_k}\right) = 0, \\ 2q^{m-1}(q^{m-1} - 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1, \\ 2q^{m-1}(q^{m-1} + 1) & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0, \\ 2q^{2m-2} & \text{if } \mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0 \text{ and } \{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}. \end{cases}$$

## 3 Character Tables

### 3.1 The Character Tables of $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$

In this subsection, we determine the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  explicitly. Our account follows Bannai-Hao-Song [2, §6.] in all essential points. Namely, we prove that the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  is controlled by that of  $\mathfrak{X}(GO_3(q), \Omega_3(q))$ .

First of all, we prove the following lemma which shows the relation between the sets of parameters of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  and  $\mathfrak{X}(GO_3(q), \Omega_3(q))$ :

**Lemma 3.1.1.** *Let  $\{a_{ij}^k\}$  denotes the set of the intersection numbers of  $\mathfrak{X}(GO_3(q), \Omega_3(q))$ . Then*

$$p_{11}^1 = 2q^{m-1}(q^{m-1} - 1) + q^{m-1}(a_{11}^1 + 2) - 2$$

$$p_{1j}^j = p_{j1}^j = 2q^{m-1}(q^{m-1} - 1) + q^{m-1}(a_{1j}^j + 1) - 1 \quad \text{for } 2 \leq j \leq \frac{q}{2},$$

for other  $1 \leq i, j, k \leq \frac{q}{2}$ ,

$$p_{ij}^k = 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ij}^k.$$

*Proof.* For  $i = j = k = 1$ , from  $a_{11}^1 = q - 1$  we have

$$\begin{aligned} p_{11}^1 &= q^{m-1}(2q^{m-1} + q - 1) - 2 = 2q^{m-1}(q^{m-1} - 1) + q^m + q^{m-1} - 2 \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}(a_{11}^1 + 2) - 2. \end{aligned}$$

For  $2 \leq i = j \leq \frac{q}{2}$  and  $k = 1$ , from  $a_{ii}^1 = 1$  we have

$$\begin{aligned} p_{ii}^1 &= q^{m-1}(2q^{m-1} - 1) = 2q^{m-1}(q^{m-1} - 1) + q^{m-1} \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ii}^1. \end{aligned}$$

For  $1 \leq i < j \leq \frac{q}{2}$  and  $k = 1$ , from  $a_{ij}^1 = a_{ji}^1 = 2$  we have

$$\begin{aligned} p_{ij}^1 &= p_{ji}^1 = 2q^{2m-2} = 2q^{m-1}(q^{m-1} - 1) + 2q^{m-1} \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ij}^1. \end{aligned}$$

For  $i = 1$  and  $2 \leq j = k \leq \frac{q}{2}$ , from  $a_{1j}^j = a_{j1}^j = 2$  we have

$$\begin{aligned} p_{1j}^j &= p_{j1}^j = (2q^{m-1} - 1)(q^{m-1} + 1) = 2q^{m-1}(q^{m-1} - 1) + 3q^{m-1} - 1 \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}(a_{1j}^j + 1) - 1. \end{aligned}$$

For  $i = 1$ ,  $1 \leq j \leq \frac{q}{2}$ ,  $2 \leq k \leq \frac{q}{2}$  and  $j \neq k$ , from  $a_{1j}^k = a_{j1}^k = 4$  we have

$$\begin{aligned} p_{1j}^k &= p_{j1}^k = 2q^{m-1}(q^{m-1} + 1) = 2q^{m-1}(q^{m-1} - 1) + 4q^{m-1} \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{1j}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 = 0$  and  $\phi\left(\frac{1}{\mu_j\mu_k}\right) = 1$ , from  $a_{ij}^k = 3$  we have

$$\begin{aligned} p_{ij}^k &= q^{m-1}(2q^{m-1} + 1) = 2q^{m-1}(q^{m-1} - 1) + 3q^{m-1} \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 = 0$  and  $\phi\left(\frac{1}{\mu_j\mu_k}\right) = 0$ , from  $a_{ij}^k = 1$  we have

$$\begin{aligned} p_{ij}^k &= q^{m-1}(2q^{m-1} - 1) = 2q^{m-1}(q^{m-1} - 1) + q^{m-1} \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0$  and  $\phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1$ , from  $a_{ij}^k = 4$  we have

$$\begin{aligned} p_{ij}^k &= 2q^{m-1}(q^{m-1} + 1) = 2q^{m-1}(q^{m-1} - 1) + 4q^{m-1} \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0$  and  $\phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0$ , from  $a_{ij}^k = 0$  we have

$$\begin{aligned} p_{ij}^k &= 2q^{m-1}(q^{m-1} - 1) \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0$  and  $\{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}$ , from  $a_{ij}^k = 2$  we have

$$\begin{aligned} p_{ij}^k &= 2q^{2m-2} = 2q^{m-1}(q^{m-1} - 1) + 2q^{m-1} \\ &= 2q^{m-1}(q^{m-1} - 1) + q^{m-1}a_{ij}^k. \end{aligned}$$

This proves Lemma 3.1.1. □

It is known that the character table  $\tilde{P}^+ = (\tilde{p}_j(i))$  of  $\mathfrak{X}(GO_3(q), \Omega_3(q))$  is given as follows (cf. Tanaka [14]):

$$\tilde{P}^+ = \begin{bmatrix} 1 & 2(q-1) & (q-1) & \dots & (q-1) \\ 1 & q-3 & -2 & \dots & -2 \\ 1 & -2 & & & \\ \vdots & \vdots & & (\chi_{ij})_{2 \leq i, j \leq \frac{q}{2}} & \\ 1 & -2 & & & \end{bmatrix}, \quad (85)$$

for suitable  $\chi_{ij} \in \mathbb{Q}(\theta)$  with  $\theta = \exp(\frac{2\pi i}{q-1})$ . The values of the entries  $\chi_{ij}$  are slightly complicated. The explicit description of these values are given in [14].

**Theorem 3.1.2.** *The character table  $P^+ = (p_j(i))$  of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  is described as*

$$P^+ = \begin{bmatrix} 1 & (q^{m-1} + 1)(q^m - 1) & q^{m-1}(q^m - 1) & \dots & q^{m-1}(q^m - 1) \\ 1 & (q-2)q^{m-1} - 1 & -2q^{m-1} & \dots & -2q^{m-1} \\ 1 & -(q^{m-1} + 1) & & & \\ \vdots & \vdots & & (q^{m-1}\chi_{ij})_{2 \leq i, j \leq \frac{q}{2}} & \\ 1 & -(q^{m-1} + 1) & & & \end{bmatrix}.$$

That is,

$$\begin{aligned} p_0(i) &= 1 \quad \text{for } 0 \leq i \leq \frac{q}{2} \\ p_j(0) &= k_j \quad \text{for } 0 \leq j \leq \frac{q}{2} \\ p_1(i) &= q^{m-1}\tilde{p}_1(i) + q^{m-1} - 1 \quad \text{for } 1 \leq i \leq \frac{q}{2} \\ p_j(i) &= q^{m-1}\tilde{p}_j(i) \quad \text{for } 1 \leq i \leq \frac{q}{2}, 2 \leq j \leq \frac{q}{2} \end{aligned}$$

*Proof.* The transposition of each row of the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  is a common right eigenvector of the *intersection matrices*  $B_0, B_1, \dots, B_{\frac{q}{2}}$ , where  $B_i$  is the matrix whose  $(j, k)$ -entry is  $p_{ij}^k$  (cf. Bannai-Ito [4, p.91, Proposition 5.3.]). Thus, we have only to show that the following equality:

$$B_i \begin{pmatrix} p_0(l) \\ p_1(l) \\ \vdots \\ p_{\frac{q}{2}}(l) \end{pmatrix} = p_i(l) \begin{pmatrix} p_0(l) \\ p_1(l) \\ \vdots \\ p_{\frac{q}{2}}(l) \end{pmatrix} \quad (86)$$

for all  $i$  and  $l$ .

(i) Suppose first  $i = j = 1$  and  $1 \leq l \leq \frac{q}{2}$ , then using the equality (1) and Lemma 3.1.1 we see that

$$\begin{aligned} \sum_{\alpha=0}^{\frac{q}{2}} p_{11}^\alpha p_\alpha(l) &= p_{11}^0 p_0(l) + p_{11}^1 p_1(l) + \sum_{\alpha=2}^{\frac{q}{2}} p_{11}^\alpha p_\alpha(l) \\ &= (q^{m-1} + 1)(q^m - 1) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) + q^{2m-2}a_{11}^1\tilde{p}_1(l) + 2q^{m-1}(q^{m-1} - 1)\tilde{p}_1(l) \\ &\quad + \{q^{m-1}(2q^{m-1} + q - 1) - 2\}(q^{m-1} - 1) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1) \sum_{\alpha=0}^{\frac{q}{2}} \tilde{p}_\alpha(l) + q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} a_{11}^\alpha \tilde{p}_\alpha(l) \\ &\quad - 2q^{2m-2}(q^{m-1} - 1) - 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) \\ &\quad - 2q^{2m-2}(q - 1) - q^{2m-2}a_{11}^1\tilde{p}_1(l) \quad \text{by } a_{11}^0 = 2(q - 1), \\ &= q^{2m-2}\tilde{p}_1(l)^2 + 2q^{m-1}(q^{m-1} - 1)\tilde{p}_1(l) + (q^{m-1} - 1)^2 \\ &= \{q^{m-1}\tilde{p}_1(l) + q^{m-1} - 1\}^2 \end{aligned}$$

$$= p_1(l)^2.$$

(ii) Suppose  $i = 1$ ,  $1 < j \leq \frac{q}{2}$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned} \sum_{\alpha=0}^{\frac{q}{2}} p_{1j}^\alpha p_\alpha(l) &= p_{1j}^0 p_0(l) + p_{1j}^1 p_1(l) + p_{1j}^j p_j(l) + \sum_{\substack{\alpha=2 \\ \alpha \neq j}}^{\frac{q}{2}} p_{1j}^\alpha p_\alpha(l) \\ &= 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) + q^{2m-2}a_{1j}^1 \tilde{p}_1(l) + 2q^{2m-2}(q^{m-1} - 1) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_j(l) + q^{2m-2}a_{1j}^j \tilde{p}_j(l) + q^{m-1}(q^{m-1} - 1)\tilde{p}_j(l) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1) \sum_{\alpha=0}^{\frac{q}{2}} \tilde{p}_\alpha(l) + q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} a_{1j}^\alpha \tilde{p}_\alpha(l) \\ &\quad - 2q^{2m-2}(q^{m-1} - 1) - 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) - 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_j(l) \\ &\quad - q^{2m-2}a_{1j}^0 \tilde{p}_0(l) - q^{2m-2}a_{1j}^1 \tilde{p}_1(l) - q^{2m-2}a_{1j}^j \tilde{p}_j(l) \\ &= q^{2m-2}\tilde{p}_1(l)\tilde{p}_j(l) + q^{m-1}(q^{m-1} - 1)\tilde{p}_j(l) \\ &= \{q^{m-1}\tilde{p}_1(l) + q^{m-1} - 1\}q^{m-1}\tilde{p}_j(l) \\ &= p_1(l)p_j(l). \end{aligned}$$

(iii) Suppose  $1 < i \leq \frac{q}{2}$ ,  $j = 1$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned} \sum_{\alpha=0}^{\frac{q}{2}} p_{i1}^\alpha p_\alpha(l) &= p_{i1}^0 p_0(l) + p_{i1}^1 p_1(l) + p_{i1}^i p_i(l) + \sum_{\substack{\alpha=2 \\ \alpha \neq i}}^{\frac{q}{2}} p_{i1}^\alpha p_\alpha(l) \\ &= 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) + q^{2m-2}a_{i1}^1 \tilde{p}_1(l) + 2q^{2m-2}(q^{m-1} - 1) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_i(l) + q^{2m-2}a_{i1}^i \tilde{p}_i(l) + q^{m-1}(q^{m-1} - 1)\tilde{p}_i(l) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1) \sum_{\alpha=0}^{\frac{q}{2}} \tilde{p}_\alpha(l) + q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} a_{i1}^\alpha \tilde{p}_\alpha(l) \\ &\quad - 2q^{2m-2}(q^{m-1} - 1) - 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) - 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_i(l) \\ &\quad - q^{2m-2}a_{i1}^0 \tilde{p}_0(l) - q^{2m-2}a_{i1}^1 \tilde{p}_1(l) - q^{2m-2}a_{i1}^i \tilde{p}_i(l) \\ &= q^{2m-2}\tilde{p}_i(l)\tilde{p}_1(l) + q^{m-1}(q^{m-1} - 1)\tilde{p}_i(l) \\ &= q^{m-1}\tilde{p}_i(l)\{q^{m-1}\tilde{p}_1(l) + q^{m-1} - 1\} \\ &= p_i(l)p_1(l). \end{aligned}$$

(iv) Suppose  $1 < i = j \leq \frac{q}{2}$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned} \sum_{\alpha=0}^{\frac{q}{2}} p_{ii}^\alpha p_\alpha(l) &= p_{ii}^0 p_0(l) + p_{ii}^1 p_1(l) + \sum_{\alpha=2}^{\frac{q}{2}} p_{ii}^\alpha p_\alpha(l) \\ &= q^{m-1}(q^m - 1) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) + q^{2m-2}a_{ii}^1 \tilde{p}_1(l) + q^{m-1}(2q^{m-1} - 1)(q^{m-1} - 1) \\ &\quad + 2q^{2m-2}(q^{m-1} - 1) \sum_{\alpha=0}^{\frac{q}{2}} \tilde{p}_\alpha(l) + q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} a_{ii}^\alpha \tilde{p}_\alpha(l) \\ &\quad - 2q^{2m-2}(q^{m-1} - 1) - 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) \\ &\quad - q^{2m-2}(q - 1) - q^{2m-2}a_{ii}^1 \tilde{p}_1(l) \quad \text{by } a_{ii}^0 = q - 1, \\ &= q^{2m-2}\tilde{p}_i(l)^2 \\ &= p_i(l)^2. \end{aligned}$$

(v) Finally, suppose  $1 < i, j \leq \frac{q}{2}$ ,  $i \neq j$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned}
\sum_{\alpha=0}^{\frac{q}{2}} p_{ij}^{\alpha} p_{\alpha}(l) &= p_{ij}^0 p_0(l) + p_{ij}^1 p_1(l) + \sum_{\alpha=2}^{\frac{q}{2}} p_{ij}^{\alpha} p_{\alpha}(l) \\
&= 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) + q^{2m-2}a_{ij}^1\tilde{p}_1(l) + 2q^{2m-2}(q^{m-1} - 1) \\
&\quad + 2q^{2m-2}(q^{m-1} - 1) \sum_{\alpha=0}^{\frac{q}{2}} \tilde{p}_{\alpha}(l) + q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} a_{ij}^{\alpha} \tilde{p}_{\alpha}(l) \\
&\quad - 2q^{2m-2}(q^{m-1} - 1) - 2q^{2m-2}(q^{m-1} - 1)\tilde{p}_1(l) \\
&\quad - q^{2m-2}a_{ij}^0 - q^{2m-2}a_{ij}^1\tilde{p}_1(l) \\
&= q^{2m-2}\tilde{p}_i(l)\tilde{p}_j(l) \\
&= p_i(l)p_j(l).
\end{aligned}$$

This completes the proof of Theorem 3.1.2.  $\square$

### 3.2 The Character Tables of $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$

We have shown that the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  is essentially controlled by that of a smaller association scheme  $\mathfrak{X}(GO_3(q), \Omega_3(q))$ , by the replacement  $q \rightarrow q^{m-1}$ . Although it is possible to calculate the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  in the same way, we observe a similar kind of phenomenon which is called an *Ennola type duality* (cf. Bannai-Kwok-Song [6]), that is, we will show that the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  is essentially obtained by that of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$ , by the replacement  $q \rightarrow -q$ . Consequently it follows that the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  is controlled by that of  $\mathfrak{X}(GO_3(q), \Theta_3(q))$ .

The following lemma shows the relation between the parameters of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  and those of  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  for  $m \geq 2$ , also the relation between the parameters of  $\mathfrak{X}(GO_3(q), \Theta_3(q))$  and those of  $\mathfrak{X}(GO_3(q), \Omega_3(q))$ . (Notice that  $\mathfrak{X}(GO_3(q), \Theta_3(q))$  is of class  $\frac{q}{2} - 1$  while  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  is of class  $\frac{q}{2}$  for  $m \geq 2$ .)

**Lemma 3.2.1.** *Let  $\{b_{ij}^k\}$  denotes the set of the intersection numbers of  $\mathfrak{X}(GO_3(q), \Theta_3(q))$ . Then for  $m \geq 2$*

$$\begin{aligned}
s_{11}^1 &= 4q^{2m-2} - p_{11}^1 - 4 \\
s_{1j}^j &= s_{j1}^j = 4q^{2m-2} - p_{1j}^j - 2 \quad \text{for } 2 \leq j \leq \frac{q}{2},
\end{aligned}$$

for other  $1 \leq i, j, k \leq \frac{q}{2}$ ,

$$s_{ij}^k = 4q^{2m-2} - p_{ij}^k.$$

Also

$$b_{ij}^k = 4 - a_{ij}^k,$$

for  $2 \leq i, j, k \leq \frac{q}{2}$ .

*Proof.* For  $i = j = k = 1$ , from  $p_{11}^1 = q^{m-1}(2q^{m-1} + q - 1) - 2$  we have

$$\begin{aligned}
s_{11}^1 &= q^{m-1}(2q^{m-1} - q + 1) - 2 = 4q^{2m-2} - q^{m-1}(2q^{m-1} + q - 1) - 2 \\
&= 4q^{2m-2} - p_{11}^1 - 4.
\end{aligned}$$

For  $2 \leq i = j \leq \frac{q}{2}$  and  $k = 1$ , from  $p_{ii}^1 = q^{m-1}(2q^{m-1} - 1)$  we have

$$\begin{aligned}
s_{ii}^1 &= q^{m-1}(2q^{m-1} + 1) = 4q^{2m-2} - q^{m-1}(2q^{m-1} - 1) \\
&= 4q^{2m-2} - p_{ii}^1.
\end{aligned}$$

For  $1 \leq i < j \leq \frac{q}{2}$  and  $k = 1$ , from  $p_{ij}^1 = p_{ji}^1 = 2q^{2m-2}$  we have

$$\begin{aligned}
s_{ij}^1 &= s_{ji}^1 = 2q^{2m-2} = 4q^{2m-2} - 2q^{2m-2} \\
&= 4q^{2m-2} - p_{ij}^1.
\end{aligned}$$



For  $i = 1$  and  $2 \leq j = k \leq \frac{q}{2}$ , from  $p_{1j}^j = p_{j1}^j = (2q^{m-1} - 1)(q^{m-1} + 1)$  we have

$$\begin{aligned} s_{1j}^j &= s_{j1}^j = (2q^{m-1} + 1)(q^{m-1} - 1) = 4q^{2m-2} - (2q^{2m-2} + q^{m-1} - 1) - 2 \\ &= 4q^{2m-2} - p_{1j}^j - 2. \end{aligned}$$

For  $i = 1$ ,  $1 \leq j \leq \frac{q}{2}$ ,  $2 \leq k \leq \frac{q}{2}$  and  $j \neq k$ , from  $p_{1j}^k = p_{j1}^k = 2q^{m-1}(q^{m-1} + 1)$  we have

$$\begin{aligned} s_{1j}^k &= s_{j1}^k = 2q^{m-1}(q^{m-1} - 1) = 4q^{2m-2} - 2q^{m-1}(q^{m-1} + 1) \\ &= 4q^{2m-2} - p_{1j}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 = 0$  and  $\phi\left(\frac{1}{\mu_j \mu_k}\right) = 1$ , from  $p_{ij}^k = q^{m-1}(2q^{m-1} + 1)$  we have

$$\begin{aligned} s_{ij}^k &= q^{m-1}(2q^{m-1} - 1) = 4q^{2m-2} - q^{m-1}(2q^{m-1} + 1) \\ &= 4q^{2m-2} - p_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 = 0$  and  $\phi\left(\frac{1}{\mu_j \mu_k}\right) = 0$ , from  $p_{ij}^k = q^{m-1}(2q^{m-1} - 1)$  we have

$$\begin{aligned} s_{ij}^k &= q^{m-1}(2q^{m-1} + 1) = 4q^{2m-2} - q^{m-1}(2q^{m-1} - 1) \\ &= 4q^{2m-2} - p_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0$  and  $\phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 1$ , from  $p_{ij}^k = 2q^{m-1}(q^{m-1} + 1)$  we have

$$\begin{aligned} s_{ij}^k &= 2q^{m-1}(q^{m-1} - 1) = 4q^{2m-2} - 2q^{m-1}(q^{m-1} + 1) \\ &= 4q^{2m-2} - p_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0$  and  $\phi(\kappa_{ijk}) = \phi(\kappa'_{ijk}) = 0$ , from  $p_{ij}^k = 2q^{m-1}(q^{m-1} - 1)$  we have

$$\begin{aligned} s_{ij}^k &= 2q^{m-1}(q^{m-1} + 1) = 4q^{2m-2} - 2q^{m-1}(q^{m-1} - 1) \\ &= 4q^{2m-2} - p_{ij}^k. \end{aligned}$$

For  $2 \leq i, j, k \leq \frac{q}{2}$ ,  $\mu_i^2 + \mu_j^2 + \mu_k^2 \neq 0$  and  $\{\phi(\kappa_{ijk}), \phi(\kappa'_{ijk})\} = \{0, 1\}$ , from  $p_{ij}^k = 2q^{2m-2}$  we have

$$\begin{aligned} s_{ij}^k &= 2q^{2m-2} = 4q^{2m-2} - 2q^{2m-2} \\ &= 4q^{2m-2} - p_{ij}^k. \end{aligned}$$

This proves Lemma 3.2.1. □

**Theorem 3.2.2.** For  $m \geq 2$ , the character table  $P^- = (s_j(i))$  of  $\mathfrak{X}(GO_{2m+1}(q), \Theta)$  is described as

$$P^- = \begin{bmatrix} 1 & (q^{m-1} - 1)(q^m + 1) & q^{m-1}(q^m + 1) & \dots & q^{m-1}(q^m + 1) \\ 1 & -(q - 2)q^{m-1} - 1 & 2q^{m-1} & \dots & 2q^{m-1} \\ 1 & (q^{m-1} - 1) & & & \\ \vdots & \vdots & & & \\ 1 & (q^{m-1} - 1) & & & (-q^{m-1}\chi_{ij})_{2 \leq i, j \leq \frac{q}{2}} \end{bmatrix}$$

That is,

$$\begin{aligned} s_0(i) &= 1 \quad \text{for } 0 \leq i \leq \frac{q}{2} \\ s_j(0) &= h_j \quad \text{for } 0 \leq j \leq \frac{q}{2} \\ s_1(i) &= -p_1(i) - 2 \quad \text{for } 1 \leq i \leq \frac{q}{2} \\ s_j(i) &= -p_j(i) \quad \text{for } 1 \leq i \leq \frac{q}{2}, 2 \leq j \leq \frac{q}{2} \end{aligned}$$

*Proof.* In the same way as the proof of Theorem 3.1.2, we verify the following equality:

$$\sum_{\alpha=0}^{\frac{q}{2}} s_{ij}^{\alpha} s_{\alpha}(l) = s_i(l) s_j(l) \quad (87)$$

for all  $i, j, l \in \{0, 1, \dots, \frac{q}{2}\}$ .

(i) Suppose first  $i = j = 1$  and  $1 \leq l \leq \frac{q}{2}$ , then using the equality (1) and Lemma 3.2.1 we see that

$$\begin{aligned} \sum_{\alpha=0}^{\frac{q}{2}} s_{11}^{\alpha} s_{\alpha}(l) &= s_{11}^0 s_0(l) + s_{11}^1 s_1(l) + \sum_{\alpha=2}^{\frac{q}{2}} s_{11}^{\alpha} s_{\alpha}(l) \\ &= (q^{m-1} - 1)(q^m + 1) \\ &\quad - 4q^{2m-2} p_1(l) + p_{11}^1 p_1(l) + 4p_1(l) - 2\{q^{m-1}(2q^{m-1} - q + 1) - 2\} \\ &\quad - 4q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} p_{\alpha}(l) + \sum_{\alpha=0}^{\frac{q}{2}} p_{11}^{\alpha} p_{\alpha}(l) \\ &\quad + 4q^{2m-2} + 4q^{2m-2} p_1(l) \\ &\quad - (q^{m-1} + 1)(q^m - 1) - p_{11}^1 p_1(l) \quad \text{by } p_{11}^0 = (q^{m-1} + 1)(q^m - 1), \\ &= p_1(l)^2 + 4p_1(l) + 4 \\ &= (p_1(l) + 2)^2 \\ &= s_1(l)^2. \end{aligned}$$

(ii) Suppose  $i = 1$ ,  $1 < j \leq \frac{q}{2}$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned} \sum_{\alpha=0}^{\frac{q}{2}} s_{1j}^{\alpha} s_{\alpha}(l) &= s_{1j}^0 s_0(l) + s_{1j}^1 s_1(l) + s_{1j}^j s_j(l) + \sum_{\substack{\alpha=2 \\ \alpha \neq j}}^{\frac{q}{2}} s_{1j}^{\alpha} s_{\alpha}(l) \\ &= -4q^{2m-2} p_1(l) + p_{1j}^1 p_1(l) - 4q^{2m-2} \\ &\quad - 4q^{2m-2} p_j(l) + p_{1j}^j p_j(l) + 2p_j(l) \\ &\quad - 4q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} p_{\alpha}(l) + \sum_{\alpha=0}^{\frac{q}{2}} p_{1j}^{\alpha} p_{\alpha}(l) \\ &\quad + 4q^{2m-2} + 4q^{2m-2} p_1(l) + 4q^{2m-2} p_j(l) \\ &\quad - p_{1j}^0 p_0(l) - p_{1j}^1 p_1(l) - p_{1j}^j p_j(l) \\ &= p_1(l) p_j(l) + 2p_j(l) \\ &= (p_1(l) + 2) p_j(l) \\ &= s_1(l) s_j(l). \end{aligned}$$

(iii) Suppose  $1 < i \leq \frac{q}{2}$ ,  $j = 1$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned} \sum_{\alpha=0}^{\frac{q}{2}} s_{i1}^{\alpha} s_{\alpha}(l) &= s_{i1}^0 s_0(l) + s_{i1}^1 s_1(l) + s_{i1}^i s_i(l) + \sum_{\substack{\alpha=2 \\ \alpha \neq i}}^{\frac{q}{2}} s_{i1}^{\alpha} s_{\alpha}(l) \\ &= -4q^{2m-2} p_1(l) + p_{i1}^1 p_1(l) - 4q^{2m-2} \\ &\quad - 4q^{2m-2} p_i(l) + p_{i1}^i p_i(l) + 2p_i(l) \\ &\quad - 4q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} p_{\alpha}(l) + \sum_{\alpha=0}^{\frac{q}{2}} p_{i1}^{\alpha} p_{\alpha}(l) \end{aligned}$$

$$\begin{aligned}
& + 4q^{2m-2} + 4q^{2m-2}p_1(l) + 4q^{2m-2}p_i(l) \\
& - p_{i1}^0p_0(l) - p_{i1}^1p_1(l) - p_{i1}^i p_i(l) \\
& = p_i(l)p_1(l) + 2p_i(l) \\
& = p_i(l)(p_1(l) + 2) \\
& = s_i(l)s_1(l).
\end{aligned}$$

(iv) Suppose  $1 < i = j \leq \frac{q}{2}$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned}
\sum_{\alpha=0}^{\frac{q}{2}} s_{ii}^\alpha s_\alpha(l) & = s_{ii}^0 s_0(l) + s_{ii}^1 s_1(l) + \sum_{\alpha=2}^{\frac{q}{2}} s_{ii}^\alpha s_\alpha(l) \\
& = q^{m-1}(q^m + 1) \\
& \quad - 4q^{2m-2}p_1(l) + p_{ii}^1 p_1(l) - 2q^{m-1}(2q^{m-1} + 1) \\
& \quad - 4q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} p_\alpha(l) + \sum_{\alpha=0}^{\frac{q}{2}} p_{ii}^\alpha p_\alpha(l) \\
& \quad + 4q^{2m-2} + 4q^{2m-2}p_1(l) \\
& \quad - q^{m-1}(q^m - 1) - p_{ii}^1 p_1(l) \qquad \text{by } p_{ii}^0 = q^{m-1}(q^m - 1), \\
& = p_i(l)^2 \\
& = s_i(l)^2.
\end{aligned}$$

(v) Finally, suppose  $1 < i, j \leq \frac{q}{2}$ ,  $i \neq j$  and  $1 \leq l \leq \frac{q}{2}$ , then

$$\begin{aligned}
\sum_{\alpha=0}^{\frac{q}{2}} s_{ij}^\alpha s_\alpha(l) & = s_{ij}^0 s_0(l) + s_{ij}^1 s_1(l) + \sum_{\alpha=2}^{\frac{q}{2}} s_{ij}^\alpha s_\alpha(l) \\
& = -4q^{2m-2}p_1(l) + p_{ij}^1 p_1(l) - 4q^{2m-2} \\
& \quad - 4q^{2m-2} \sum_{\alpha=0}^{\frac{q}{2}} p_\alpha(l) + \sum_{\alpha=0}^{\frac{q}{2}} p_{ij}^\alpha p_\alpha(l) \\
& \quad + 4q^{2m-2} + 4q^{2m-2}p_1(l) \\
& \quad - p_{ij}^0 - p_{ij}^1 p_1(l) \\
& = p_i(l)p_j(l) \\
& = s_i(l)s_j(l).
\end{aligned}$$

This completes the proof of Theorem 3.2.2.  $\square$

It is known that the character table  $\tilde{P}^- = (\tilde{s}_j(i))$  of  $\mathfrak{X}(GO_3(q), \Theta_3(q))$  is described as follows (cf. Bannai-Kwok-Song [6, p.139, Remark 1.]):

$$\tilde{P}^- = \begin{bmatrix} 1 & (q+1) & \dots & (q+1) \\ 1 & & & \\ \vdots & & (-\chi_{ij})_{2 \leq i, j \leq \frac{q}{2}} & \\ 1 & & & \end{bmatrix}. \quad (88)$$

Thus it follows from Theorem 3.2.2 that the character table of  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  is controlled by that of  $\mathfrak{X}(GO_3(q), \Theta_3(q))$ , by replacing  $q \rightarrow q^{m-1}$ .

## 4 Subschemes

### 4.1 Subschemes of $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$

First of all, we prove the following theorem:

**Theorem 4.1.1.**  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$  is a subscheme of  $\mathfrak{X}(GO_3(q^m), \Omega_3(q^m))$ .

The underlying vector space  $\mathbb{V}$  is decomposed as

$$\mathbb{V} = \langle e_{11}, e_{21} \rangle \perp \dots \perp \langle e_{1m}, e_{2m} \rangle \perp \langle r \rangle,$$

where  $\{e_{1i}, e_{2i}\}$  ( $1 \leq i \leq m$ ) are hyperbolic pairs and as usual  $Q(r) = 1$ . Let

$$U := \langle e_{11}, e_{21} \rangle \perp \dots \perp \langle e_{1m}, e_{2m} \rangle$$

be an element in  $\Omega_{2m+1}(q)$ , then  $f|_U$  is a non-degenerate alternating bilinear form on  $U$ . The symplectic group  $Sp_{2m}(q)$  is the group of all elements of  $GL_{2m}(q) = GL(U)$  which preserve the non-degenerate alternating bilinear form  $f|_U$ . More precisely,

$$Sp_{2m}(q) := \{\tau \in GL(U) \mid f|_U(\tau(u), \tau(v)) = f|_U(u, v) \text{ for all } u, v \in U\}.$$

It is well known that the orthogonal group  $GO_{2m+1}(q)$  is isomorphic to the symplectic group  $Sp_{2m}(q)$  for even  $q$ , but we review this again in a form convenient for our purpose.

Let  $E^{(1)} : \mathbb{V} \rightarrow U$ ,  $E^{(2)} : \mathbb{V} \rightarrow \langle r \rangle$  be the orthogonal projections, and define a mapping  $\Phi : GO_{2m+1}(q) \rightarrow Sp_{2m}(q)$  by

$$\Phi(A) := A^{(1)}|_U$$

for  $A \in GO_{2m+1}(q)$ , where

$$A^{(i)} := E^{(i)}A \quad (i = 1, 2).$$

Then we have the following:

**Proposition 4.1.2.** *The mapping  $\Phi : GO_{2m+1}(q) \rightarrow Sp_{2m}(q)$  is well-defined. Moreover,  $\Phi$  is an isomorphism of  $GO_{2m+1}(q)$  onto  $Sp_{2m}(q)$ .*

*Proof.* Let  $A$  be an element in  $GO_{2m+1}(q)$ . Then since  $A$  does not move the vector  $r$  we have  $(A^{(1)})^{-1}(0) = \langle r \rangle$ , so that

$$\text{rank } A^{(1)}|_U = \dim A^{(1)}U = \dim U - \dim U \cap \langle r \rangle = \dim U = 2m.$$

Thus  $\Phi(A)$  is an element in  $GL(U)$ . Also since  $A^{(2)}\mathbb{V}$  is equal to the radical  $\langle r \rangle$  of  $f$ , we obtain

$$f(A^{(1)}u, A^{(1)}v) = f(A^{(1)}u + A^{(2)}u, A^{(1)}v + A^{(2)}v) = f(Au, Av) = f(u, v),$$

for all  $u, v \in U$ , which implies that  $\Phi(A)$  belongs to  $Sp_{2m}(q)$ , namely, the mapping  $\Phi$  is well-defined. This mapping  $\Phi$  is also a homomorphism. To show this, let  $A$  and  $A'$  be two elements in  $GO_{2m+1}(q)$ . Then since  $(E^{(1)}AE^{(2)}A')\mathbb{V} = E^{(1)}\langle r \rangle = 0$ , we have

$$E^{(1)}AA' = E^{(1)}A(E^{(1)} + E^{(2)})A' = E^{(1)}AE^{(1)}A' + E^{(1)}AE^{(2)}A' = E^{(1)}AE^{(1)}A',$$

so that  $\Phi(AA') = \Phi(A)\Phi(A')$ .

It remains to show that  $\Phi$  is a bijection. Suppose  $\Phi(A) = id_U$ . Then for any vector  $u$  in  $U$  we have

$$Q(u) = Q(Au) = Q(u + A^{(2)}u) = Q(u) + Q(A^{(2)}u),$$

from which it follows that  $A^{(2)}u = 0$ , since otherwise  $Q(A^{(2)}u)$  cannot be zero by  $Q(r) = 1$ . Consequently  $Au = u$  for all  $u \in U$ , that is  $A|_U = id_U$ . This implies  $A = id_{\mathbb{V}}$  since  $\mathbb{V} = U \perp \langle r \rangle$ . Thus  $\Phi$  is injective. Finally let  $B$  be an element in  $Sp_{2m}(q)$  and define an element  $A$  in  $GL(\mathbb{V})$  by

$$Ae_{ij} := Be_{ij} + \sqrt{Q(Be_{ij})}r, \quad \text{for } i = 1, 2 \text{ and } 1 \leq j \leq m, \quad (89)$$

$$Ar := r.$$

Then we have  $Q(Ae_{ij}) = 0$  for  $i = 1, 2$  and  $1 \leq j \leq m$ , and for any vector  $v = \sum_{i,j} \xi_{ij}e_{ij} + \xi r$  in  $\mathbb{V}$  we have

$$\begin{aligned} Q(Av) &= \sum_{i,j,k,l} \xi_{ij}\xi_{kl}f(Ae_{ij}, Ae_{kl}) + \xi^2 \\ &= \sum_{i,j,k,l} \xi_{ij}\xi_{kl}f(Be_{ij}, Be_{kl}) + \xi^2 \\ &= \sum_{i,j,k,l} \xi_{ij}\xi_{kl}f(e_{ij}, e_{kl}) + \xi^2 \\ &= Q(v), \end{aligned}$$

which implies that  $A$  is an element in  $GO_{2m+1}(q)$ , and clearly we have  $\Phi(A) = B$ . Thus  $\Phi$  is surjective. This completes the proof of Proposition 4.1.2.  $\square$

Let  $L$  be the stabilizer of  $U$  in  $GO_{2m+1}(q)$ , then  $L$  is isomorphic to  $GO_{2m}^+(q)$ . From (89) we have the following:

**Corollary 4.1.3.** *Let  $B$  be an element in  $Sp_{2m}(q)$ . Then  $\Phi^{-1}(B)$  is contained in  $L$  if and only if  $Q(Be_{ij}) = 0$  for all  $i = 1, 2$  and  $1 \leq j \leq m$ .*

Next, let  $\mathbb{V}_0$  be a 3-dimensional vector space over  $\mathbb{F}_{q^m}$ , and let  $Q_0 : \mathbb{V}_0 \rightarrow \mathbb{F}_{q^m}$  be a non-degenerate quadratic form on  $\mathbb{V}_0$  with associated alternating bilinear form  $f_0 : \mathbb{V}_0 \times \mathbb{V}_0 \rightarrow \mathbb{F}_{q^m}$ . Then  $\mathbb{V}_0$  is decomposed as

$$\mathbb{V}_0 = \langle e_1, e_2 \rangle \perp \langle r_0 \rangle$$

where  $\{e_1, e_2\}$  is a hyperbolic pair and  $Q_0(r_0) = 1$ . Let

$$U_0 := \langle e_1, e_2 \rangle$$

be an element in  $\Omega_3(q^m)$ , then  $f_0|_{U_0}$  is a non-degenerate alternating bilinear form on  $U_0$ . Seroussi-Lempel [13] proved that for even  $q$  there exists a *trace-orthonormal basis*  $\{\omega_1, \omega_2, \dots, \omega_m\}$  of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ , that is,

$$\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\omega_i\omega_j) = \delta_{ij}, \quad (90)$$

where  $\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} : \mathbb{F}_{q^m} \rightarrow \mathbb{F}_q$  is the trace map from  $\mathbb{F}_{q^m}$  onto  $\mathbb{F}_q$ . Since  $U$  and  $U_0$  are both  $2m$ -dimensional vector space over  $\mathbb{F}_q$ , we may identify  $e_{ij}$  with  $\omega_j e_i$  for  $i = 1, 2$  and  $1 \leq j \leq m$ , and  $U$  with  $U_0$ . Under this identification,  $GL_2(q^m)$  is naturally embedded in  $GL_{2m}(q)$ .

**Proposition 4.1.4.**  *$Sp_2(q^m)$  is a subgroup of  $Sp_{2m}(q)$ .*

*Proof.* Let  $u = \sum_{i,j} \xi_{ij}e_{ij}$  and  $v = \sum_{i,j} \eta_{ij}e_{ij}$  be two vectors in  $U$ , and let  $\xi_i := \xi_{i1}\omega_1 + \dots + \xi_{im}\omega_m$  and  $\eta_i := \eta_{i1}\omega_1 + \dots + \eta_{im}\omega_m$  for  $i = 1, 2$ . Then by (90) we have

$$\begin{aligned} \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(f_0|_{U_0}(u, v)) &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(f_0|_{U_0}(\xi_1 e_1 + \xi_2 e_2, \eta_1 e_1 + \eta_2 e_2)) \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\xi_1 \eta_2 + \xi_2 \eta_1) \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}((\xi_{11}\omega_1 + \dots + \xi_{1m}\omega_m)(\eta_{21}\omega_1 + \dots + \eta_{2m}\omega_m) \\ &\quad + (\xi_{21}\omega_1 + \dots + \xi_{2m}\omega_m)(\eta_{11}\omega_1 + \dots + \eta_{1m}\omega_m)) \\ &= \xi_{11}\eta_{21} + \dots + \xi_{1m}\eta_{2m} + \xi_{21}\eta_{11} + \dots + \xi_{2m}\eta_{1m} \\ &= f|_U(u, v). \end{aligned}$$

Hence any element in  $Sp_2(q^m)$  also preserves the alternating form  $f|_U$ , which proves Proposition 4.1.4.  $\square$

It follows immediately from Proposition 4.1.2 and Proposition 4.1.4 that  $GO_3(q^m)$  is a subgroup of  $GO_{2m+1}(q)$ . Furthermore we have the following:

**Proposition 4.1.5.** *Let  $L_0$  be the stabilizer of  $U_0$  in  $GO_3(q^m)$ , then  $GO_3(q^m) \cap L = L_0$ .*

*Proof.* For any element  $B_0 = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$  in  $Sp_2(q^m)$ , let  $B$  be the corresponding element in  $Sp_{2m}(q)$ , that is,  $B$  is the mapping obtained by regarding  $B_0$  as a linear mapping over  $\mathbb{F}_q$ . Then for  $1 \leq j \leq m$  we have

$$\begin{aligned} Be_{1j} &= B_0(\omega_j e_1) = \alpha \omega_j e_1 + \gamma \omega_j e_2 \\ &= \alpha_{j1} e_{11} + \cdots + \alpha_{jm} e_{1m} + \gamma_{j1} e_{21} + \cdots + \gamma_{jm} e_{2m} \end{aligned}$$

where  $\alpha \omega_j = \alpha_{j1} \omega_1 + \cdots + \alpha_{jm} \omega_m$ ,  $\gamma \omega_j = \gamma_{j1} \omega_1 + \cdots + \gamma_{jm} \omega_m$  for some  $\alpha_{jk}, \gamma_{jk} \in \mathbb{F}_q$  ( $1 \leq k \leq m$ ), from which it follows that

$$Q(Be_{1j}) = \alpha_{j1} \gamma_{j1} + \cdots + \alpha_{jm} \gamma_{jm} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\alpha \gamma \omega_j^2).$$

Similarly for  $1 \leq j \leq m$  we have

$$\begin{aligned} Be_{2j} &= B_0(\omega_j e_2) = \beta \omega_j e_1 + \delta \omega_j e_2 \\ &= \beta_{j1} e_{11} + \cdots + \beta_{jm} e_{1m} + \delta_{j1} e_{21} + \cdots + \delta_{jm} e_{2m} \end{aligned}$$

where  $\beta \omega_j = \beta_{j1} \omega_1 + \cdots + \beta_{jm} \omega_m$ ,  $\delta \omega_j = \delta_{j1} \omega_1 + \cdots + \delta_{jm} \omega_m$  for some  $\beta_{jk}, \delta_{jk} \in \mathbb{F}_q$  ( $1 \leq k \leq m$ ), from which it follows that

$$Q(Be_{2j}) = \beta_{j1} \delta_{j1} + \cdots + \beta_{jm} \delta_{jm} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\beta \delta \omega_j^2).$$

If  $\alpha \gamma = \beta \delta = 0$  then clearly  $\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\alpha \gamma \omega_j^2) = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\beta \delta \omega_j^2) = 0$  for all  $1 \leq j \leq m$ . The converse is also true. To show this, suppose contrary. Since  $\{\omega_1, \omega_2, \dots, \omega_m\}$  is a basis of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ , so is  $\{\omega_1^2, \omega_2^2, \dots, \omega_m^2\}$ . Thus it follows that for all  $\xi \in \mathbb{F}_{q^m}$  we have  $\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\xi) = 0$  since  $\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}$  is a linear mapping, which is a contradiction. Therefore by Corollary 4.1.3,  $\Phi^{-1}(B)$  is contained in  $L$  if and only if  $\alpha \gamma = \beta \delta = 0$ . In the same way as before let  $E_0^{(1)} : \mathbb{V}_0 \rightarrow U_0$ ,  $E_0^{(2)} : \mathbb{V}_0 \rightarrow \langle r_0 \rangle$  be the orthogonal projections, and define a mapping  $\Phi_0 : GO_3(q^m) \rightarrow Sp_2(q^m)$  by

$$\Phi_0(A_0) := A_0^{(1)}|_{U_0}$$

for  $A_0 \in GO_3(q^m)$ , where

$$A_0^{(i)} := E_0^{(i)} A_0 \quad (i = 1, 2).$$

Since actually we chose  $m$  arbitrarily, it also follows that  $\Phi_0^{-1}(B_0)$  is contained in  $L_0$  if and only if  $\alpha \gamma = \beta \delta = 0$ , which proves Proposition 4.1.5.  $\square$

*Remark.* As is in the proof of Proposition 4.1.5,  $GO_2^+(q)$  is isomorphic to

$$\left\{ \left( \begin{pmatrix} z & \\ & z^{-1} \end{pmatrix}, \begin{pmatrix} & z \\ z^{-1} & \end{pmatrix} \mid z \in \mathbb{F}_q^* \right\},$$

which is in turn isomorphic to the *dihedral group*  $D_{2(q-1)}$  of order  $2(q-1)$ .

By Proposition 4.1.5 the containment relations among  $GO_{2m+1}(q)$ ,  $GO_{2m}^+(q)$ ,  $GO_3(q^m)$  and  $GO_2^+(q^m)$  are displayed in the following diagram:

$$\begin{array}{ccc} GO_{2m+1}(q) & \supset & GO_{2m}^+(q) \\ \cup & & \cup \\ GO_3(q^m) & \supset & GO_2^+(q^m) \end{array}$$

where  $GO_3(q^m) \cap GO_{2m}^+(q) = GO_2^+(q^m)$ .

*Proof of Theorem 4.1.1.* It follows from the above diagram that each left coset of  $GO_{2m+1}(q)$  by  $GO_{2m}^+(q)$  contains at most one left coset of  $GO_3(q^m)$  by  $GO_2^+(q^m)$ , since for any two elements  $A_0, A'_0$  in  $GO_3(q^m)$ , we have  $A_0^{-1} A'_0 \in GO_2^+(q^m)$  if and only if  $A_0^{-1} A'_0 \in GO_{2m}^+(q)$ . Moreover from (2) it follows that

$$|GO_{2m+1}(q) : GO_{2m}^+(q)| = |GO_3(q^m) : GO_2^+(q^m)| = \frac{q^m(q^m + 1)}{2},$$

so that each left coset of  $GO_{2m+1}(q)$  by  $GO_{2m}^+(q)$  contains exactly one left coset of  $GO_3(q^m)$  by  $GO_2^+(q^m)$ . Therefore the action of  $GO_3(q^m)$  on  $GO_{2m+1}(q)/GO_{2m}^+(q)$  is equivalent to the action on  $GO_3(q^m)/GO_2^+(q^m)$ , which completes the proof of Theorem 4.1.1.  $\square$

From now on, we determine how to merge the relations of  $\mathfrak{X}(GO_3(q^m), \Omega_3(q^m))$  to get the subscheme  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$ . We use the notation in the proof of Proposition 4.1.5, and also we mainly use the symbol “ $\sim$ ” to stand for  $GO_3(q^m)$  case. Namely we let  $\tilde{\nu}$  be a primitive element of  $\mathbb{F}_{q^m}$ , and define

$$\tilde{\lambda}_i := \frac{\tilde{\nu}^{i-1}}{1 + \tilde{\nu}^{i-1}} \quad \text{for } 2 \leq i \leq \frac{q^m}{2},$$

and

$$\tilde{\mu}_i := \sqrt{\tilde{\lambda}_i^2 + \tilde{\lambda}_i}.$$

Also we let  $\{\tilde{R}_i\}_{0 \leq i \leq \frac{q^m}{2}}$  denotes the set of relations of  $\mathfrak{X}(GO_3(q^m), \Omega_3(q^m))$ .

(i) Define a mapping  $A_0 : \mathbb{V}_0 \longrightarrow \mathbb{V}_0$  by

$$\begin{aligned} A_0 e_1 &:= e_1 + e_2 + r_0, \\ A_0 e_2 &:= e_2, \\ A_0 r_0 &:= r_0. \end{aligned}$$

Then we have  $Q_0(A_0 e_1) = Q_0(A_0 e_2) = 0$  and  $f_0(A_0 e_1, A_0 e_2) = 1$  so that  $A_0$  is an element in  $GO_3(q^m)$ . Let  $V_0 := A_0 U_0 \in \Omega_3(q^m)$ , then we have

$$U_0 \cap V_0 = \langle e_2 \rangle^\perp \cap U_0 = \langle e_2 \rangle^\perp \cap V_0,$$

from which it follows that  $(U_0, V_0) \in \tilde{R}_1$ . By definition, the mapping  $B_0 := \Phi_0(A_0) \in Sp_2(q^m)$  is defined by

$$\begin{aligned} B_0 e_1 &= e_1 + e_2, \\ B_0 e_2 &= e_2. \end{aligned}$$

Let  $B$  denotes the element in  $Sp_{2m}(q)$  corresponding to  $B_0$ , then  $B$  is given by

$$\begin{aligned} B e_{1j} &= B_0(\omega_j e_1) = \omega_j e_1 + \omega_j e_2 = e_{1j} + e_{2j}, \\ B e_{2j} &= B_0(\omega_j e_2) = \omega_j e_2 = e_{2j}. \end{aligned}$$

Since  $Q(B e_{1j}) = 1$  and  $Q(B e_{2j}) = 0$ , it follows from (89) that  $A := \Phi^{-1}(B)$  is obtained as

$$\begin{aligned} A e_{1j} &= e'_{1j} := e_{1j} + e_{2j} + r \quad \text{for } 1 \leq j \leq m, \\ A e_{2j} &= e'_{2j} := e_{2j} \quad \text{for } 1 \leq j \leq m, \\ A r &= r. \end{aligned}$$

Let  $V := AU$  be an element in  $\Omega_{2m+1}(q)$ , and define a vector  $w$  in  $U \cap V$  by

$$w := e_{21} + e_{22} + \cdots + e_{2m} = e'_{21} + e'_{22} + \cdots + e'_{2m}.$$

Then  $w \neq 0$  and it follows that

$$U \cap V = \langle w \rangle^\perp \cap U = \langle w \rangle^\perp \cap V.$$

To show this, let  $y = \sum_{i,j} \xi_{ij} e'_{ij}$  be a vector in  $V$  orthogonal to  $w$ , then the  $r$ -component of  $y$  with respect to the basis  $\{e_{ij}\}_{i,j} \cup \{r\}$  is equal to

$$\xi_{11} + \xi_{12} + \cdots + \xi_{1m} = f(w, y) = 0,$$

so that  $y$  belongs to  $U \cap V$ , as desired. Since  $Q(w) = 0$  we have  $(U, V) \in R_1$ . That is, the relation  $\tilde{R}_1$  is merged into the relation  $R_1$ .

(ii) Next, for  $2 \leq l \leq \frac{q^m}{2}$  define a mapping  $A_0 : \mathbb{V}_0 \rightarrow \mathbb{V}_0$  by

$$\begin{aligned} A_0 e_1 &= e'_1 := (\tilde{\lambda}_l + 1)e_1 + \tilde{\lambda}_l e_2 + \tilde{\mu}_l r_0, \\ A_0 e_2 &= e'_2 := \tilde{\lambda}_l e_1 + (\tilde{\lambda}_l + 1)e_2 + \tilde{\mu}_l r_0, \\ A_0 r_0 &:= r_0. \end{aligned}$$

Then we have  $Q_0(e'_1) = Q_0(e'_2) = 0$  and  $f_0(e'_1, e'_2) = 1$  so that  $A_0$  is an element in  $GO_3(q^m)$ . Let  $V_0 := A_0 U_0 \in \Omega_3(q^m)$ , and let  $w_0 := e_1 + e_2 = e'_1 + e'_2$  be a vector in  $U_0 \cap V_0$ , then we have

$$U_0 \cap V_0 = \langle w_0 \rangle^\perp \cap U_0 = \langle w_0 \rangle^\perp \cap V_0.$$

Since  $Q_0(w_0) = 1$ ,  $f_0(e_1, w_0) = f_0(e'_1, w_0) = 1$  and  $e_1 + e'_1 = \tilde{\lambda}_l w_0 + \tilde{\mu}_l r_0$ , it follows that  $(U_0, V_0) \in \tilde{R}_l$ . The mapping  $B_0 := \Phi_0(A_0) \in Sp_2(q^m)$  is defined by

$$\begin{aligned} B_0 e_1 &= (\tilde{\lambda}_l + 1)e_1 + \tilde{\lambda}_l e_2, \\ B_0 e_2 &= \tilde{\lambda}_l e_1 + (\tilde{\lambda}_l + 1)e_2. \end{aligned}$$

Let

$$\tilde{\lambda}_l \omega_j = \lambda_{lj1} \omega_1 + \cdots + \lambda_{ljm} \omega_m \quad \text{for } 1 \leq j \leq m,$$

and

$$\tilde{\mu}_l = \mu_{l1} \omega_1 + \cdots + \mu_{lm} \omega_m,$$

for some  $\lambda_{ljk}, \mu_{lk} \in \mathbb{F}_q$ . Notice that the coefficients  $\lambda_{ljk}, \mu_{lk}$  are given by

$$\lambda_{ljk} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l \omega_j \omega_k) \quad \text{and} \quad \mu_{lk} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l \omega_k), \quad (91)$$

for  $1 \leq j, k \leq m$ . Let  $B$  be the element in  $Sp_{2m}(q)$  corresponding to  $B_0$ . Then we have

$$\begin{aligned} B e_{1j} &= (\tilde{\lambda}_l + 1)\omega_j e_1 + \tilde{\lambda}_l \omega_j e_2 \\ &= \lambda_{lj1}(e_{11} + e_{21}) + \cdots + \lambda_{ljm}(e_{1m} + e_{2m}) + e_{1j}, \quad \text{for } 1 \leq j \leq m, \\ B e_{2j} &= \tilde{\lambda}_l \omega_j e_1 + (\tilde{\lambda}_l + 1)\omega_j e_2 \\ &= \lambda_{lj1}(e_{11} + e_{21}) + \cdots + \lambda_{ljm}(e_{1m} + e_{2m}) + e_{2j}, \quad \text{for } 1 \leq j \leq m. \end{aligned}$$

Since from (91)

$$\begin{aligned} Q(B e_{1j}) &= Q(B e_{2j}) = \lambda_{lj1}^2 + \cdots + \lambda_{ljm}^2 + \lambda_{ljj} \\ &= \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l^2 \omega_j^2) + \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l \omega_j^2) \\ &= \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2 \omega_j^2) \\ &= \mu_{lj}^2, \end{aligned}$$

it follows from (89) that the mapping  $A := \Phi^{-1}(B) \in GO_{2m+1}(q)$  is given by

$$\begin{aligned} A e_{1j} &= e'_{1j} := \lambda_{lj1}(e_{11} + e_{21}) + \cdots + \lambda_{ljm}(e_{1m} + e_{2m}) + e_{1j} + \mu_{lj} r, \quad \text{for } 1 \leq j \leq m, \\ A e_{2j} &= e'_{2j} := \lambda_{lj1}(e_{11} + e_{21}) + \cdots + \lambda_{ljm}(e_{1m} + e_{2m}) + e_{2j} + \mu_{lj} r, \quad \text{for } 1 \leq j \leq m, \\ A r &= r. \end{aligned}$$

Let  $V := AU$  be an element in  $\Omega_{2m+1}(q)$ . Notice that since  $\tilde{\mu}_l \neq 0$  the number of  $\mu_{lj}$  equal to 0 is at most  $m - 1$ . Define a vector  $w'$  in  $U \cap V$  by

$$\begin{aligned} w' &:= \mu_{l1}(e_{11} + e_{21}) + \cdots + \mu_{lm}(e_{1m} + e_{2m}) \\ &= \mu_{l1}(e'_{11} + e'_{21}) + \cdots + \mu_{lm}(e'_{1m} + e'_{2m}). \end{aligned}$$

Then  $w' \neq 0$  and it follows that

$$U \cap V = \langle w' \rangle^\perp \cap U = \langle w' \rangle^\perp \cap V.$$



To show this, let  $y = \sum_{i,j} \xi_{ij} e'_{ij}$  be a vector in  $V$  orthogonal to  $w'$ , then the  $r$ -component of  $y$  with respect to the basis  $\{e_{ij}\}_{i,j} \cup \{r\}$  is equal to

$$(\xi_{11} + \xi_{21})\mu_{l1} + \cdots + (\xi_{1m} + \xi_{2m})\mu_{lm} = f(w', y) = 0,$$

so that  $y$  is contained in  $U \cap V$ , as desired. Also we have

$$Q(w') = \mu_{l1}^2 + \cdots + \mu_{lm}^2 = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2), \quad (92)$$

from which it follows that  $(U, V) \in R_1$  if and only if

$$\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2) = 0, \quad (93)$$

or equivalently

$$\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l) = 0 \quad \text{or} \quad \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l) = 1. \quad (94)$$

Suppose  $\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2) \neq 0$ , so that  $(U, V) \notin R_1$ . Let

$$\frac{\tilde{\mu}_l}{\tilde{\lambda}_l} = \chi_{l1}\omega_1 + \cdots + \chi_{lm}\omega_m,$$

for  $\chi_{lk} \in \mathbb{F}_q$  ( $1 \leq k \leq m$ ), that is,

$$\chi_{lk} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l}{\tilde{\lambda}_l} \omega_k \right) \quad (1 \leq k \leq m),$$

and define two vector  $u' \in U$  and  $v' \in V$  by

$$\begin{aligned} u' &:= \chi_{l1}e_{11} + \cdots + \chi_{lm}e_{1m}, \\ v' &:= \chi_{l1}e'_{11} + \cdots + \chi_{lm}e'_{1m}. \end{aligned}$$

Then we have  $Q(u') = Q(v') = 0$ , and

$$\begin{aligned} u' + v' &= \chi_{l1}(e_{11} + e'_{11}) + \cdots + \chi_{lm}(e_{1m} + e'_{1m}) \\ &= \sum_{j=1}^m \chi_{lj} \left( \sum_{k=1}^m \lambda_{ljk}(e_{1k} + e_{2k}) + \mu_{lj}r \right) \\ &= \sum_{k=1}^m \left( \sum_{j=1}^m \chi_{lj} \lambda_{ljk} \right) (e_{1k} + e_{2k}) + \left( \sum_{j=1}^m \chi_{lj} \mu_{lj} \right) r. \end{aligned}$$

Now it follows from (91) that

$$\sum_{j=1}^m \chi_{lj} \lambda_{ljk} = \sum_{j=1}^m \chi_{lj} \lambda_{lkj} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l}{\tilde{\lambda}_l} \tilde{\lambda}_l \omega_k \right) = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l \omega_k) = \mu_{lk},$$

for  $1 \leq k \leq m$ . Also

$$\sum_{j=1}^m \chi_{lj} \mu_{lj} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l}{\tilde{\lambda}_l} \tilde{\mu}_l \right) = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1). \quad (95)$$

Hence we have

$$\begin{aligned} u' + v' &= \sum_{k=1}^m \mu_{lk}(e_{1k} + e_{2k}) + (\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1))r \\ &= w' + (\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1))r, \end{aligned} \quad (96)$$

so that

$$U = \langle u', w' \rangle \perp W, \quad V = \langle v', w' \rangle \perp W, \quad (97)$$

where  $W := \langle u', w' \rangle^\perp \cap U \subset U \cap V$ . It also follows from (95) and (96) that

$$f(u', v') = f(u', w') = f(v', w') = \sum_{j=1}^m \chi_{l_j} \mu_{l_j} = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1). \quad (98)$$

Here  $\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1) \neq 0$  by assumption. Define

$$w := \frac{1}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l)} w',$$

and

$$u := \frac{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l)}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1)} u', \quad v := \frac{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l)}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1)} v'.$$

Then  $Q(u) = Q(v) = 0$ , and it follows from (92), (98), (97) that  $Q(w) = 1$ ,  $f(u, w) = f(v, w) = 1$ , and

$$U = \langle u, w \rangle^\perp W, \quad V = \langle v, w \rangle^\perp W.$$

Also by (98) we have

$$\begin{aligned} f(u, v) &= \frac{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2)}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1)} = \frac{(\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l))(\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1))}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l + 1)} \\ &= \begin{cases} \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l) & \text{if } m: \text{ odd,} \\ \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l) + 1 & \text{if } m: \text{ even.} \end{cases} \end{aligned}$$

Thus  $(U, V)$  belongs to  $R_k$  for some  $k \in \{2, 3, \dots, \frac{q}{2}\}$  such that

$$\lambda_k = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l), \quad \text{or } \lambda_k = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l) + 1, \quad (99)$$

which is equivalent to

$$\mu_k = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l). \quad (100)$$

To summarize we have the following:

**Proposition 4.1.6.** *Define  $(\frac{q}{2} - 1)$  relations  $R_1, R_2, \dots, R_{\frac{q}{2}}$  on  $\Omega_3(q^m)$  by*

$$R_j := \bigcup_{i \in \Xi_j} \tilde{R}_i \quad (1 \leq j \leq \frac{q}{2}),$$

where

$$\begin{aligned} \Xi_1 &:= \{i \in \{2, 3, \dots, \frac{q}{2}\} \mid \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_i) = 0\} \cup \{1\}, \\ \Xi_j &:= \{i \in \{2, 3, \dots, \frac{q}{2}\} \mid \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_i) = \mu_j\} \quad (2 \leq j \leq \frac{q}{2}). \end{aligned}$$

Then these  $(\frac{q}{2} - 1)$  relations, together with  $R_0 := \tilde{R}_0$ , form the subscheme of  $\mathfrak{X}(GO_3(q^m), \Omega_3(q^m))$  isomorphic to  $\mathfrak{X}(GO_{2m+1}(q), \Omega_{2m+1}(q))$ .

**Corollary 4.1.7.**  *$\mathfrak{X}(GO_{2n+1}(q), \Omega_{2n+1}(q))$  is a subscheme of  $\mathfrak{X}(GO_{2m+1}(q^{\frac{n}{m}}), \Omega_{2m+1}(q^{\frac{n}{m}}))$  whenever  $m$  divides  $n$ .*

*Proof.* This is an immediate consequence of Proposition 4.1.6 and Lemma 4.1.8 below (cf. Lidl-Niederreiter [10, p.56, Theorem 2.26]). In fact, these two association schemes are both subschemes of  $\mathfrak{X}(GO_3(q^n), \Omega_3(q^n))$  by Theorem 4.1.1.  $\square$

**Lemma 4.1.8.** *If  $m$  divides  $n$ , then*

$$\mathrm{Tr}_{\mathbb{F}_{q^n}/\mathbb{F}_q} = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \circ \mathrm{Tr}_{\mathbb{F}_{q^n}/\mathbb{F}_{q^m}}.$$

## 4.2 Subschemes of $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$

First of all, we prove the following theorem.

**Theorem 4.2.1.**  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  is a subscheme of  $\mathfrak{X}(GO_3(q^m), \Theta_3(q^m))$ .

Let  $t^2 + t + \pi$  be an irreducible polynomial over  $\mathbb{F}_q$ . Then the underlying vector space  $\mathbb{V}$  is decomposed as

$$\mathbb{V} = \langle e_{11}, e_{21} \rangle \perp \dots \perp \langle e_{1m}, e_{2m} \rangle \perp \langle r \rangle,$$

where  $\{e_{1i}, e_{2i}\}$  ( $1 \leq i \leq m-1$ ) are hyperbolic pairs,  $Q(e_{1m}) = 1$ ,  $Q(e_{2m}) = \pi$ ,  $f(e_{1m}, e_{2m}) = 1$  and as usual  $Q(r) = 1$ . Let

$$U := \langle e_{11}, e_{21} \rangle \perp \dots \perp \langle e_{1m}, e_{2m} \rangle$$

be an element in  $\Theta_{2m+1}(q)$ , then  $f|_U$  is a non-degenerate alternating bilinear form on  $U$ . This time, we consider the symplectic group  $Sp_{2m}(q)$  with respect to  $f|_U$ , that is,

$$Sp_{2m}(q) := \{\tau \in GL(U) \mid f|_U(\tau(u), \tau(v)) = f|_U(u, v) \text{ for all } u, v \in U\}.$$

Let  $E^{(1)} : \mathbb{V} \rightarrow U$ ,  $E^{(2)} : \mathbb{V} \rightarrow \langle r \rangle$  be the orthogonal projections, and define a mapping  $\Psi : GO_{2m+1}(q) \rightarrow Sp_{2m}(q)$  by

$$\Psi(A) := A^{(1)}|_U$$

for  $A \in GO_{2m+1}(q)$ , where

$$A^{(i)} := E^{(i)} A \quad (i = 1, 2).$$

Then we have the following:

**Proposition 4.2.2.** The mapping  $\Psi : GO_{2m+1}(q) \rightarrow Sp_{2m}(q)$  is well-defined. Moreover,  $\Psi$  is an isomorphism of  $GO_{2m+1}(q)$  onto  $Sp_{2m}(q)$ .

*Proof.* Let  $A$  be an element in  $GO_{2m+1}(q)$ . Then since  $A$  does not move the vector  $r$  we have  $(A^{(1)})^{-1}(0) = \langle r \rangle$ , so that

$$\text{rank } A^{(1)}|_U = \dim A^{(1)}U = \dim U - \dim U \cap \langle r \rangle = \dim U = 2m.$$

Thus  $\Psi(A)$  is an element in  $GL(U)$ . Also since  $A^{(2)}\mathbb{V}$  is equal to the radical  $\langle r \rangle$  of  $f$ , we obtain

$$f(A^{(1)}u, A^{(1)}v) = f(A^{(1)}u + A^{(2)}u, A^{(1)}v + A^{(2)}v) = f(Au, Av) = f(u, v),$$

for all  $u, v \in U$ , which implies that  $\Psi(A)$  belongs to  $Sp_{2m}(q)$ , namely, the mapping  $\Psi$  is well-defined. This mapping  $\Psi$  is also a homomorphism. To show this, let  $A$  and  $A'$  be two elements in  $GO_{2m+1}(q)$ . Then since  $(E^{(1)}AE^{(2)}A')\mathbb{V} = E^{(1)}\langle r \rangle = 0$ , we have

$$E^{(1)}AA' = E^{(1)}A(E^{(1)} + E^{(2)})A' = E^{(1)}AE^{(1)}A' + E^{(1)}AE^{(2)}A' = E^{(1)}AE^{(1)}A',$$

so that  $\Psi(AA') = \Psi(A)\Psi(A')$ .

It remains to show that  $\Psi$  is a bijection. Suppose  $\Psi(A) = id_U$ . Then for any vector  $u$  in  $U$  we have

$$Q(u) = Q(Au) = Q(u + A^{(2)}u) = Q(u) + Q(A^{(2)}u),$$

from which it follows that  $A^{(2)}u = 0$ , since otherwise  $Q(A^{(2)}u)$  cannot be zero by  $Q(r) = 1$ . Consequently  $Au = u$  for all  $u \in U$ , that is  $A|_U = id_U$ . This implies  $A = id_{\mathbb{V}}$  since  $\mathbb{V} = U \perp \langle r \rangle$ . Thus  $\Psi$  is injective. Finally let  $B$  be an element in  $Sp_{2m}(q)$  and define an element  $A$  in  $GL(\mathbb{V})$  by

$$\begin{aligned} Ae_{ij} &:= Be_{ij} + \sqrt{Q(Be_{ij})}r, \quad \text{for } i = 1, 2 \text{ and } 1 \leq j \leq m-1, \\ Ae_{1m} &:= Be_{1m} + (\sqrt{Q(Be_{1m})} + 1)r, \\ Ae_{2m} &:= Be_{2m} + (\sqrt{Q(Be_{2m})} + \sqrt{\pi})r, \\ Ar &:= r. \end{aligned} \tag{101}$$

Then we have  $Q(Ae_{ij}) = 0$  for  $i = 1, 2$  and  $1 \leq j \leq m-1$ ,  $Q(Ae_{1m}) = 1$ ,  $Q(Ae_{2m}) = \pi$  and for any vector  $v = \sum_{i,j} \xi_{ij} e_{ij} + \xi r$  in  $\mathbb{V}$  we have

$$\begin{aligned} Q(Av) &= \sum_{i,j,k,l} \xi_{ij} \xi_{kl} f(Ae_{ij}, Ae_{kl}) + \xi_{1m}^2 + \pi \xi_{2m}^2 + \xi^2 \\ &= \sum_{i,j,k,l} \xi_{ij} \xi_{kl} f(Be_{ij}, Be_{kl}) + \xi_{1m}^2 + \pi \xi_{2m}^2 + \xi^2 \\ &= \sum_{i,j,k,l} \xi_{ij} \xi_{kl} f(e_{ij}, e_{kl}) + \xi_{1m}^2 + \pi \xi_{2m}^2 + \xi^2 \\ &= Q(v), \end{aligned}$$

which implies that  $A$  is an element in  $GO_{2m+1}(q)$ , and clearly we have  $\Psi(A) = B$ . Thus  $\Psi$  is surjective. This completes the proof of Proposition 4.2.2.  $\square$

Let  $L$  be the stabilizer of  $U$  in  $GO_{2m+1}(q)$ , then  $L$  is isomorphic to  $GO_{2m}^-(q)$ . From (101) we have the following:

**Corollary 4.2.3.** *Let  $B$  be an element in  $Sp_{2m}(q)$ . Then  $\Psi^{-1}(B)$  is contained in  $L$  if and only if  $Q(Be_{ij}) = 0$  for all  $i = 1, 2$  and  $1 \leq j \leq m-1$ ,  $Q(Be_{1m}) = 1$  and  $Q(Be_{2m}) = \pi$ .*

Next, let  $\mathbb{V}_0$  be a 3-dimensional vector space over  $\mathbb{F}_{q^m}$ , and let  $Q_0 : \mathbb{V}_0 \rightarrow \mathbb{F}_{q^m}$  be a non-degenerate quadratic form on  $\mathbb{V}_0$  with associated alternating bilinear form  $f_0 : \mathbb{V}_0 \times \mathbb{V}_0 \rightarrow \mathbb{F}_{q^m}$ . As mentioned before, there exists a trace-orthonormal basis  $\{\omega_1, \omega_2, \dots, \omega_m\}$  of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ , that is,

$$\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\omega_i \omega_j) = \delta_{ij}, \quad (102)$$

where  $\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} : \mathbb{F}_{q^m} \rightarrow \mathbb{F}_q$  is the trace map from  $\mathbb{F}_{q^m}$  onto  $\mathbb{F}_q$  (cf. (90)). Then the polynomial  $\omega_m^2 t^2 + t + \pi \omega_m^2 \in \mathbb{F}_{q^m}[t]$  is irreducible over  $\mathbb{F}_{q^m}$ . In order to show this, we make use of the following lemma (cf. Lidl-Niederreiter [10, p.56, Theorem 2.25]):

**Lemma 4.2.4.** *A polynomial  $t^2 + t + \alpha$  in  $\mathbb{F}_q[t]$  is irreducible over  $\mathbb{F}_q$  if and only if  $\mathrm{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\alpha) = 1$ .*

Since  $t^2 + t + \pi$  is irreducible over  $\mathbb{F}_q$ , it follows from Lemma 4.1.8 that

$$\begin{aligned} \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_2}(\pi \omega_m^4) &= \mathrm{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\pi \omega_m^4)) \\ &= \mathrm{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\pi (\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\omega_m^2))^2) \\ &= \mathrm{Tr}_{\mathbb{F}_q/\mathbb{F}_2}(\pi) \\ &= 1, \end{aligned}$$

so that  $(t')^2 + t' + \pi \omega_m^4 \in \mathbb{F}_{q^m}[t']$  is an irreducible polynomial over  $\mathbb{F}_{q^m}$ . By putting  $t' := \omega_m^2 t$ , this also implies that  $\omega_m^2 t^2 + t + \pi \omega_m^2 \in \mathbb{F}_{q^m}[t]$  is irreducible over  $\mathbb{F}_{q^m}$ , as desired.

Therefore  $\mathbb{V}_0$  is decomposed as

$$\mathbb{V}_0 = \langle e_1, e_2 \rangle \perp \langle r_0 \rangle$$

where  $Q_0(e_1) = \omega_m^2$ ,  $Q_0(e_2) = \pi \omega_m^2$ ,  $f_0(e_1, e_2) = 1$  and  $Q_0(r_0) = 1$ . Let

$$U_0 := \langle e_1, e_2 \rangle$$

be an element in  $\Theta_3(q^m)$ , then  $f_0|_{U_0}$  is a non-degenerate alternating bilinear form on  $U_0$ . Since  $U$  and  $U_0$  are both  $2m$ -dimensional vector space over  $\mathbb{F}_q$ , we may identify  $e_{ij}$  with  $\omega_j e_i$  for  $i = 1, 2$  and  $1 \leq j \leq m$ , and  $U$  with  $U_0$ . Under this identification,  $GL_2(q^m)$  is naturally embedded in  $GL_{2m}(q)$ .

**Proposition 4.2.5.**  *$Sp_2(q^m)$  is a subgroup of  $Sp_{2m}(q)$ .*

*Proof.* Let  $u = \sum_{i,j} \xi_{ij} e_{ij}$  and  $v = \sum_{i,j} \eta_{ij} e_{ij}$  be two vectors in  $U$ , and let  $\xi_i := \xi_{i1}\omega_1 + \cdots + \xi_{im}\omega_m$  and  $\eta_i := \eta_{i1}\omega_1 + \cdots + \eta_{im}\omega_m$  for  $i = 1, 2$ . Then by (102) we have

$$\begin{aligned} \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(f_0|_{U_0}(u, v)) &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(f_0|_{U_0}(\xi_1 e_1 + \xi_2 e_2, \eta_1 e_1 + \eta_2 e_2)) \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\xi_1 \eta_2 + \xi_2 \eta_1) \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}((\xi_{11}\omega_1 + \cdots + \xi_{1m}\omega_m)(\eta_{21}\omega_1 + \cdots + \eta_{2m}\omega_m) \\ &\quad + (\xi_{21}\omega_1 + \cdots + \xi_{2m}\omega_m)(\eta_{11}\omega_1 + \cdots + \eta_{1m}\omega_m)) \\ &= \xi_{11}\eta_{21} + \cdots + \xi_{1m}\eta_{2m} + \xi_{21}\eta_{11} + \cdots + \xi_{2m}\eta_{1m} \\ &= f|_U(u, v). \end{aligned}$$

Hence any element in  $Sp_2(q^m)$  also preserves the alternating form  $f|_U$ , which proves Proposition 4.2.5.  $\square$

It follows immediately from Proposition 4.2.2 and Proposition 4.2.5 that  $GO_3(q^m)$  is a subgroup of  $GO_{2m+1}(q)$ . Furthermore we have the following:

**Proposition 4.2.6.** *Let  $L_0$  be the stabilizer of  $U_0$  in  $GO_3(q^m)$ , then  $GO_3(q^m) \cap L = L_0$ .*

*Proof.* For any element  $B_0 = \begin{pmatrix} \alpha & \beta \\ \gamma & \delta \end{pmatrix}$  in  $Sp_2(q^m)$ , let  $B$  be the corresponding element in  $Sp_{2m}(q)$ , that is,  $B$  is the mapping obtained by regarding  $B_0$  as a linear mapping over  $\mathbb{F}_q$ . Then for  $1 \leq j \leq m$  we have

$$\begin{aligned} B e_{1j} &= B_0(\omega_j e_1) = \alpha \omega_j e_1 + \gamma \omega_j e_2 \\ &= \alpha_{j1} e_{11} + \cdots + \alpha_{jm} e_{1m} + \gamma_{j1} e_{21} + \cdots + \gamma_{jm} e_{2m} \end{aligned}$$

where  $\alpha \omega_j = \alpha_{j1}\omega_1 + \cdots + \alpha_{jm}\omega_m$ ,  $\gamma \omega_j = \gamma_{j1}\omega_1 + \cdots + \gamma_{jm}\omega_m$  for some  $\alpha_{jk}, \gamma_{jk} \in \mathbb{F}_q$  ( $1 \leq k \leq m$ ), from which it follows that

$$\begin{aligned} Q(B e_{1j}) &= \alpha_{j1}\gamma_{j1} + \cdots + \alpha_{jm}\gamma_{jm} + \alpha_{jm}^2 + \pi\gamma_{jm}^2 \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\alpha\gamma\omega_j^2) + (\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\alpha\omega_j\omega_m))^2 + \pi(\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\gamma\omega_j\omega_m))^2 \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}((\alpha^2\omega_m^2 + \alpha\gamma + \pi\gamma^2\omega_m^2)\omega_j^2). \end{aligned}$$

Similarly for  $1 \leq j \leq m$  we have

$$\begin{aligned} B e_{2j} &= B_0(\omega_j e_2) = \beta \omega_j e_1 + \delta \omega_j e_2 \\ &= \beta_{j1} e_{11} + \cdots + \beta_{jm} e_{1m} + \delta_{j1} e_{21} + \cdots + \delta_{jm} e_{2m} \end{aligned}$$

where  $\beta \omega_j = \beta_{j1}\omega_1 + \cdots + \beta_{jm}\omega_m$ ,  $\delta \omega_j = \delta_{j1}\omega_1 + \cdots + \delta_{jm}\omega_m$  for some  $\beta_{jk}, \delta_{jk} \in \mathbb{F}_q$  ( $1 \leq k \leq m$ ), from which it follows that

$$\begin{aligned} Q(B e_{2j}) &= \beta_{j1}\delta_{j1} + \cdots + \beta_{jm}\delta_{jm} + \beta_{jm}^2 + \pi\delta_{jm}^2 \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\beta\delta\omega_j^2) + (\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\beta\omega_j\omega_m))^2 + \pi(\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\delta\omega_j\omega_m))^2 \\ &= \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}((\beta^2\omega_m^2 + \beta\delta + \pi\delta^2\omega_m^2)\omega_j^2). \end{aligned}$$

Therefore by Corollary 4.2.3,  $\Psi^{-1}(B)$  is contained in  $L$  if and only if

$$\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}((\alpha^2\omega_m^2 + \alpha\gamma + \pi\gamma^2\omega_m^2)\omega_j^2) = \begin{cases} 1 & \text{if } j = m, \\ 0 & \text{if } j \neq m, \end{cases}$$

and

$$\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}((\beta^2\omega_m^2 + \beta\delta + \pi\delta^2\omega_m^2)\omega_j^2) = \begin{cases} \pi & \text{if } j = m, \\ 0 & \text{if } j \neq m, \end{cases}$$

which is equivalent to

$$\alpha^2 \omega_m^2 + \alpha\gamma + \pi\gamma^2 \omega_m^2 = \omega_m^2, \quad (103)$$

and

$$\beta^2 \omega_m^2 + \beta\delta + \pi\delta^2 \omega_m^2 = \pi\omega_m^2, \quad (104)$$

since  $\{\omega_1^2, \omega_2^2, \dots, \omega_m^2\}$  is also a trace-orthonormal basis of  $\mathbb{F}_{q^m}$  over  $\mathbb{F}_q$ . In the same way as before let  $E_0^{(1)} : \mathbb{V}_0 \rightarrow U_0$ ,  $E_0^{(2)} : \mathbb{V}_0 \rightarrow \langle r_0 \rangle$  be the orthogonal projections, and define a mapping  $\Psi_0 : GO_3(q^m) \rightarrow Sp_2(q^m)$  by

$$\Psi_0(A_0) := A_0^{(1)}|_{U_0}$$

for  $A_0 \in GO_3(q^m)$ , where

$$A_0^{(i)} := E_0^{(i)} A_0 \quad (i = 1, 2).$$

Then in this case  $A_0 = \Psi_0^{-1}(B_0)$  is given by

$$\begin{aligned} A_0 e_1 &:= B_0 e_1 + (\sqrt{Q_0(B_0 e_1)} + \omega_m) r_0, \\ A_0 e_2 &:= B_0 e_2 + (\sqrt{Q_0(B_0 e_2)} + \sqrt{\pi} \omega_m) r_0, \\ A_0 r_0 &:= r_0. \end{aligned}$$

Thus it follows that  $A_0 = \Psi_0^{-1}(B_0)$  is contained in  $L_0$  if and only if (103) and (104) are satisfied, which proves Proposition 4.2.6.  $\square$

By Proposition 4.2.6 the containment relations among  $GO_{2m+1}(q)$ ,  $GO_{2m}^-(q)$ ,  $GO_3(q^m)$  and  $GO_2^-(q^m)$  are displayed in the following diagram:

$$\begin{array}{ccc} GO_{2m+1}(q) & \supset & GO_{2m}^-(q) \\ \cup & & \cup \\ GO_3(q^m) & \supset & GO_2^-(q^m) \end{array}$$

where  $GO_3(q^m) \cap GO_{2m}^-(q) = GO_2^-(q^m)$ .

*Proof of Theorem 4.2.1.* It follows from the above diagram that each left coset of  $GO_{2m+1}(q)$  by  $GO_{2m}^-(q)$  contains at most one left coset of  $GO_3(q^m)$  by  $GO_2^-(q^m)$ , since for any two elements  $A_0, A'_0$  in  $GO_3(q^m)$ , we have  $A_0^{-1} A'_0 \in GO_2^-(q^m)$  if and only if  $A_0^{-1} A'_0 \in GO_{2m}^-(q)$ . Moreover from (3) it follows that

$$|GO_{2m+1}(q) : GO_{2m}^-(q)| = |GO_3(q^m) : GO_2^-(q^m)| = \frac{q^m(q^m - 1)}{2},$$

so that each left coset of  $GO_{2m+1}(q)$  by  $GO_{2m}^-(q)$  contains exactly one left coset of  $GO_3(q^m)$  by  $GO_2^-(q^m)$ . Therefore the action of  $GO_3(q^m)$  on  $GO_{2m+1}(q)/GO_{2m}^-(q)$  is equivalent to the action on  $GO_3(q^m)/GO_2^-(q^m)$ , which completes the proof of Theorem 4.2.1.  $\square$

From now on, we determine how to merge the relations of  $\mathfrak{X}(GO_3(q^m), \Theta_3(q^m))$  to get the subscheme  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$ . We use the notation in the proof of Proposition 4.2.6, and in the same manner as previous subsection, we mainly use the symbol “ $\sim$ ” to stand for  $GO_3(q^m)$  case. Namely we let  $\tilde{\nu}$  be a primitive element of  $\mathbb{F}_{q^m}$ , and define

$$\tilde{\lambda}_i := \frac{\tilde{\nu}^{i-1}}{1 + \tilde{\nu}^{i-1}} \quad \text{for } 2 \leq i \leq \frac{q^m}{2},$$

and

$$\tilde{\mu}_i := \sqrt{\tilde{\lambda}_i^2 + \tilde{\lambda}_i}.$$

Also we let  $\tilde{S}_0, \tilde{S}_2, \tilde{S}_3, \dots, \tilde{S}_{\frac{q^m}{2}}$  denotes the relations of  $\mathfrak{X}(GO_3(q^m), \Theta_3(q^m))$ .

For  $2 \leq l \leq \frac{q^m}{2}$  define a mapping  $A_0 : \mathbb{V}_0 \longrightarrow \mathbb{V}_0$  by

$$\begin{aligned} A_0 e_1 &= e'_1 := e_1, \\ A_0 e_2 &= e'_2 := \frac{\tilde{\lambda}_l}{\omega_m^2} e_1 + e_2 + \frac{\tilde{\mu}_l}{\omega_m} r_0, \\ A_0 r_0 &:= r_0. \end{aligned}$$

Then we have  $Q_0(e'_1) = \omega_m^2$ ,  $Q_0(e'_2) = \pi \omega_m^2$  and  $f_0(e'_1, e'_2) = 1$  so that  $A_0$  is an element in  $GO_3(q^m)$ . Let  $V_0 := A_0 U_0 \in \Theta_3(q^m)$ , and define three vectors  $w_0, u_0, v_0$  by

$$w_0 := \frac{1}{\omega_m} e_1 = \frac{1}{\omega_m} e'_1, \quad u_0 := \omega_m e_2, \quad v_0 := \omega_m e'_2,$$

then we have  $Q_0(w_0) = 1$ ,  $Q_0(u_0) = Q_0(v_0)$ ,  $f_0(u_0, w_0) = f_0(v_0, w_0) = 1$ , and  $f_0(u_0, v_0) = \tilde{\lambda}_l$ . Hence it follows that  $(U_0, V_0) \in \tilde{S}_l$ . The mapping  $B_0 := \Psi_0(A_0) \in Sp_2(q^m)$  is defined by

$$\begin{aligned} B_0 e_1 &= e_1, \\ B_0 e_2 &= \frac{\tilde{\lambda}_l}{\omega_m^2} e_1 + e_2. \end{aligned}$$

Let

$$\frac{\tilde{\lambda}_l \omega_j}{\omega_m^2} = \lambda'_{lj1} \omega_1 + \dots + \lambda'_{ljm} \omega_m \quad \text{for } 1 \leq j \leq m,$$

and

$$\frac{\tilde{\mu}_l}{\omega_m} = \mu'_{l1} \omega_1 + \dots + \mu'_{lm} \omega_m,$$

for some  $\lambda'_{ljk}, \mu'_{lk} \in \mathbb{F}_q$ . Notice that the coefficients  $\lambda'_{ljk}, \mu'_{lk}$  are given by

$$\lambda'_{ljk} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\lambda}_l \omega_j}{\omega_m^2} \omega_k \right) \quad \text{and} \quad \mu'_{lk} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l}{\omega_m} \omega_k \right), \quad (105)$$

for  $1 \leq j, k \leq m$ . Let  $B$  be the element in  $Sp_{2m}(q)$  corresponding to  $B_0$ . Then we have

$$\begin{aligned} B e_{1j} &= \omega_j e_1 = e_{1j}, \quad \text{for } 1 \leq j \leq m, \\ B e_{2j} &= \frac{\tilde{\lambda}_l}{\omega_m^2} \omega_j e_1 + \omega_j e_2 \\ &= \lambda'_{lj1} e_{11} + \dots + \lambda'_{ljm} e_{1m} + e_{2j}, \quad \text{for } 1 \leq j \leq m. \end{aligned}$$

Since from (105)

$$\begin{aligned} Q(B e_{2j}) &= (\lambda'_{ljm})^2 + \lambda'_{ljj} \\ &= \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\lambda}_l^2 \omega_j^2}{\omega_m^2} \right) + \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\lambda}_l \omega_j^2}{\omega_m^2} \right) \\ &= \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l^2 \omega_j^2}{\omega_m^2} \right) \\ &= (\mu'_{lj})^2, \end{aligned}$$

for  $1 \leq j \leq m-1$ , and

$$\begin{aligned} Q(B e_{2m}) &= (\lambda'_{lmm})^2 + \lambda'_{lmm} + \pi \\ &= (\mu'_{lm})^2 + \pi, \end{aligned}$$

it follows from (101) that the mapping  $A := \Psi^{-1}(B) \in GO_{2m+1}(q)$  is given by

$$\begin{aligned} Ae_{1j} &= e'_{1j} := e_{1j}, \quad \text{for } 1 \leq j \leq m, \\ Ae_{2j} &= e'_{2j} := \lambda'_{l_{j1}}e_{11} + \cdots + \lambda'_{l_{jm}}e_{1m} + e_{2j} + \mu'_{lj}r, \quad \text{for } 1 \leq j \leq m, \\ Ar &= r. \end{aligned}$$

Let  $V := AU$  be an element in  $\Theta_{2m+1}(q)$ . Notice that since  $\tilde{\mu}_l \neq 0$  the number of  $\mu'_{lj}$  equal to 0 is at most  $m-1$ . Define a vector  $w'$  in  $U \cap V$  by

$$\begin{aligned} w' &:= \mu'_{l1}e_{11} + \cdots + \mu'_{lm}e_{1m} \\ &= \mu'_{l1}e'_{11} + \cdots + \mu'_{lm}e'_{1m}, \end{aligned}$$

then  $w' \neq 0$  and it follows that

$$U \cap V = \langle w' \rangle^\perp \cap U = \langle w' \rangle^\perp \cap V.$$

To show this, let  $y = \sum_{i,j} \xi_{ij}e'_{ij}$  be a vector in  $V$  orthogonal to  $w'$ , then the  $r$ -component of  $y$  with respect to the basis  $\{e_{ij}\}_{i,j} \cup \{r\}$  is equal to

$$\xi_{21}\mu'_{l1} + \cdots + \xi_{2m}\mu'_{lm} = f(w', y) = 0,$$

so that  $y$  is contained in  $U \cap V$ , as desired. Also by (105) we have

$$Q(w') = (\mu'_{lm})^2 = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2), \quad (106)$$

from which it follows that  $(U, V) \in S_1$  if and only if

$$\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2) = 0, \quad (107)$$

or equivalently

$$\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l) = 0 \quad \text{or} \quad \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\lambda}_l) = 1. \quad (108)$$

Suppose  $\text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q}(\tilde{\mu}_l^2) \neq 0$ , so that  $(U, V) \notin S_1$ . Let

$$\frac{\tilde{\mu}_l \omega_m}{\tilde{\lambda}_l} = \chi'_{l1}\omega_1 + \cdots + \chi'_{lm}\omega_m,$$

for  $\chi'_{lk} \in \mathbb{F}_q$  ( $1 \leq k \leq m$ ), that is,

$$\chi'_{lk} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l \omega_m}{\tilde{\lambda}_l} \omega_k \right) \quad (1 \leq k \leq m),$$

and define two vector  $u' \in U$  and  $v' \in V$  by

$$\begin{aligned} u' &:= \chi'_{l1}e_{21} + \cdots + \chi'_{lm}e_{2m}, \\ v' &:= \chi'_{l1}e'_{21} + \cdots + \chi'_{lm}e'_{2m}. \end{aligned}$$

Then we have  $Q(u') = Q(v') = \pi(\chi'_{lm})^2$ , and

$$\begin{aligned} u' + v' &= \chi'_{l1}(e_{21} + e'_{21}) + \cdots + \chi'_{lm}(e_{2m} + e'_{2m}) \\ &= \sum_{j=1}^m \chi'_{lj} \left( \sum_{k=1}^m \lambda'_{ljk}e_{1k} + \mu'_{lj}r \right) \\ &= \sum_{k=1}^m \left( \sum_{j=1}^m \chi'_{lj}\lambda'_{ljk} \right) e_{1k} + \left( \sum_{j=1}^m \chi'_{lj}\mu'_{lj} \right) r. \end{aligned}$$

Now it follows from (105) that

$$\sum_{j=1}^m \chi'_{lj}\lambda'_{ljk} = \sum_{j=1}^m \chi'_{lj}\lambda'_{lkj} = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l \omega_m}{\tilde{\lambda}_l} \frac{\tilde{\lambda}_l \omega_k}{\omega_m^2} \right) = \text{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l \omega_k}{\omega_m} \right) = \mu'_{lk},$$



for  $1 \leq k \leq m$ . Also

$$\sum_{j=1}^m \chi'_{lj} \mu'_{lj} = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l \omega_m}{\tilde{\lambda}_l} \frac{\tilde{\mu}_l}{\omega_m} \right) = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} \left( \frac{\tilde{\mu}_l}{\tilde{\lambda}_l} \tilde{\mu}_l \right) = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1). \quad (109)$$

Hence we have

$$\begin{aligned} u' + v' &= \sum_{k=1}^m \mu'_{lk} e_{1k} + (\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1)) r \\ &= w' + (\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1)) r, \end{aligned} \quad (110)$$

so that

$$U = \langle u', w' \rangle^\perp W, \quad V = \langle v', w' \rangle^\perp W, \quad (111)$$

where  $W := \langle u', w' \rangle^\perp \cap U \subset U \cap V$ . It also follows from (109) and (110) that

$$f(u', v') = f(u', w') = f(v', w') = \sum_{j=1}^m \chi'_{lj} \mu'_{lj} = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1). \quad (112)$$

Here  $\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1) \neq 0$  by assumption. Define

$$w := \frac{1}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\mu}_l)} w',$$

and

$$u := \frac{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\mu}_l)}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1)} u', \quad v := \frac{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\mu}_l)}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1)} v'.$$

Then  $Q(u) = Q(v)$ , and it follows from (106), (112), (111) that  $Q(w) = 1$ ,  $f(u, w) = f(v, w) = 1$ , and

$$U = \langle u, w \rangle^\perp W, \quad V = \langle v, w \rangle^\perp W.$$

Also by (112) we have

$$\begin{aligned} f(u, v) &= \frac{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\mu}_l^2)}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1)} = \frac{(\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l)) (\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1))}{\mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l + 1)} \\ &= \begin{cases} \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l) & \text{if } m: \text{ odd,} \\ \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l) + 1 & \text{if } m: \text{ even.} \end{cases} \end{aligned}$$

Thus  $(U, V)$  belongs to  $S_k$  for some  $k \in \{2, 3, \dots, \frac{q}{2}\}$  such that

$$\lambda_k = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l), \quad \text{or } \lambda_k = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\lambda}_l) + 1, \quad (113)$$

which is equivalent to

$$\mu_k = \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\mu}_l). \quad (114)$$

To summarize we have the following:

**Proposition 4.2.7.** *Define  $(\frac{q}{2} - 1)$  relations  $S_1, S_2, \dots, S_{\frac{q}{2}}$  on  $\Theta_3(q^m)$  by*

$$S_j := \bigcup_{i \in \Xi'_j} \tilde{S}_i \quad (1 \leq j \leq \frac{q}{2}),$$

where

$$\begin{aligned} \Xi'_1 &:= \{i \in \{2, 3, \dots, \frac{q}{2}\} \mid \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\mu}_i) = 0\}, \\ \Xi'_j &:= \{i \in \{2, 3, \dots, \frac{q}{2}\} \mid \mathrm{Tr}_{\mathbb{F}_{q^m}/\mathbb{F}_q} (\tilde{\mu}_i) = \mu_j\} \quad (2 \leq j \leq \frac{q}{2}). \end{aligned}$$

Then these  $(\frac{q}{2} - 1)$  relations, together with  $S_0 := \tilde{S}_0$ , form the subscheme of  $\mathfrak{X}(GO_3(q^m), \Theta_3(q^m))$  isomorphic to  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$ .

**Corollary 4.2.8.**  $\mathfrak{X}(GO_{2n+1}(q), \Theta_{2n+1}(q))$  is a subscheme of  $\mathfrak{X}(GO_{2m+1}(q^{\frac{n}{m}}), \Theta_{2m+1}(q^{\frac{n}{m}}))$  whenever  $m$  divides  $n$ .

*Proof.* This is an immediate consequence of Proposition 4.2.7 and Lemma 4.1.8. In fact, these two association schemes are both subschemes of  $\mathfrak{X}(GO_3(q^n), \Theta_3(q^n))$  by Theorem 4.2.1.  $\square$

## 5 Remarks

*Remark 1.* The association scheme  $\mathfrak{X}(GO_3(q), \Theta_3(q))$  (for even  $q$ ) is a *quotient association scheme* (cf. Bannai-Ito [4, §2.9]) of the association scheme  $\mathfrak{X}(GL_2(q), GL_2(q)/GL_1(q^2))$  which is defined by the action of the general linear group  $GL_2(q)$  on the *finite upper half plane*  $\mathbb{H}_q = \mathbb{F}_{q^2} \setminus \mathbb{F}_q$ . Terras [15] gives details on the property of the finite upper half plane. The original motivation of this research, which was proposed by Professor E. Bannai, was to find a connection between two association schemes  $\mathfrak{X}(GO_{2m+1}(q), \Theta_{2m+1}(q))$  and  $\mathfrak{X}(GL_{2m}(q), GL_{2m}(q)/GL_m(q^2))$ , which is considered as a possible candidate of higher dimensional analogue of the finite upper half plane. Though I have not found such a connection yet, recently I determined the exact decomposition of the permutation character  $1_{GL_2(q^2)}^{GL_4(q)}$  into the irreducible characters. One obtains the list in the following tables:

*The Decomposition of  $1_{GL_2(q^2)}^{GL_4(q)}$ , with  $q$  : odd.*

Type	Degree	Frequency
$I^{(1^4)}$	1	1
$I^{(2^2)}$	$q^2(q^2 + 1)$	2
$I^{(4)}$	$q^6$	1
$I^{(1^2)}I^{(1^2)}$	$(q^2 + 1)(q^2 + q + 1)$	$\frac{q-3}{2}$
$I^{(2)}I^{(1^2)}$	$q(q^2 + 1)(q^2 + q + 1)$	1
$I^{(2)}I^{(2)}$	$q^2(q^2 + 1)(q^2 + q + 1)$	$\frac{q-3}{2}$
$I^{(1^2)}I^{(1)}I^{(1)}$	$(q + 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{q-3}{2}$
$I^{(2)}I^{(1)}I^{(1)}$	$q(q + 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{q-3}{2}$
$I^{(1)}I^{(1)}I^{(1)}I^{(1)}$	$(q + 1)^2(q^2 + 1)(q^2 + q + 1)$	$\frac{(q-3)(q-5)}{8}$
$I^{(1^2)}II^{(1)}$	$(q - 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{q-1}{2}$
$I^{(2)}II^{(1)}$	$q(q - 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{q-1}{2}$
$I^{(1)}I^{(1)}II^{(1)}$	$(q - 1)(q + 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{(q-1)(q-3)}{4}$
$II^{(1^2)}$	$(q - 1)^2(q^2 + q + 1)$	$\frac{q-1}{2}$
$II^{(2)}$	$q^2(q - 1)^2(q^2 + q + 1)$	$\frac{q-1}{2}$
$II^{(1)}II^{(1)}$	$(q - 1)^2(q^2 + 1)(q^2 + q + 1)$	$\frac{(q-1)(q-3)}{8} + \frac{(q-1)^2}{4}$
$IV^{(1)}$	$(q - 1)^3(q + 1)(q^2 + q + 1)$	$\frac{(q-1)(q+1)}{4}$

# of irreducible characters =  $q(q + 1)$

The Decomposition of  $1_{GL_2(q^2)}^{GL_4(q)}$ , with  $q$  : even.

Type	Degree	Frequency
$I^{(1^4)}$	1	1
$I^{(2^2)}$	$q^2(q^2 + 1)$	1
$I^{(1^2)}I^{(1^2)}$	$(q^2 + 1)(q^2 + q + 1)$	$\frac{q-2}{2}$
$I^{(2)}I^{(2)}$	$q^2(q^2 + 1)(q^2 + q + 1)$	$\frac{q-2}{2}$
$I^{(1^2)}I^{(1)}I^{(1)}$	$(q + 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{q-2}{2}$
$I^{(1)}I^{(1)}I^{(1)}I^{(1)}$	$(q + 1)^2(q^2 + 1)(q^2 + q + 1)$	$\frac{(q-2)(q-4)}{8}$
$I^{(1^2)}II^{(1)}$	$(q - 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{q}{2}$
$I^{(1)}I^{(1)}II^{(1)}$	$(q - 1)(q + 1)(q^2 + 1)(q^2 + q + 1)$	$\frac{q(q-2)}{4}$
$II^{(1^2)}$	$(q - 1)^2(q^2 + q + 1)$	$\frac{q}{2}$
$II^{(2)}$	$q^2(q - 1)^2(q^2 + q + 1)$	$\frac{q}{2}$
$II^{(1)}II^{(1)}$	$(q - 1)^2(q^2 + 1)(q^2 + q + 1)$	$\frac{q(q-2)}{8} + \frac{q(q-2)}{4}$
$IV^{(1)}$	$(q - 1)^3(q + 1)(q^2 + q + 1)$	$\frac{q^2}{4}$

# of irreducible characters =  $q(q + 1)$

In these tables, types of irreducible characters are described in terms of pairs of monic irreducible polynomials over  $\mathbb{F}_q$  and partitions (cf. Macdonald [11, Chapter IV.]). It follows that the association scheme  $\mathfrak{X}(GL_4(q), GL_4(q)/GL_2(q^2))$  is a (commutative) association scheme of class  $q(q + 1)$ .

*Remark 2.* The association scheme  $\mathfrak{X}(GO_3(q), \Omega_3(q))$  (for even  $q$ ) is isomorphic to the association scheme  $\mathfrak{X}(PGL_2(q), PGL_2(q)/D_{2(q-1)})$ , where  $D_{2(q-1)}$  is the dihedral group of order  $2(q-1)$ . This association scheme is obtained by the action of the projective general linear group  $PGL_2(q)$  on the set of two-element subsets of the projective geometry  $PG(1, q)$ , and is studied by de Caen - van Dam [7]. According to [7], the association scheme  $\mathfrak{X}(PGL_2(q), PGL_2(q)/D_{2(q-1)})$  has the following subschemes:

- subschemes defined by the action of the overgroup  $P\Gamma L_2(q)$ ,
- for  $q = 4^f$  ( $f \geq 2$ ), a subscheme of class 4 whose character table  $P$  is given as follows:

$$P = \begin{bmatrix} 1 & 2(4^f - 1) & (2^{f-1} - 1)(4^f - 1) & 2^{f-1}(4^f - 1) & 2^f(2^{f-1} - 1)(4^f - 1) \\ 1 & 4^f - 3 & 2 - 2^f & -2^f & -2^f(2^f - 2) \\ 1 & -2 & 2^{f-1}(2^f - 1) + 1 & -2^{f-1}(2^f + 1) & 2^f \\ 1 & -2 & (2^{f-1} - 1)(2^f - 1) & 2^{f-1}(2^f - 1) & -2^f(2^f - 2) \\ 1 & -2 & 1 - 2^f & 0 & 2^f \end{bmatrix},$$

where  $P\Gamma L_2(q)$  is the semidirect product of  $PGL_2(q)$  with the Galois group  $\text{Gal}(\mathbb{F}_q/\mathbb{F}_p)$ . More precisely, the existence of the above 4-class subscheme was a conjecture, and this conjecture was proved in [14]. It follows from Theorem 4.1.1 and Theorem 4.2.1 that we have found another kind of subschemes of  $\mathfrak{X}(PGL_2(q), PGL_2(q)/D_{2(q-1)})$ .

*Remark 3.* Professor E. Bannai has pointed out that some graphs obtained from the relations of our association schemes are *Ramanujan graphs*, that is, regular graphs having good *expansion constants* (cf. Terras [15, Chapter 3.]).

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